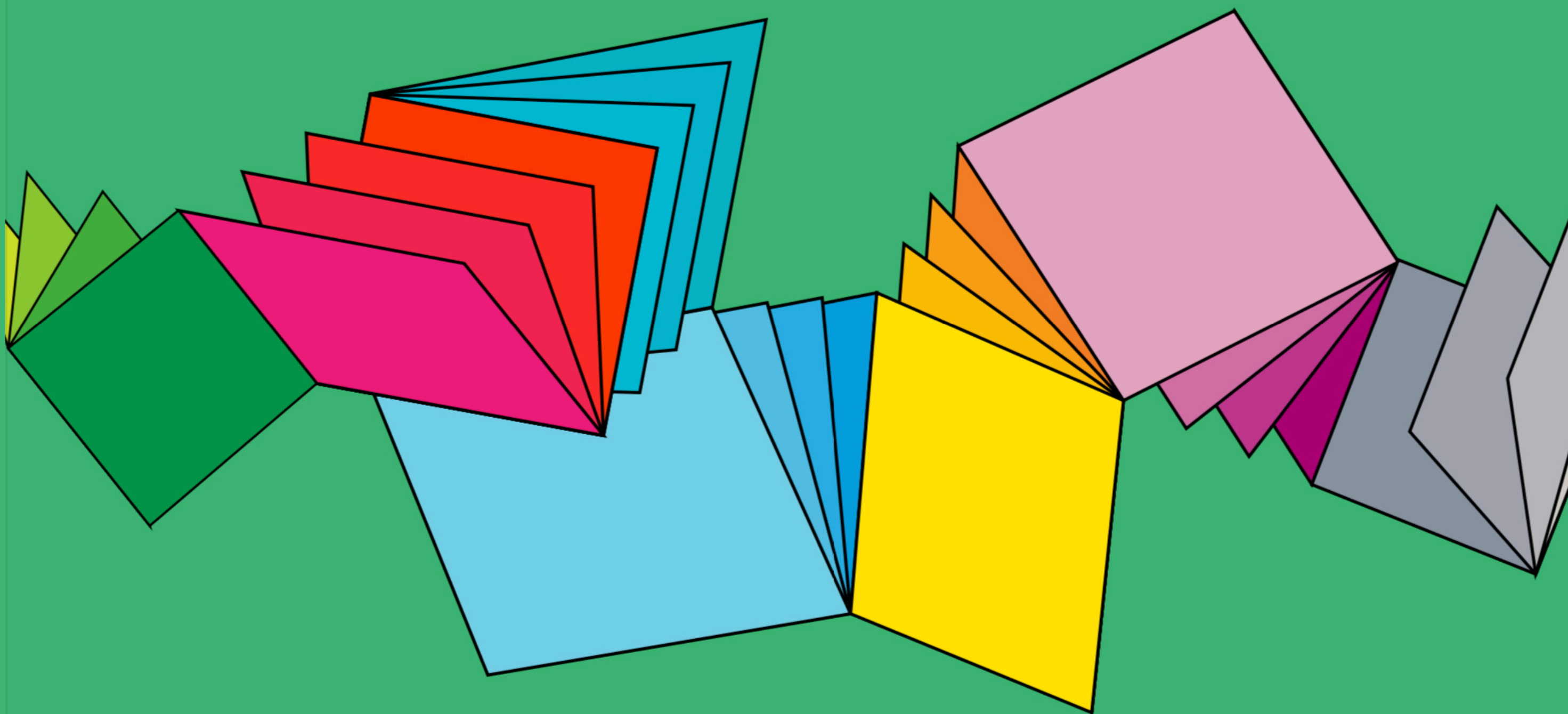


# Locally testable codes with constant rate, distance, and locality



Irit Dinur

based on work with  
Shai Evra  
Ron Livne  
Alexander Lubotzky  
Shahar Mozes

# Error Correcting Codes

A linear error-correcting code is a linear subspace  $C \subseteq \{0,1\}^n$

The strings in this subspace are called **codewords**

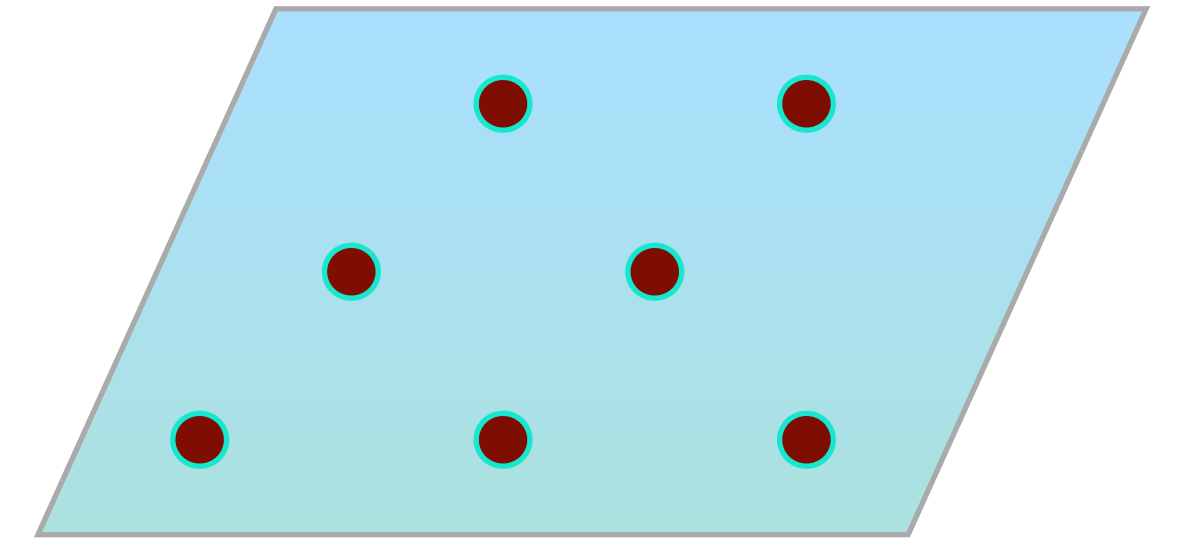
Imagine sending a codeword over a noisy channel which flips a few bits

If the codewords are far apart, there is a unique way to recover the codeword

$$\text{Distance} = \min_{x \neq y \in C} \frac{|\{i : x_i \neq y_i\}|}{n}$$

Of course, we want to be able to encode many messages using  $n$  bits

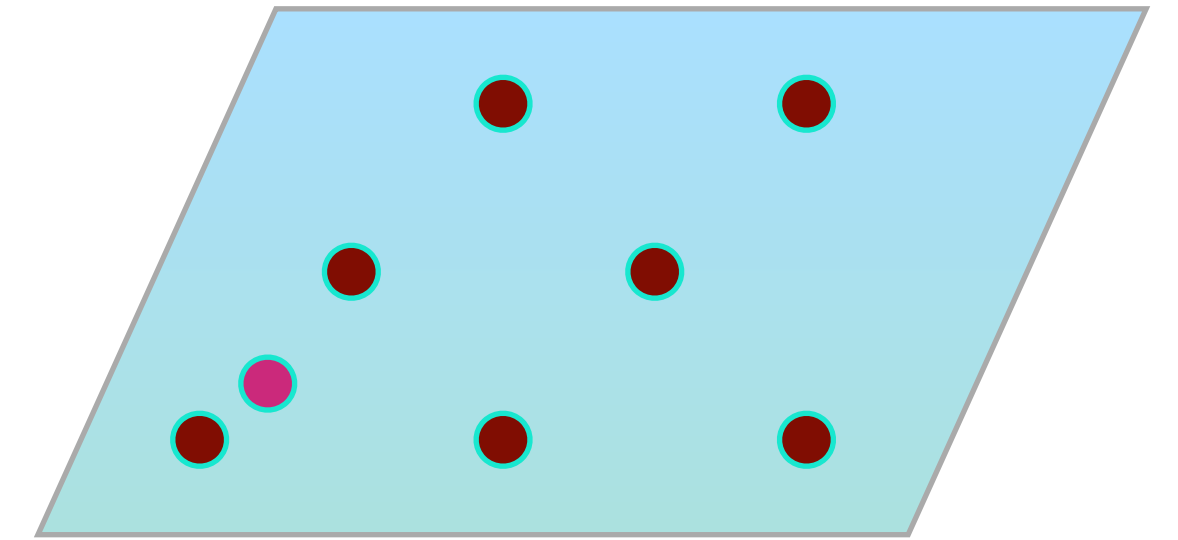
$$\text{Rate} = \frac{\dim(C)}{n},$$



# Local Testability

Given a string  $w \in \{0,1\}^n$ , is  $w \in C$ ?

Local testability - decide this question by reading a tiny (but random) part of  $w$



Definition: A code  $C$  is **locally testable with  $q$  queries** if there is a tester  $T$  that has query access to a given word  $w$ , reads  $q$  randomized bits from  $w$  and accepts / rejects, such that

- If  $w \in C$  then  $\Pr[T \text{ accepts}] = 1$
- If  $w \notin C$  then  $\Pr[T \text{ rejects}] \geq \text{const} \cdot \text{dist}(w, C)$

$q$  = the **locality** of the tester

such codes are called "LTCs"

# Two measures of distance

Suppose  $C \subseteq \{0,1\}^n$  is defined by linear constraints  $t_1, t_2, t_3, \dots, t_m$  ("local tests")

Given a string  $w \in \{0,1\}^n$ , there are two measures of how "far"  $w$  is from  $C$

1. **Hamming distance:** how many bits do we need to flip to put  $w \in C$

2. **T-distance:** how many linear constraints ("tests") are not satisfied by  $w$

Hamming distance is natural - but may be hard to compute

T-distance is easy to compute / estimate (by selecting a few random constraints)

LTC (alternative definition): a code where the T-distance is local and gives a good estimate for Hamming-distance.

