

# Seminar on Algorithms and Geometry 2014B

## Lecture 2 – Doubling metrics and Nearest Neighbor Search (cont'd)\*

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### 1 NNS algorithm

We continue in our proof of the following theorem. (We already saw the preprocessing algorithm.)

**Theorem:** One can preprocess a subset  $S \subset M$  of size  $n$ , and build a data structure of size  $2^{O(\text{ddim } S)} \cdot n$ , so as to answer  $(1+\varepsilon)$ -NNS queries (for every  $\varepsilon < 1/2$ ) in time  $(1/\varepsilon)^{O(\text{ddim } S)} \cdot \log \Phi(S)$ .

**Query procedure (for  $q \in M$ ):**

Idea: maintain a set  $Z_i$  of level  $i$  net points “near” the query

1. Initialize  $Z_m = Y_m$ .
2. Iteratively for  $i = m - 1$  going down to 0
3. Let  $Z_i$  contain all the points “pointed to” from  $Z_{i+1}$ , i.e.,  $\cup_{y \in Z_{i+1}} L_{y,i+1}$ , that are within distance  $(7/\varepsilon)2^i$  from  $q$ .
4. If  $d(q, Z_i) \geq (3/\varepsilon) \cdot 2^i$  then return the point attaining this.

**Query time:** The number of iterations is  $\log \Phi(S)$ . In each iteration, we scan the pointers coming out of  $Z_{i+1}$ ; We already bounded the size of any single list, and we can bound  $|Z_i| \leq 2^{O(\text{ddim } S)}$  by the packing lemma. Overall, the query time is  $(1/\varepsilon)^{O(\text{ddim } S)} \log \Phi(S)$ .

To prove correctness of the query algorithm, we show it maintains a certain invariant.

**Lemma (Invariant):** Every set  $Z_i$  constructed by the query algorithm is exactly  $Y_i \cap B(q, (7/\varepsilon) \cdot 2^i)$ .

**Proof:** Was seen in class, by induction on  $i$ .

**Correctness of the output:** Let  $a^*$  be an optimal NNS, i.e.,  $d(q, a^*) = d(q, S) = OPT$ . Consider the iteration  $i$  in which the stopping condition is met. (formally, it might never be met, as discussed below.) Then  $a^*$  is covered by some point  $y \in Y_i$ , and we get that

$$d(q, y) \leq d(q, a^*) + d(a^*, y) \leq OPT + 2^i.$$

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\*These notes summarize the material covered in class, usually skipping proofs, details, examples and so forth, and possibly adding some remarks, or pointers. The exercises are for self-practice and need not be handed in. In the interest of brevity, most references and credits were omitted.

As seen in class, we can use the invariant to prove that  $OPT > (1/\varepsilon)2^i$ . We can also show that  $y \in Z_i$ , because the stopping condition was not met at the previous iteration  $i + 1$ . Hence, the algorithm reports  $y$  or an even closer one, and then  $d(q, y) \leq OPT + 2^i \leq (1 + \varepsilon)OPT$ .

**Improved storage:** The data structure can be stored using  $2^{O(\text{ddim } S)}n$  words.

**Proof:** As seen in class, we count how many lists  $L_{y,i}$  are non-trivial in the sense that they contain at least other point than  $y$  itself. Bounding this number is done by charging each list to some point in the list, that is chosen carefully so that every point is charged  $O(1)$  times in total.

Exer: Show that the following version of the query algorithm achieves  $O(1)$ -approximation: Instead of keeping a set  $Z_i$ , we keep only one point  $z_i$ , where each  $z_i$  is the closest point to  $q$  among  $L_{z_{i+1},i+1}$ .

Exer: Suppose we modify the preprocessing, as follows. At each level  $i$ , if some point  $z$  appears in more than one list  $L_{y,i}$ , then remove all but one of its occurrences. This means that for every  $i$ , the sets  $L_{y,i}$  for  $y \in Y_i$  are disjoint. Show that this reduces the preprocessing storage to  $O(n)$ , and that the query procedure still works. (Some changes in constants might be needed.)

## 2 Spanners of Doubling Spaces

We can think of a metric space  $(M, d)$  as a complete graph on vertex set  $M$  with edge weights.

Defn: A  $k$ -spanner of an (edge-weighted) graph  $G = (V, E)$  is a subgraph  $G' = (V, E')$  such that

$$\forall u, v \in V, \quad d_{G'}(u, v) \leq k \cdot d_G(u, v).$$

We also say that  $G'$  is a spanner of  $G$  with stretch  $k$ .

Applying this definition, a spanner of a metric space  $(M, d)$  is a collection of edges  $E' \subset \binom{M}{2}$  (with weights according to  $d$ ) such that  $d_{G'}$  approximates  $d$ .

**Theorem:** For every  $\varepsilon \in (0, 1/2)$ , every finite metric space  $(M, d)$  admits a  $(1 + \varepsilon)$ -spanner with at most  $(1/\varepsilon)^{O(\text{ddim } M)}n$  edges.

Exer: Prove this theorem.

**Conjecture:** For every  $\varepsilon \in (0, 1/2)$ , every finite metric space  $(M, d)$  admits a  $(1 + \varepsilon)$ -spanner with total edge-weight  $(1/\varepsilon)^{O(\text{ddim } M)} \text{MST}(M)$ .

What is currently known: The known bound has an additional  $O(\log n)$  term [Smid 2009]. In the special case of Euclidean  $k$ -dimensional metrics, the conjecture is true with  $(1/\varepsilon)^{O(k)} \text{MST}(M)$  [Das-Narasimhan-Salowe'95, Arya-Das-Mount-Salowe-Smid'95].