

## Seminar on Sublinear Time Algorithms

### Lecture 2

March 24, 2010

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Updated: April 29, 2010

## 1 Approximate average degree in a graph - Cont.

Last time we've seen an algorithm for estimating the average degree in a graph.

**Theorem 1 (Feige)** *There is a randomized algorithm that approximates the average degree within a factor of  $2 + \epsilon$  for an  $\epsilon \in (0, \frac{1}{2})$  in time  $(\frac{1}{\epsilon})^{O(1)} \cdot \sqrt{n}$ .*

The algorithm is as following:

1. Choose a set  $S$  by picking at random  $s = (\frac{1}{\epsilon})^{O(1)} \cdot \sqrt{n}$  vertices.
2. Compute  $d_S$ , the average degree of the vertices in  $S$ .
3. Repeat the above  $\frac{8}{\epsilon}$  times and report the smallest value in step 2.

The only thing left to show from last week is the following claim.

**Claim 2** *Let  $d_S$  be the average degree of  $S$ , and let  $d$  be the average degree in  $G$ . Then*

$$\Pr[d_S < \frac{1}{2}(1 - \epsilon)d] \leq \epsilon/64$$

**Proof** Let  $H \subseteq V$  be the  $\sqrt{\epsilon n}$  vertices of the highest degree and let  $L = V \setminus H$ . We assume that  $S$  is sampled from  $L$  as this distribution is dominated by the actual  $d_S$ . Then

$$\mathbb{E}[d_S] \geq \frac{1}{2} \cdot \frac{d|V| - |H|^2}{|L|} \geq \frac{1}{2} \cdot \frac{(d - \epsilon)|V|}{|V|} = \frac{1}{2}(d - \epsilon)$$

where  $\frac{1}{2}$  comes from counting the number of edges touching  $L$  with at least one endpoint. Let  $d_H$  be the lowest degree in  $H$  and let  $1 \leq X_i \leq d_H$  be the degree of the  $i$ 'th sampled vertex. Then  $d_S = \frac{1}{s} \sum X_i$  and by Chernoff (multiplicative) bound we have

$$\Pr[d_S < \frac{1}{2}(1 - \epsilon)d] = \Pr[\frac{1}{s} \sum X_i < (1 - \epsilon)\mathbb{E}[\frac{1}{s} \sum X_i]] \leq \exp(-\frac{\epsilon^2 \cdot s \cdot \mathbb{E}[X_1]}{4d_H})$$

By taking  $s \geq \epsilon^{-2} \frac{d_H}{\mathbb{E}[X_1]}$  we would get the required result. But we need  $s$  to be independent of  $d_H$  and  $\mathbb{E}[X_1]$ . We analyze two cases below.

**Case 1**  $d_H \geq \frac{1}{\epsilon}|H|$ . Then

$$\mathbb{E}[X_1] = \frac{\sum_{v \in L} d(v)}{|L|} \geq \frac{|H|d_H - |H|^2}{|L|} = \frac{|H|(d_H - |H|)}{|L|} \geq \frac{|H|(1 - \epsilon)d_H}{|V|}$$

Implying  $\frac{d_H}{\mathbb{E}[X_1]} \leq \frac{|V|}{|H|}$  and thus

$$\epsilon^{-2} \frac{d_H}{\mathbb{E}[X_1]} \leq \epsilon^{-2} \frac{|V|}{|H|} = \text{poly}\left(\frac{1}{\epsilon}\right) \sqrt{n} = s$$

**Case 2**  $d_H < \frac{1}{\epsilon}|H|$ . Since  $\mathbb{E}[X_1] \geq 1$  we may take

$$\epsilon^{-2} \frac{d_H}{\mathbb{E}[X_1]} \leq \epsilon^{-3} \sqrt{\epsilon n} = \text{poly}\left(\frac{1}{\epsilon}\right) \sqrt{n} = s$$

So by taking  $s$  to be the largest of the above two, we complete the proof of the theorem. ■

**Remark** In fact there is a matching lower bound under the assumption that the algorithm is allowed to observe only the degrees of a vertex. Any algorithm that uses only degree queries and estimates the average degree within a ratio  $2 - \delta$  for some constant  $\delta$  requires  $\Omega(n)$  queries.

## 2 Minimum spanning trees

Given an undirected connected graph  $G$  with maximal degree  $\leq D$  and edge weights in  $\{1, \dots, W\}$ , represented as an *adjacency list*, we want to compute the weight of  $MST(G)$ .

**Theorem 3 (Chazelle, Rubinfeld, Trevisan)** *There is an algorithm that approximates the cost of  $MST(G)$  within factor of  $1 + \epsilon$  in time  $O\left(\left(\frac{1}{\epsilon}\right)W^3 D \lg(n)\right)$ .*

We start proving the theorem with the following lemma.