Potentially useful: we can test if many errors happened, and ask for retransmission

# Historical background

- LTCs were studied implicitly in early works on PCPs (probabilistically checkable proofs) [BlumLubyRubinfeld 1990, BabaiFortnowLund 1990, ..]
- A systematic study initiated by Goldreich and Sudan in 2002.  
“what is the highest possible rate of an LTC?”
- Sequence of works (BenSasson-Sudan-Vadhan-Wigderson2003, BenSasson-Goldreich-Harsha-Sudan-Vadhan2004, Ben-Sasson-Sudan2005, Dinur2005, Kopparty-Meir-RonZewi-Saraf2017, Gopi-Kopparty-OliveiraRonZewi-Saraf2018) achieved rate =  $1/\text{polylog}$  & constant locality+distance
- Are there “ $c^3$  LTCs” (constant rate, constant distance, constant locality) ? experts doubt existence. Restricted lower bounds are shown [BenSasson-Harsha-Rashkhodnikova2005, Babai-Shpilka-Stefankovic2005, BenSasson-Guruswami-Kaufman-Sudan-Viderman2010, D.-Kaufman2011]
- High dimensional expansion: local to global features [Garland 1973, Kaufman-Lubotzky 2013, Kaufman-Kazhdan-Lubotzky 2014, Evra-Kaufman 2016, Oppenheim 2017, D.-Kaufman 2017, D.-Harsha-Kaufman-LivniNavon-TaShma 2019, Dikstein-D.-Harsha-Kaufman-RonZewi 2019, Anari-Liu-OveisGharan-Vinzant2019]



# Main Result

For every  $0 < r < 1$  there exist  $\delta > 0$  and  $q \in \mathbb{N}$  and an explicit construction of an infinite family of error-correcting codes  $\{C_n\}_n$  with rate  $\geq r$ , distance  $\geq \delta$  and locally testable with  $q$  queries.

(in fact, relying on previous reductions,  $r, \delta \rightarrow$  "GV bound")

Panteleev & Kalachev [very recently]:

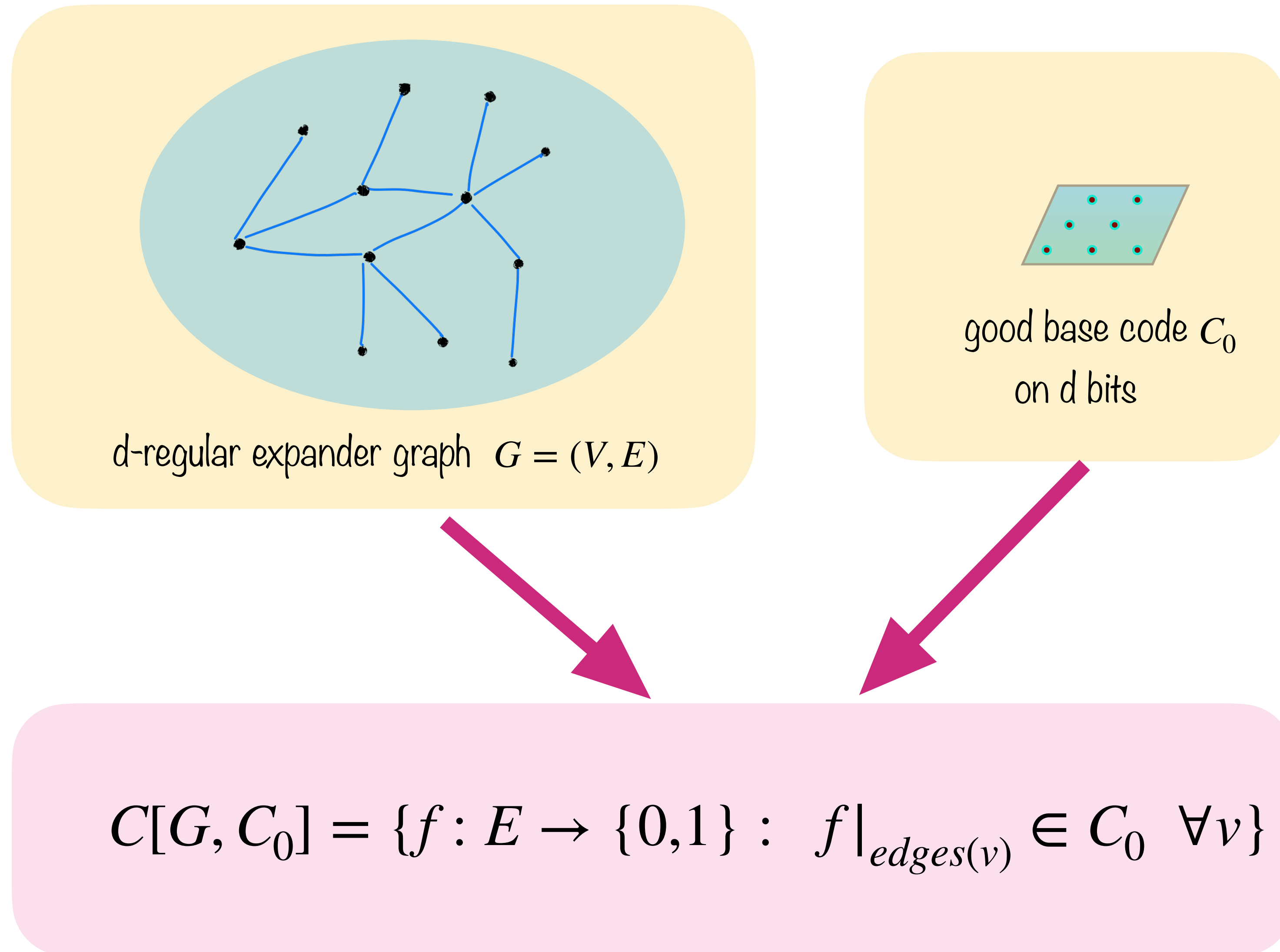
Similar result, almost identical construction, (+quantum LDPC codes!)

based on "balanced product" of Breuckmann & Eberhardt

# Plan of talk

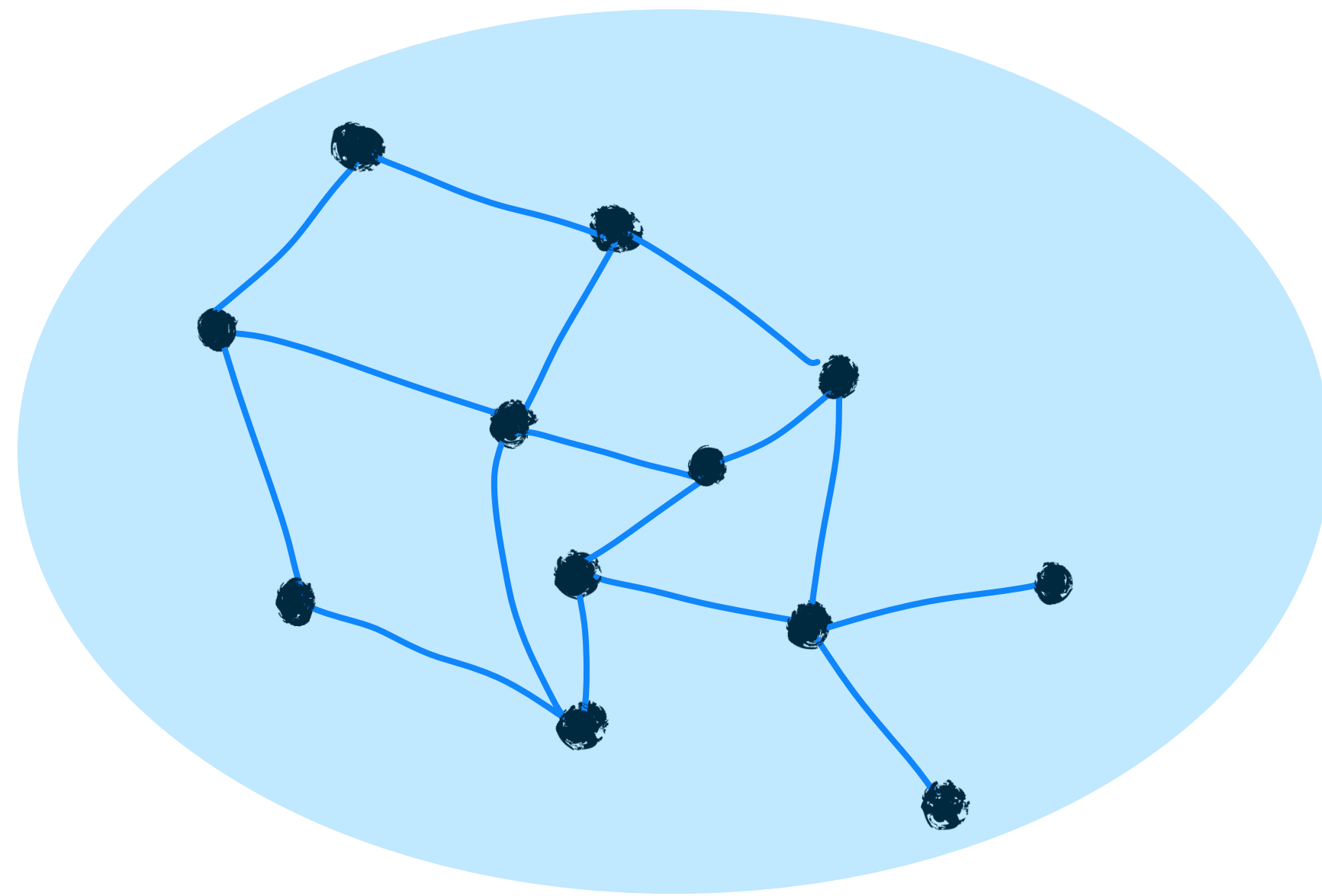
1. Expander codes
2. New: left-right Cayley complex, "a graph-with-squares"
3. Define the code on the complex / graph-with-squares
4. Properties of the code

# Expander Codes [Sipser & Spielman 1996]

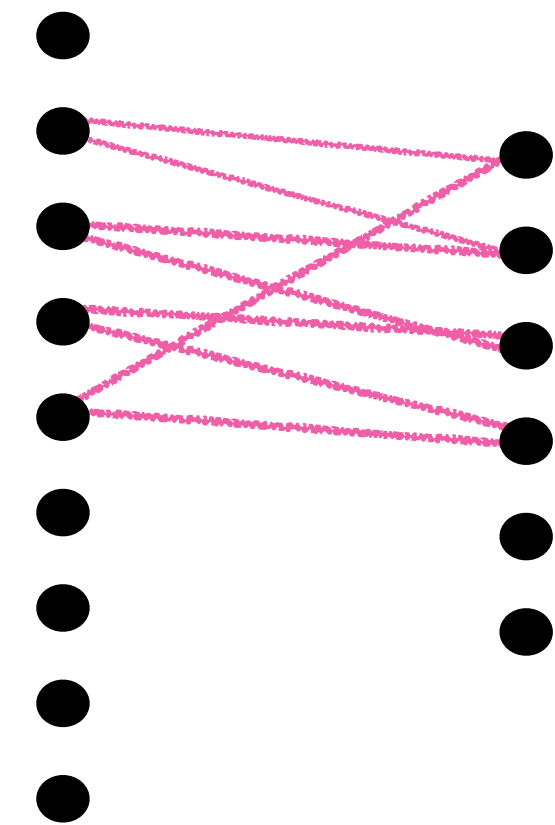




# Expander Codes as Tanner Codes



Edges      Vertices

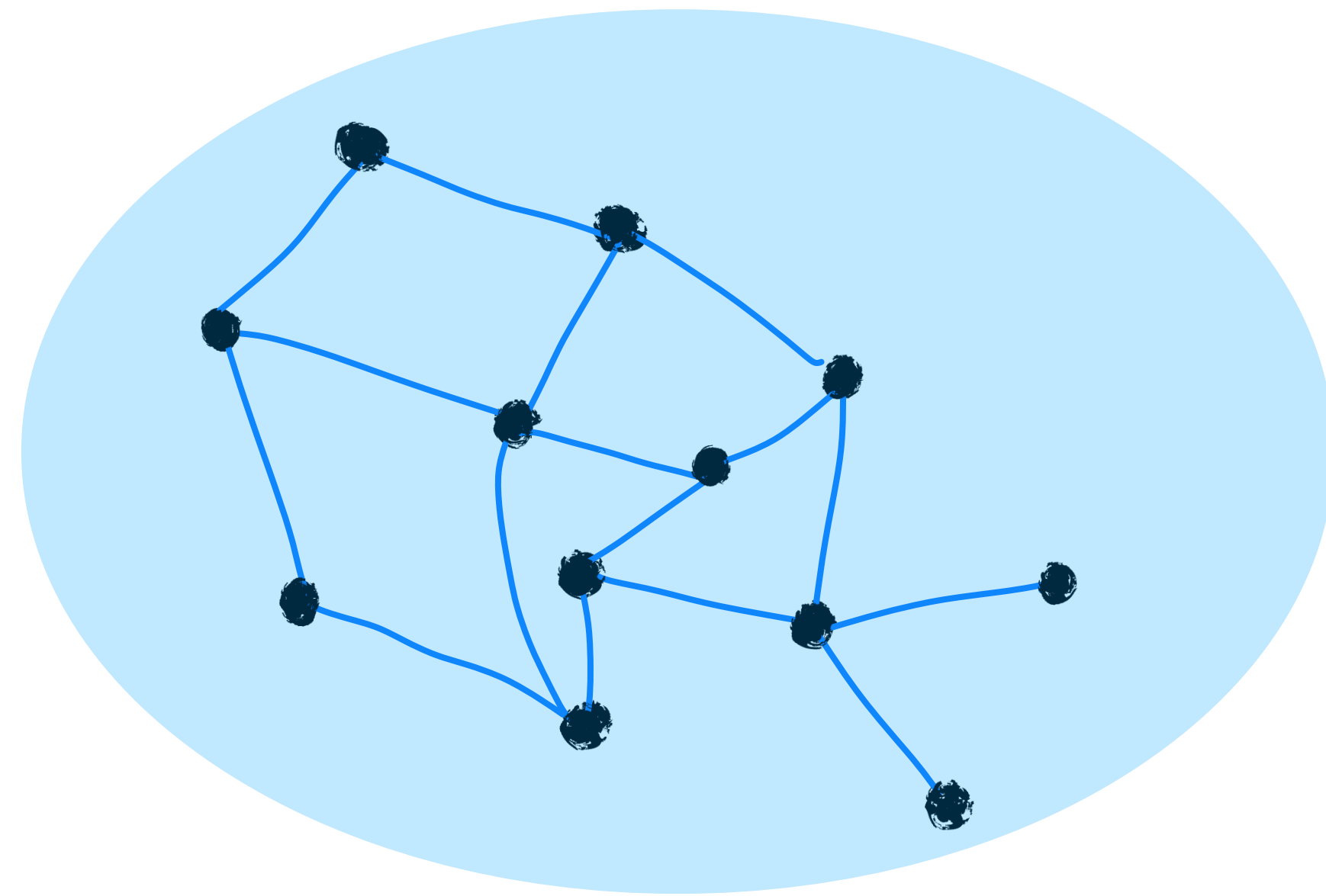


bits

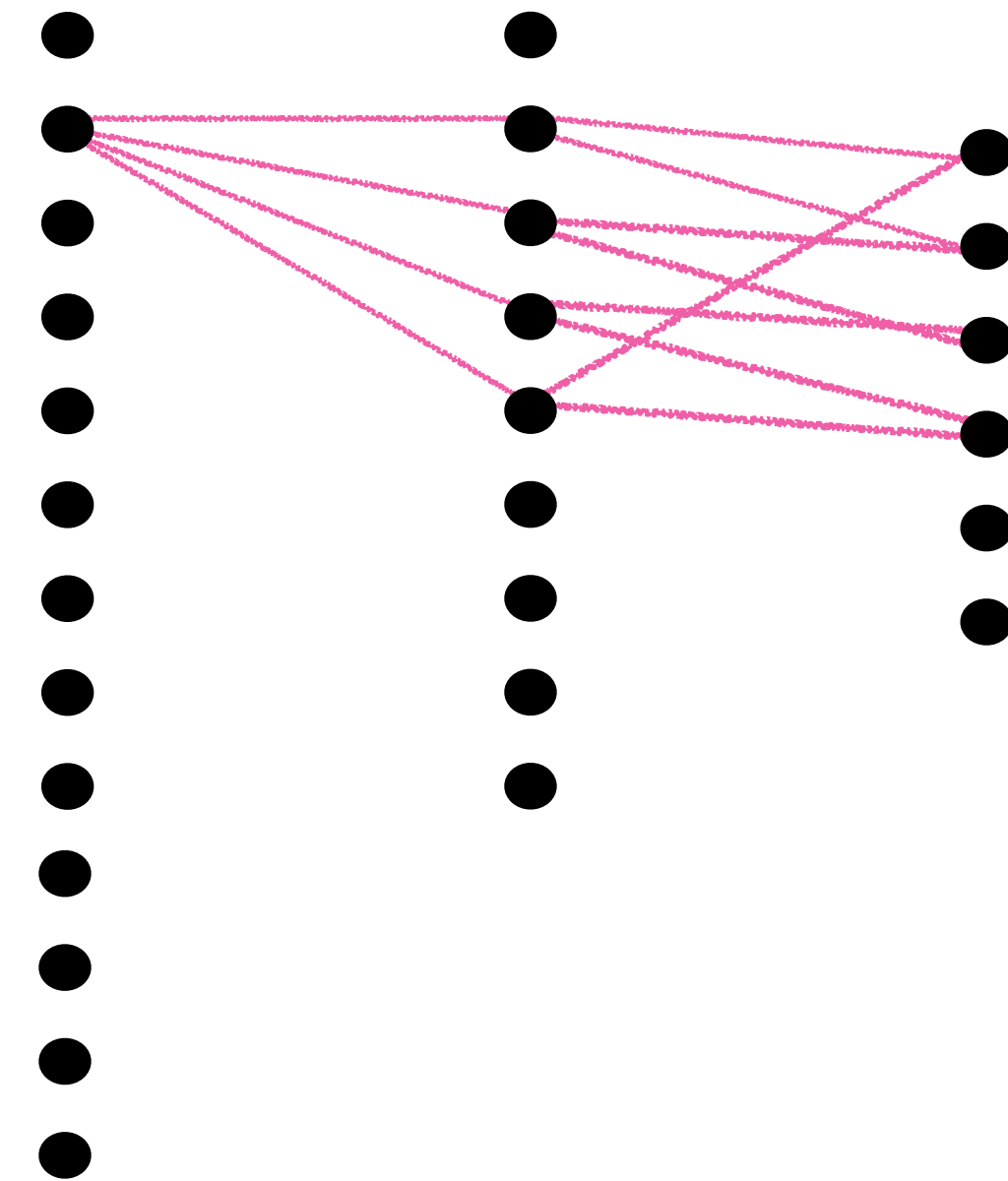
$C_0$  constraints

factor graph

# Expander Codes, one level up



Squares Edges Vertices



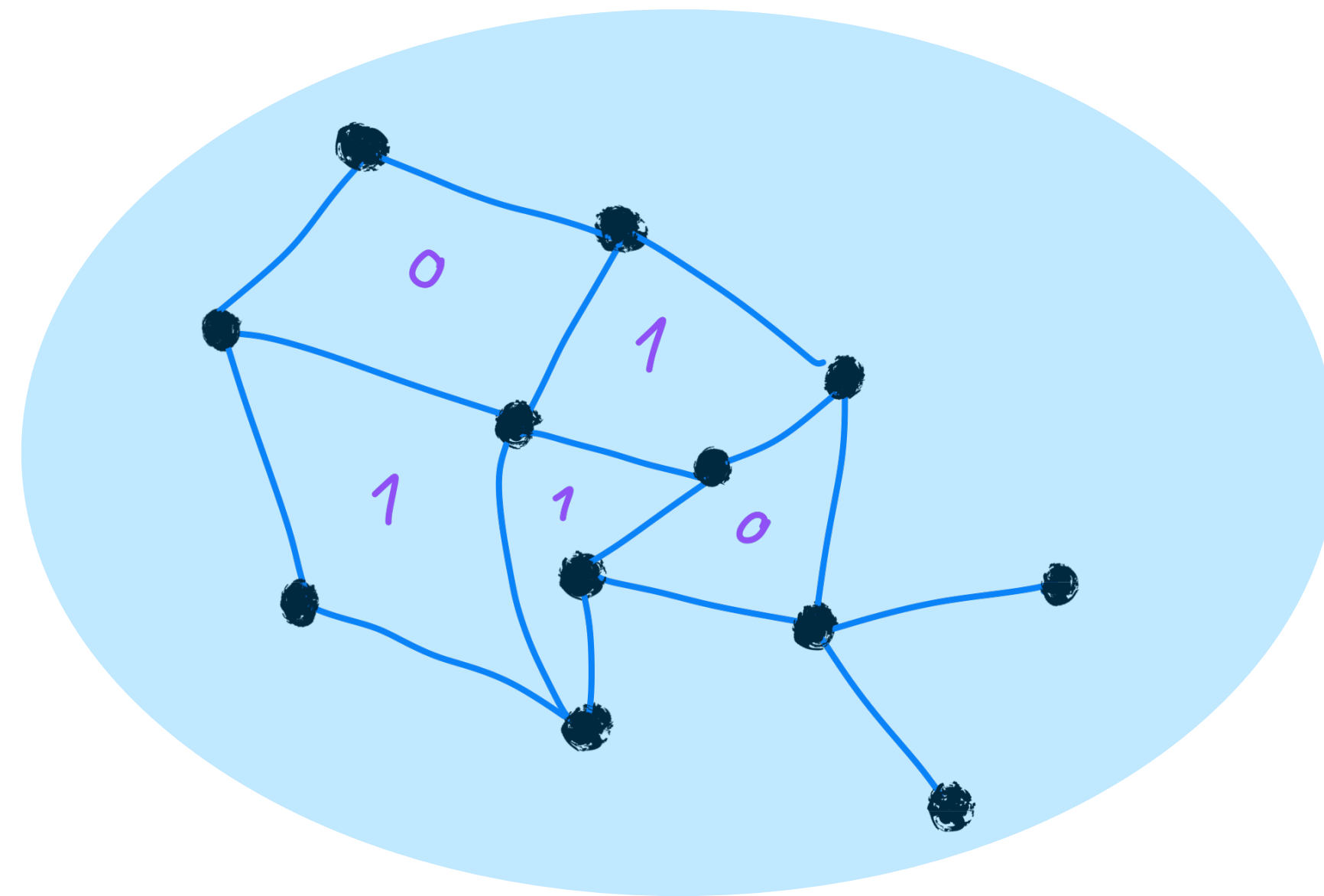
bits

$C_0$  constraints

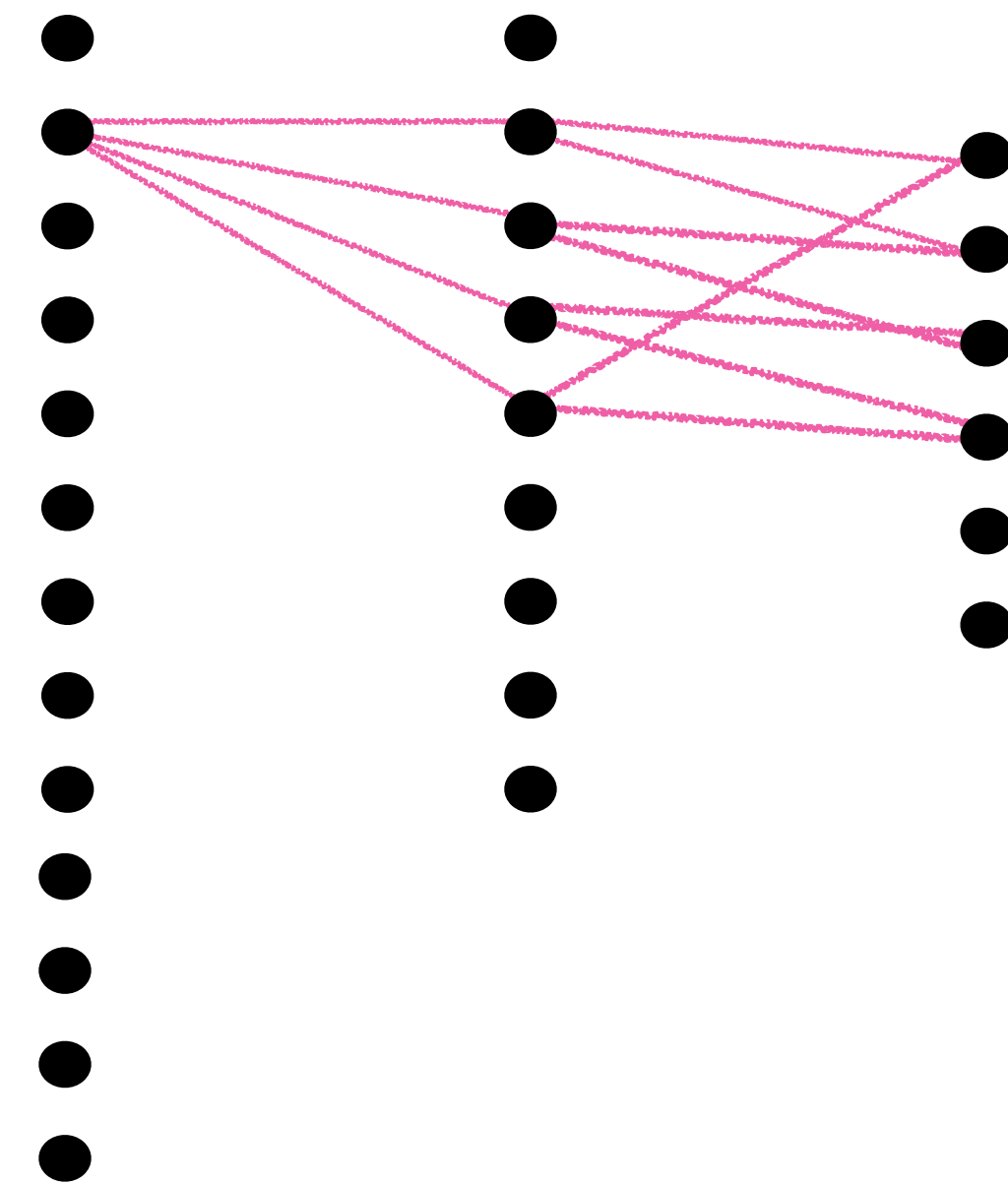
dependencies

factor graph

# Expander Codes, one level up



Squares Edges Vertices