**Lemma 4** *Let  $G_i$  be the subgraph of  $G$  containing all edges of weight at most  $i$ . Let  $c_i$  be the number of connected components in  $G_i$ . Then*

$$MST(G) = n - W + \sum_{i=1}^{W-1} c_i$$

Next we present an algorithms for approximating  $MST(G)$ .

1. For  $i = 1, \dots, W$  estimate  $\hat{c}_i$  for  $c_i$  within additive error of  $\frac{\epsilon n}{W}$
2. Report  $n - W + \sum_{i=1}^{W-1} \hat{c}_i$

Observe that assuming that step 1 succeeds w.p.  $\geq 1 - \frac{1}{4W}$  for all  $i$ , the algorithm estimates  $MST(G)$  within factor of  $(1 + \epsilon)$  with probability at least  $\frac{3}{4}$ .

## 2.1 Estimating $c_j$

We may assume that the input is the graph  $G_j$  by ignoring the edges of weight  $w_e \geq j$ . The algorithms for estimating  $c_j$  is as following:

1. Choose  $s = \epsilon^{-2}W^3$  random vertices  $v_1, \dots, v_s$ .
2. For each  $v_i$  do
  - Choose r.v.  $1 \leq X_i \leq n$  such that  $\Pr[X \geq k] = \frac{1}{k}$  for all  $k = 1, \dots, n$
  - Perform BFS from  $v_i$  until either the entire connected component is explored and set  $b_i = 1$ , or until explored  $X + 1$  distinct vertices and set  $b_i = 0$ .
3. Report  $\hat{c} = \frac{n}{s} \sum_{i=1}^s b_i$

The runtime is clearly  $s \cdot D \cdot \mathbb{E}[X]$ , where  $D$  comes from the BFS, and  $\mathbb{E}[X] = O(\lg(n))$ . We first compute  $\mathbb{E}[\hat{c}]$ . Let  $c$  be the number of connected components. Then

$$\mathbb{E}[\hat{c}] = \frac{n}{s} \sum_{i=1}^s \mathbb{E}[b_i] = n\mathbb{E}[b_1]$$

So it is enough to compute  $\mathbb{E}[b_1]$ :

$$\mathbb{E}[b_1] = \sum_C \frac{|C|}{n} \Pr[X \geq |C|] = \sum_C \frac{|C|}{n} \cdot \frac{1}{|C|} = \frac{c}{n}$$

where the sum runs over all connected components  $C$ . Therefore

$$\mathbb{E}[\hat{c}] = n\mathbb{E}[b_1] = c$$

Next we show that the variance of  $\hat{c}$  is not too large, and use Chebyshev inequality to conclude that  $\hat{c}$  estimates  $c$  well.

$$\begin{aligned} \text{Var}[b_1] &= p(1-p) = \frac{c}{n} \left(1 - \frac{c}{n}\right) \leq \frac{c}{n} \\ \text{Var}[\hat{c}] &= \frac{n^2}{s^2} \sum_{i=1}^s \text{Var}[b_i] \leq \frac{n^2}{s^2} \sum_{i=1}^s \frac{c}{n} = \frac{nc}{s} \end{aligned}$$

By Chebyshev inequality we have

$$\Pr[|\hat{c} - c| \geq \frac{\epsilon n}{W}] \leq \frac{\text{Var}[\hat{c}]W^2}{n^2\epsilon^2} \leq \frac{cW^2}{s\epsilon^2 n} \leq \frac{1}{4W}$$

## 3 Maximum matching

In the problem of maximum matching we are given an undirected connected graph  $G$  with maximal degree  $\leq D$ . The goal is to find a maximum matching, that is a maximum set of disjoint edges.

**Lemma 5** *The size of any maximal matching is at least half of a maximum matching.*

**Theorem 6 (Nguyen, Onak)** *There is an algorithm that  $(1/2, \epsilon)$ -approximates maximal matching in time  $D^{O(D)}/\epsilon$ . That is with high probability the algorithm returns a value in the range  $[\frac{1}{2}M - \epsilon n, M]$ , where  $M$  is the size of maximum matching.*

Let us first consider the following greedy algorithm for finding maximal matching.

1. Start with an empty set  $M = \emptyset$ .
2. Iterate over the edges in an arbitrary order and add an edge to  $M$  if possible
3. Output  $M$ .

Our sublinear algorithm will simulate this greedy algorithm. We will fix some order of the edges and by sampling edges we will add an edge to  $M$  if all its neighbors are not in  $M$ . The algorithm is the following:

1. For each edge  $e$  choose a permutation of edges by assigning each edge a random priority  $p(e) \sim U[0, 1]$  uniformly.
2. Choose  $s = O(D\epsilon^{-2})$  edges  $e_1, \dots, e_s$  uniformly at random from  $V \times \{1, \dots, D\}$ .
3. For each  $i = 1, \dots, s$  explore the neighbors of  $e_i$  inductively. Set  $X_i = 1$  if none of its neighbors is in the matching and  $X_i = 0$  otherwise.
4. Output  $\frac{\sum_i X_i}{s} \cdot Dn$ .