bits

$C_0$  constraints

dependencies

factor graph

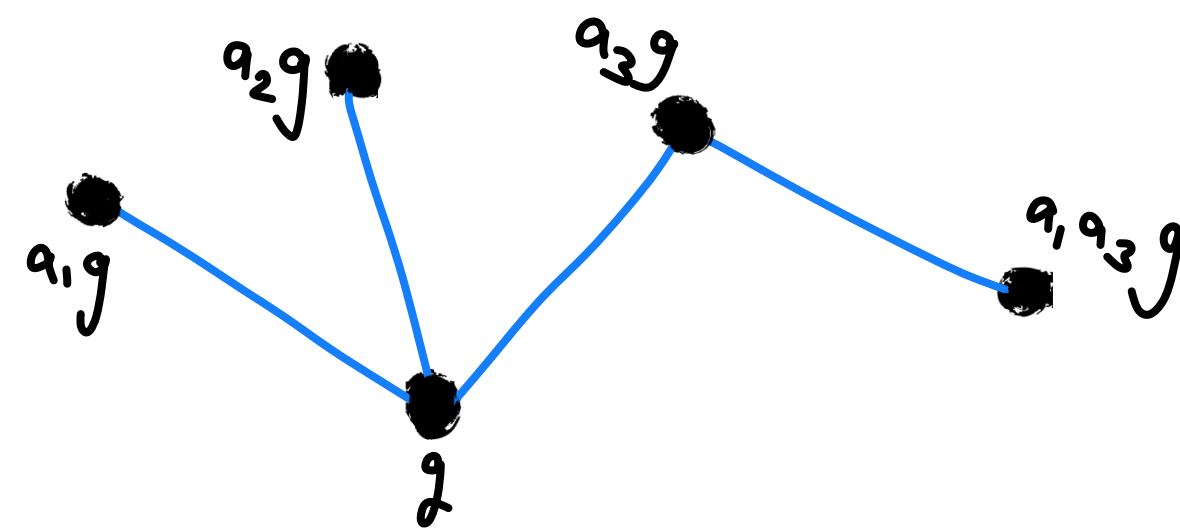
# Left-right Cayley Complex

“a graph with squares”

Let  $G$  be a finite group,

Let  $A \subset G$  be closed under taking inverses, i.e. such that  $a \in A \rightarrow a^{-1} \in A$

$\text{Cay}(G,A)$  is a graph with vertices  $G$ , and edges  $E_A = \{\{g, ag\} : g \in G, a \in A\}$

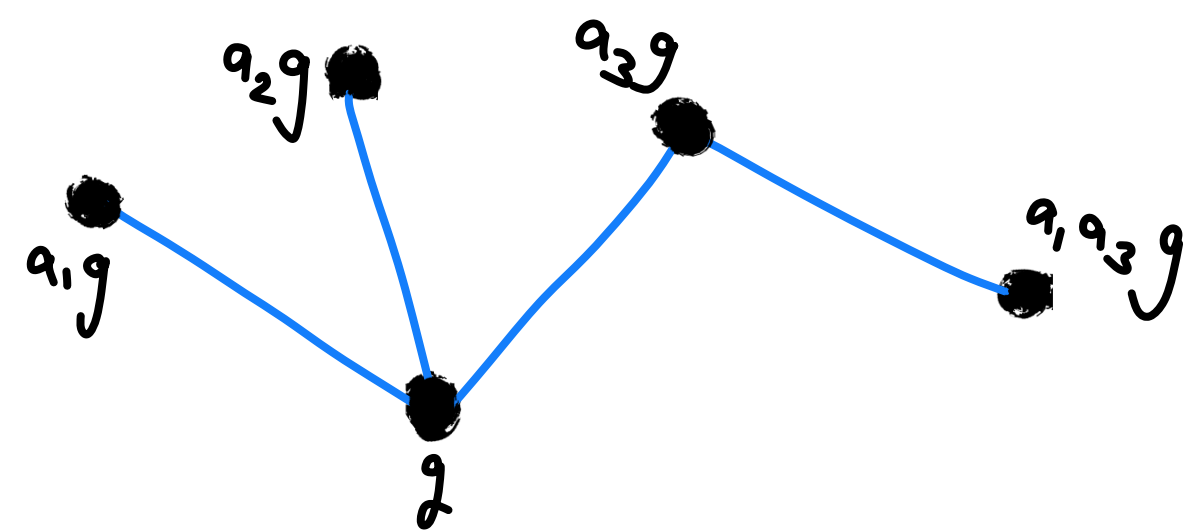


# Left-right Cayley Complex

“a graph with squares”

Let  $G$  be a finite group,

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# Left-right Cayley Complex

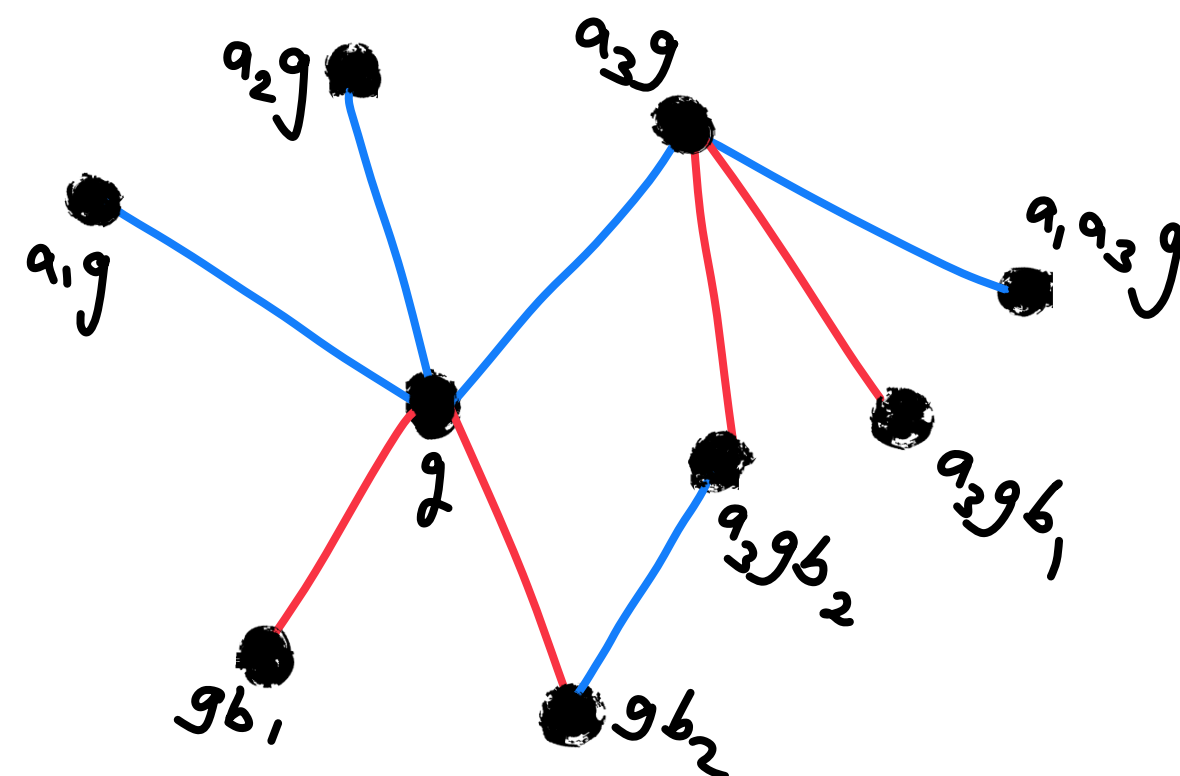
“a graph with squares”

Let  $G$  be a finite group,

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$\text{Cay}(G, B)$  is a graph with vertices  $G$ , and edges  $E_B = \{\{g, gb\} : g \in G, b \in B\}$  (right \*)





# Left-right Cayley Complex

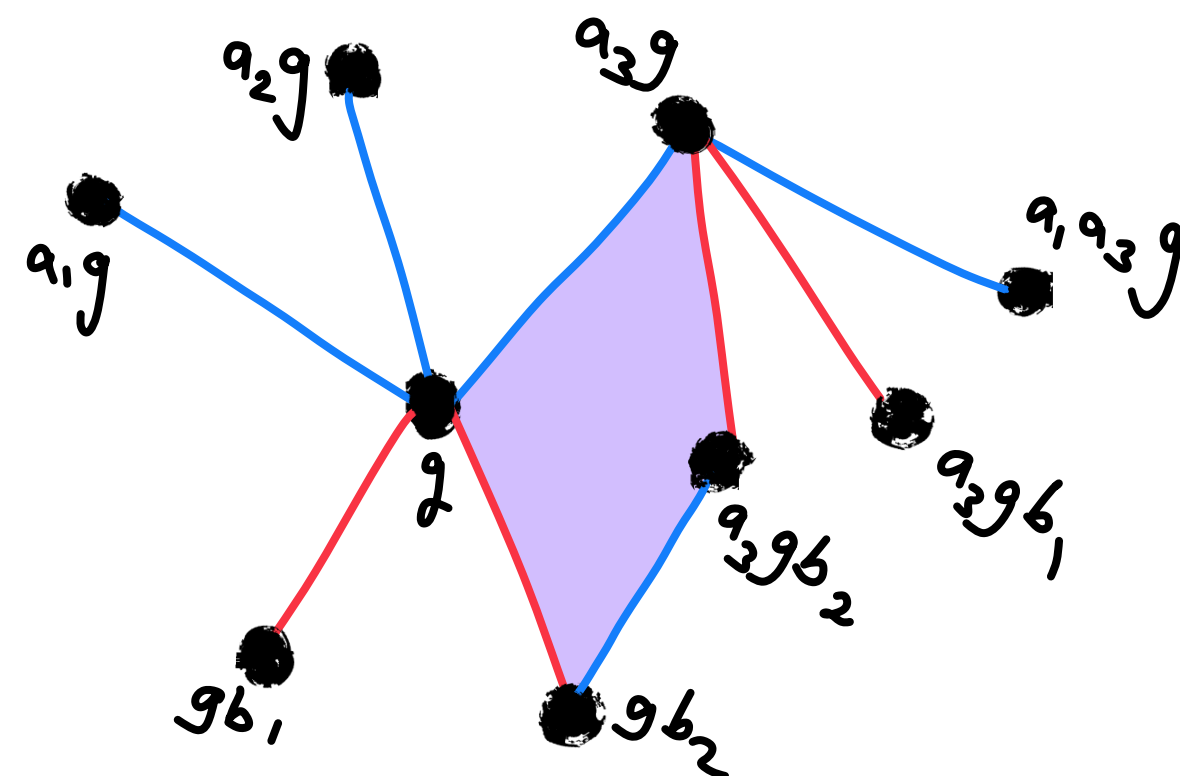
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# Left-right Cayley Complex

“a graph with squares”

Each triple  $a \in A, g \in G, b \in B$  define a rooted square  $(a, g, b)$

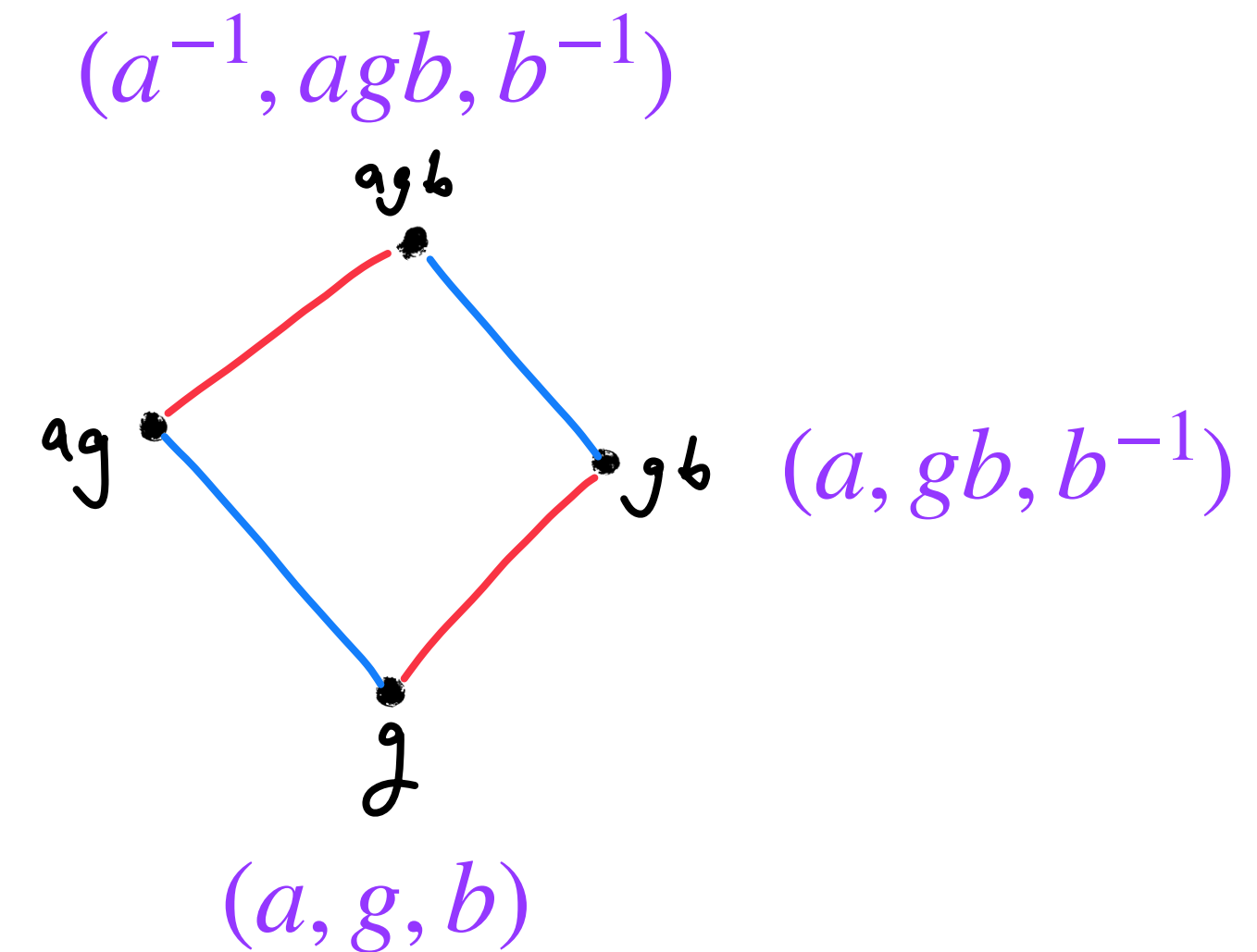
Each square can have 4 roots,

$$[a, g, b] = \{ (a, g, b), (a^{-1}, ag, b), (a^{-1}, agb, b^{-1}), (a, gb, b^{-1}) \}$$

This square naturally contains

- The edges  $\{g, ag\}, \{g, gb\}, \{gb, agb\}, \{ag, agb\},$
- The vertices  $g, ag, gb, agb$

The set of squares is  $X(2) = \{[a, g, b] : g \in G, a \in A, b \in B\} = A \times G \times B / \sim$



# Left-right Cayley Complex $\text{Cay}^2(A,G,B)$

Let  $G$  be a finite group, and let  $A, B \subset G$  be closed under taking inverses.

The left-right Cayley complex  $\text{Cay}^2(A,G,B)$  has

- Vertices  $G$

- Edges  $E_A \cup E_B$

$$E_A = \{\{g, ag\} : g \in G, a \in A\}, \quad E_B = \{\{g, gb\} : g \in G, b \in B\}$$

- Squares  $A \times G \times B / \sim$

We say that  $\text{Cay}^2(A,G,B)$  is a  $\lambda$ -expander if  $\text{Cay}(G,A)$  and  $\text{Cay}(G,B)$  are  $\lambda$ -expanders.

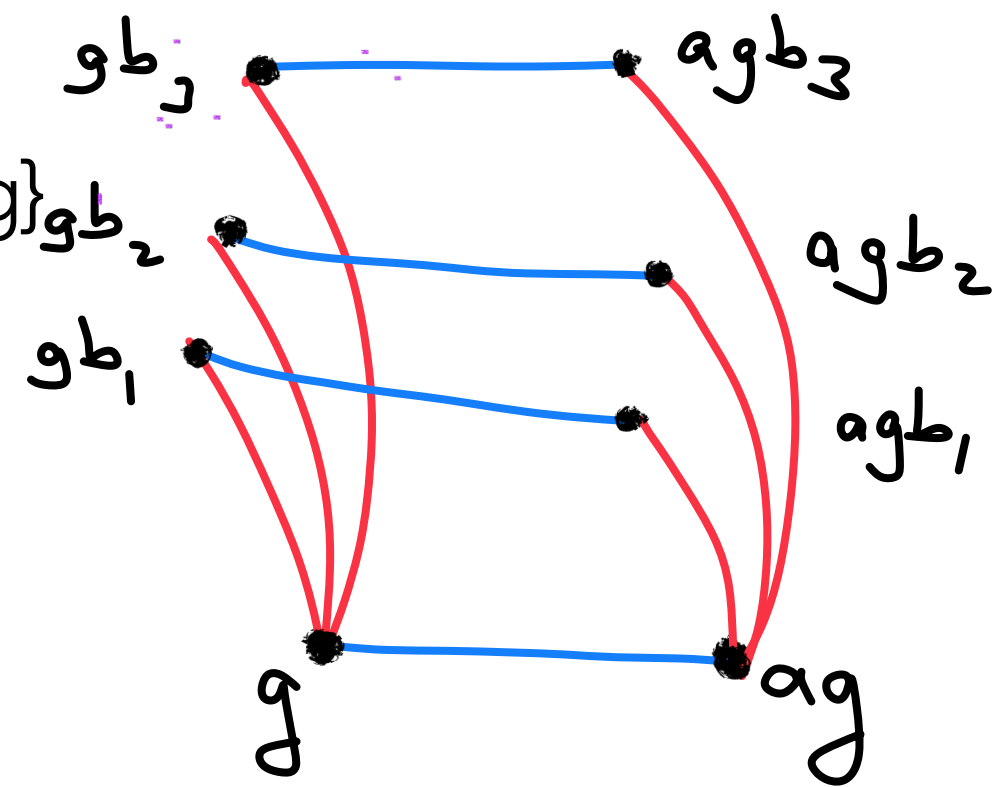
Lemma: For every  $\lambda > 0$  there are explicit infinite families of bounded-degree left-right Cayley complexes that are  $\lambda$ -expanders.

# Left-right Cayley Complex

“a graph with squares”

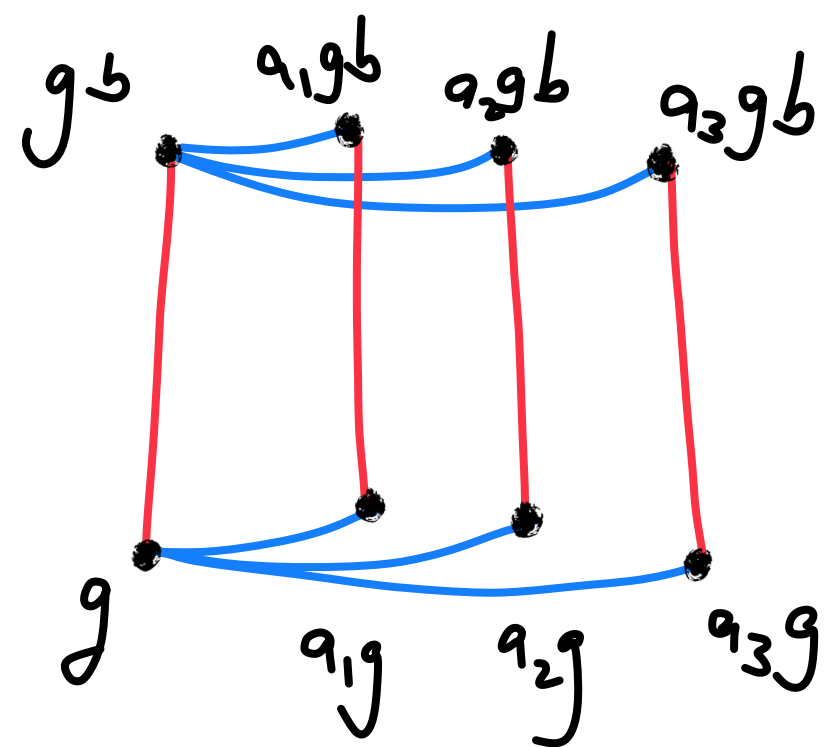
Squares touching the edge  $\{g, ag\}$   
are naturally identified with  $B$

$$b \mapsto [a, g, b]$$



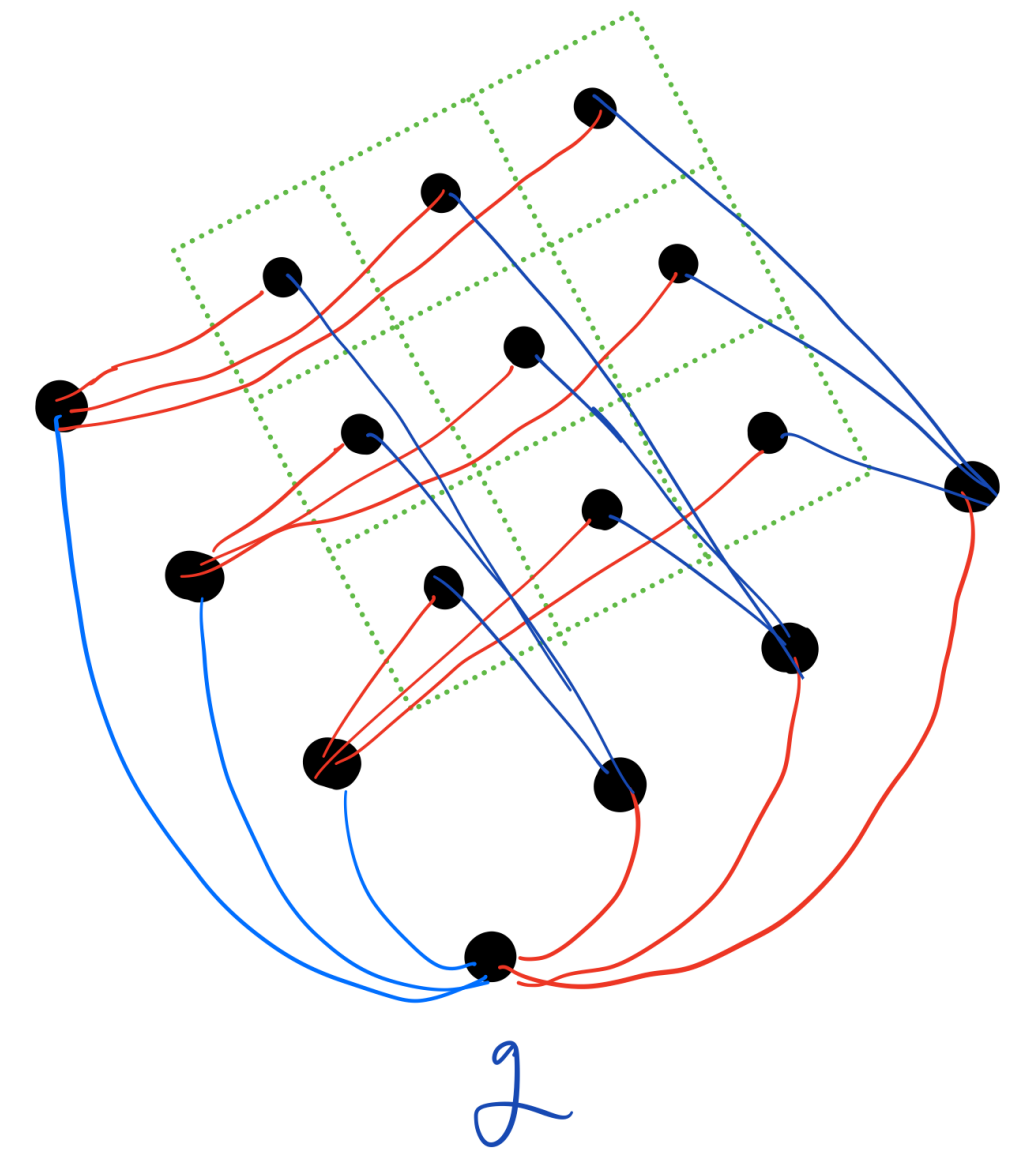
Squares touching the edge  $\{g, gb\}$   
are naturally identified with  $A$

$$a \mapsto [a, g, b]$$



A vertex  $g$  has  $|A| + |B|$  neighbors

For each  $a \in A, b \in B$  there is one square touching  $g$ ,  
so there is a natural bijection\*  $(a, b) \mapsto [a, g, b]$



\* it is a bijection assuming  $\forall a, b, g, \quad g^{-1}ag \neq b$

# Left-right Cayley Complex

“a graph with squares”

Squares touching the edge  $\{g, ag\}$  are naturally identified with  $B$

$$b \mapsto [a, g, b]$$

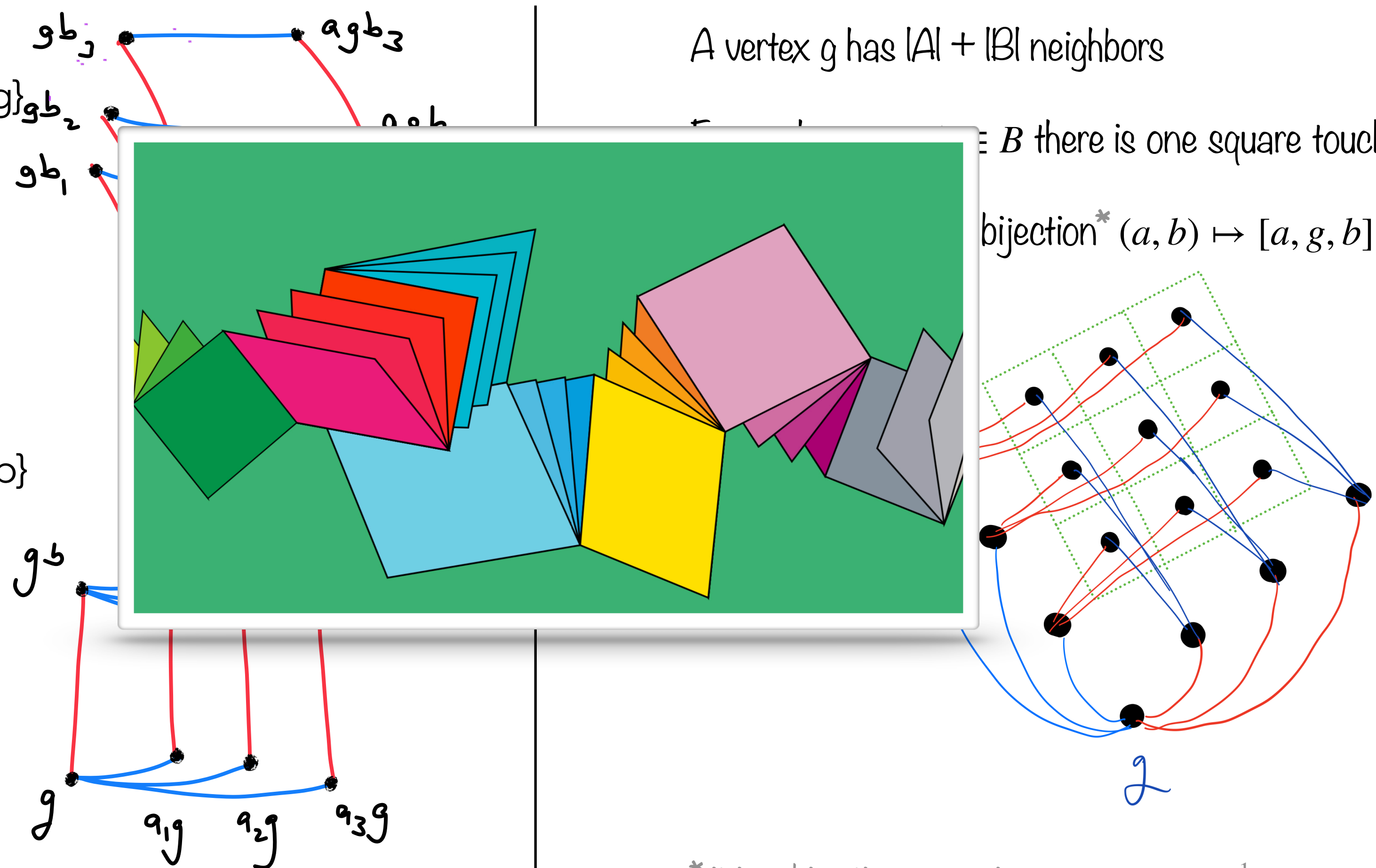
Squares touching the edge  $\{g, gb\}$  are naturally identified with  $A$

$$a \mapsto [a, g, b]$$

A vertex  $g$  has  $|A| + |B|$  neighbors

For each  $g \in B$  there is one square touching  $g$ ,

bijection\*  $(a, b) \mapsto [a, g, b]$



\* it is a bijection assuming  $\forall a, b, g, \quad g^{-1}ag \neq b$



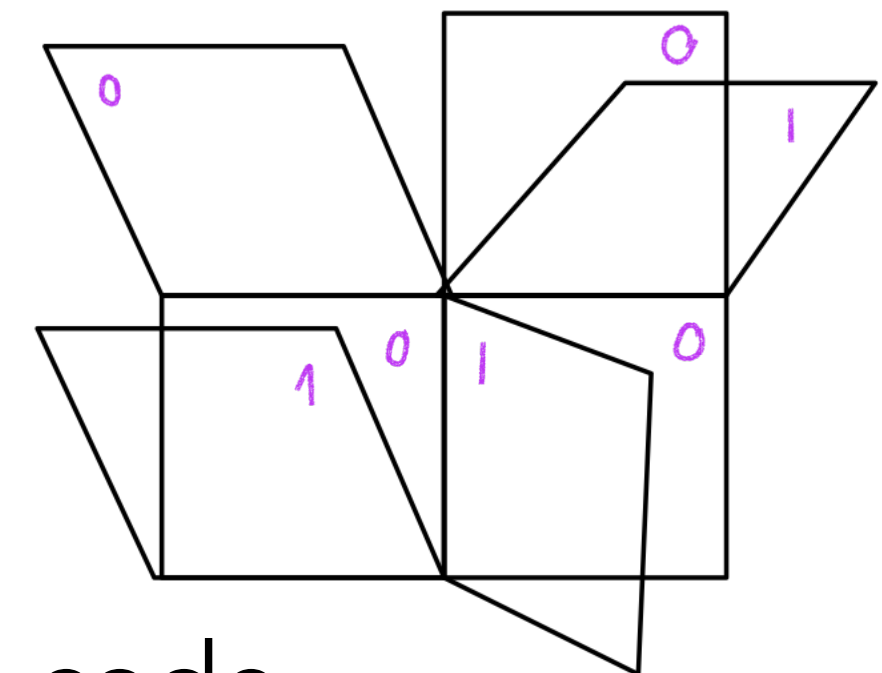
# The Code

Let  $\text{Cay}^2(A, G, B)$  be a left-right Cayley complex.

Fix base codes  $C_A \subseteq \{0,1\}^A$ ,  $C_B \subseteq \{0,1\}^B$  (assuming  $|A| = |B| = d$  we can take one base code  $C_0 \subseteq \{0,1\}^d$ ) and let  $C_A, C_B \simeq C_0$

Define a code  $\text{CODE} = C[G, A, B, C_A, C_B]$ :

- The **codeword bits** are placed on the squares
- Each edge requires that the bits on the squares around it are in the base code



$$\text{CODE} = \{f : \text{Squares} \rightarrow \{0,1\} : \forall a, g, b, f([\cdot, g, b]) \in C_A, f([a, g, \cdot]) \in C_B\}$$

Rate:  $\geq 4r_0 - 3$  [ calc: #squares - #constraints ]

Distance:  $\geq \delta_0^2(\delta_0 - \lambda)$  [easy propagation argument]



# Local views are tensor codes

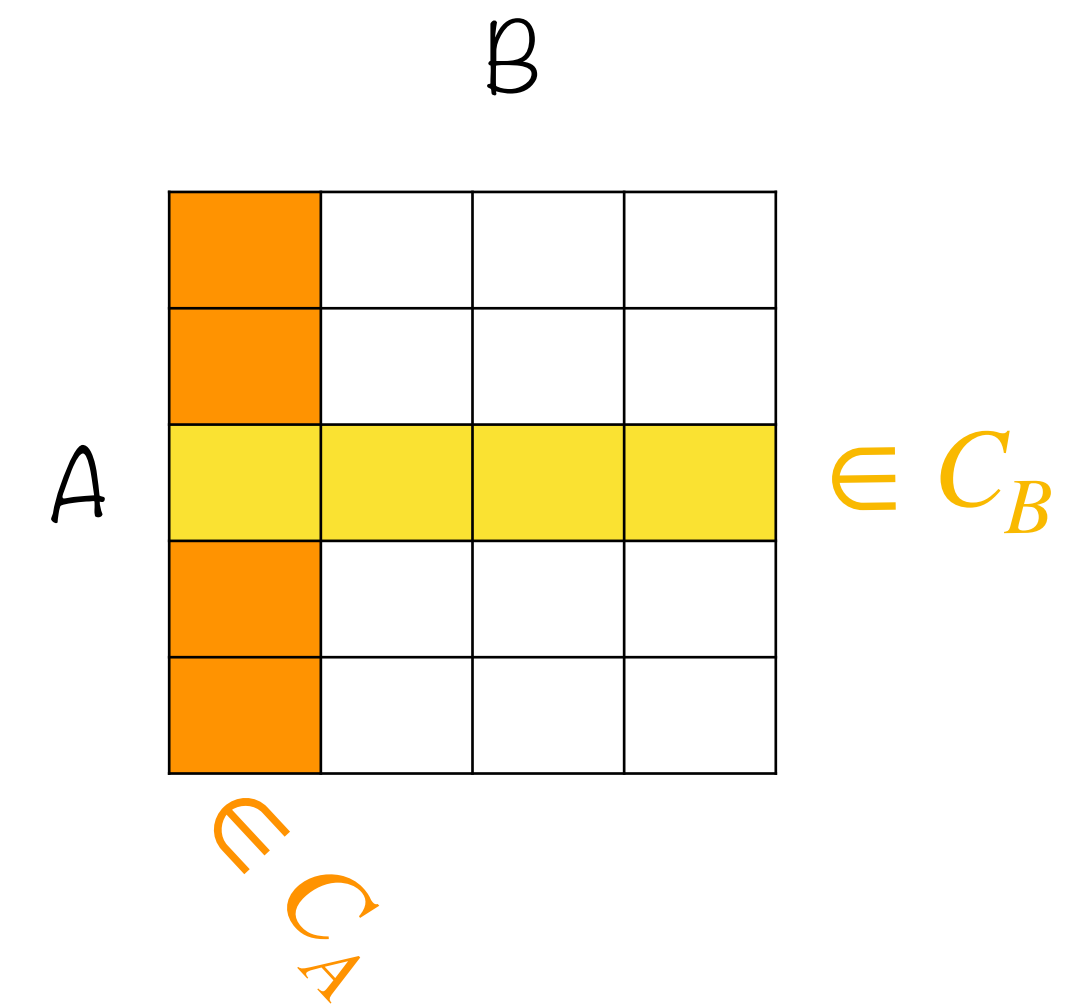
Claim: Fix  $f \in \text{CODE}$ . For each  $g \in G$ ,  $f([\cdot, g, \cdot]) \in C_A \otimes C_B$

Theorem: Assume  $\text{Cay}^2(A, G, B)$  is a  $\lambda$ -expander, and  $C_A \otimes C_B$  is  $\rho$ -robustly testable. If  $\lambda < \delta_0 \rho / 5$ , then  $C[G, A, B, C_A, C_B]$  is locally testable.

The tester is as follows:

1. Select a vertex  $g$  uniformly,
2. Read  $f$  on all  $|A| \cdot |B|$  squares touching  $g$ , namely  $f([\cdot, g, \cdot])$ .
3. Accept iff this belongs to  $C_A \otimes C_B$

Then  $\Pr_{g \in G} [f([\cdot, g, \cdot]) \notin C_A \otimes C_B] \geq \text{const} \cdot \text{dist}(f, C[G, A, B, C_A, C_B])$



$$\text{CODE} = \{f : \text{Squares} \rightarrow \{0,1\} : \forall a, g, b, \quad f([\cdot, g, b]) \in C_A, f([a, g, \cdot]) \in C_B\}$$

# Proof of local-testability

Start with  $f : \text{Squares} \rightarrow \{0,1\}$  and find  $f' \in C$ ,  $\text{rej}(f) \geq \text{dist}(f, f') \cdot \text{const}$

## ALG "self-correct":

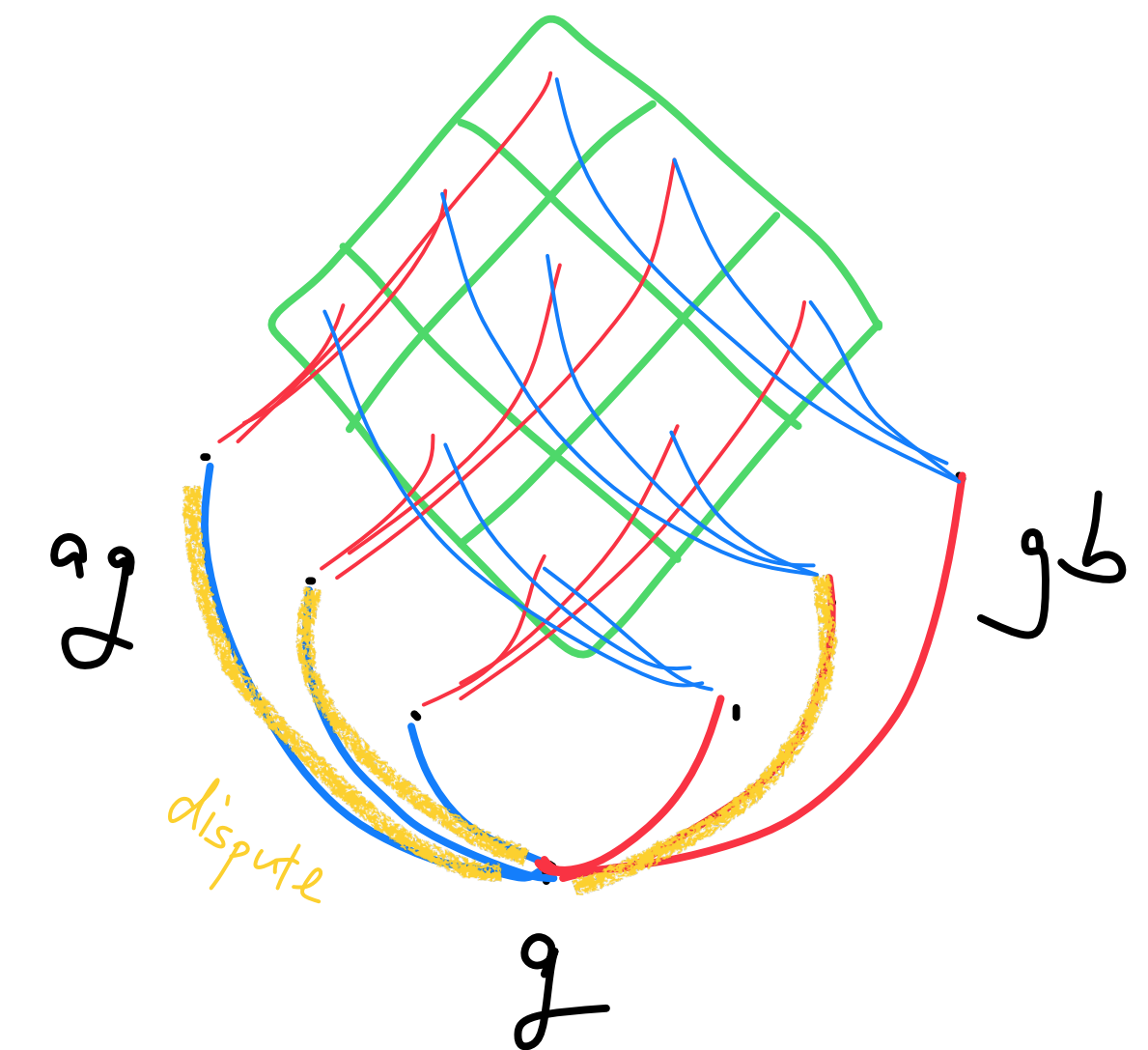
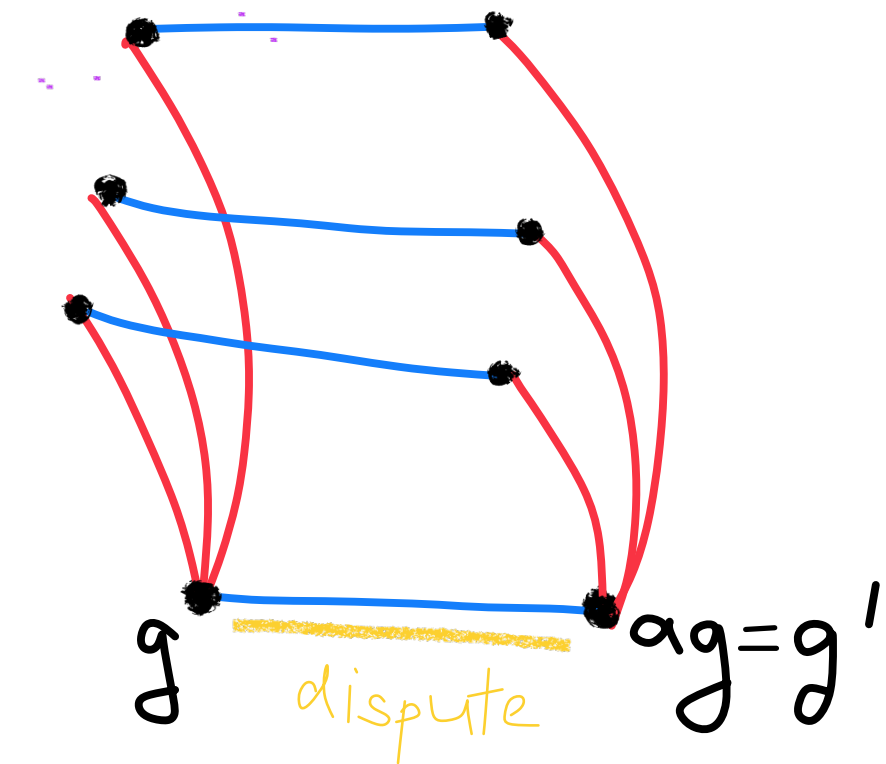
1. Init: Each  $g \in G$  finds  $T_g \in C_A \otimes C_B$  closest to  $f([\cdot, g, \cdot])$   
[ define a progress measure  $\Phi = \# \text{ dispute edges}$  ]
2. Loop: If  $g$  can change  $T_g$  and reduce  $\Phi$  then do it
3. End: If  $\Phi = 0$  let  $f'([a, g, b]) = T_g(a, b)$  and output  $f'$ ,  
If  $\Phi > 0$  quit

- $\text{steps} \leq \Phi \approx \text{rej}(f)$
- If  $\Phi = 0$  then  $\text{rej}(f) \geq \text{dist}(f, f') \cdot \text{const}$
- **If  $\Phi > 0$  then  $\Phi > 0.1$**  so  $\text{rej}(f) \geq \text{dist}(f, f') \cdot 0.1$

# Proof of local-testability

If ALG "self-correct" is stuck then  $\text{rej}(f) > 0.1$

- If  $g, g'$  are in dispute, there must be many squares on  $\{g, g'\}$  with further dispute edges
- Can try to propagate, but, they all might be clumped around  $g$
- But then  $g$ 's neighbors all agree, so there must have been a better choice for  $T_g$  (using the LTCness of tensor codes)
- Random walk **edge**  $\rightarrow$  **square**  $\rightarrow$  **edge** + expansion  $\implies$  dispute set is large



# High dimensional expansion

The idea of using a higher-dimensional complex instead of a graph for LTCs has been circulating a number of years.

HDXs exhibit local-to-global features: **prove something locally and then use expansion to globalize**

[Garland 1973, Kaufman-Kazhdan-Lubotzky2014, Evra-Kaufman2016, Oppenheim2017, D.-Kaufman2017, D.-Harsha-Kaufman-LivniNavon-TaShma2018, Anari-Liu-OveisGharan-Vinzant2019]

Dikstein-D.-Harsha-RonZewi2019 - Locally testable codes on HDX can “theoretically” work

How to “instantiate” this? ...we worked on the Lubotzky-Samuels-Vishne complexes (quotients of BT buildings), and have conjectured base codes, but no proof of local LTCness

## ...questions

- Can such ideas be used for constructing PCPs?
- Can these codes be made practical?