

# Universal Locally Verifiable Codes and 3-Round Interactive Proofs of Proximity for CSP\*

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## Abstract

Universal locally testable codes (**universal-LTCs**), recently introduced in our companion paper (*ECCC*, 2017), are codes that admit local tests for membership in numerous subcodes, allowing for testing properties of the encoded message. Unfortunately, **universal-LTCs** suffer strong limitations, which motivate us to initiate, in this work, the study of the “NP analogue” of these codes, wherein the testing procedures are also given free access to a short proof, akin the  $\mathcal{MA}$  proofs of proximity of Gur and Rothblum (ITCS 2015). We call such codes “universal locally *verifiable* codes” (**universal-LVCs**).

A **universal-LVC**  $C: \{0, 1\}^k \rightarrow \{0, 1\}^n$  for a family of functions  $\mathcal{F} = \{f_i: \{0, 1\}^k \rightarrow \{0, 1\}\}_{i \in [M]}$  is a code such that, for every  $i \in [M]$ , membership in the subcode  $\{C(x) : f_i(x) = 1\}$  can be verified locally using explicit access to a short (sublinear length) proof. A **universal-LVC** can be viewed as providing an encoding of inputs under which a large family of properties of the encoded inputs can be locally testable *using a short proof*.

We show **universal-LVCs** of block length  $\tilde{O}(n^2)$  for the family of all functions expressible by  $t$ -ary constraint satisfaction problems ( $t$ -CSP) over  $n$  constraints and  $k$  variables, with proof length and query complexity  $\tilde{O}(n^{2/3})$ , where  $t = O(1)$  and  $n \geq k$ . In addition, we prove a lower bound of  $p \cdot q = \tilde{\Omega}(k)$  for every polynomial length **universal-LVC**, having proof complexity  $p$  and query complexity  $q$ , for such CSP functions.

Lastly, we give an application for *interactive proofs of proximity* (IPP), introduced by Rothblum, Vadhan, and Wigderson (STOC 2013), which are interactive proof systems wherein the verifier queries only a sublinear number of input bits to the end of asserting that, with high probability, the input is close to an accepting input. Specifically, we show a 3-round IPP for the set of assignments that satisfy fixed CSP instances, with sublinear communication and query complexity, which we derive from our **universal-LVC** for CSP functions.

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# 1 Introduction

Locally testable codes [FS95, RS96, GS06] are codes admitting local procedures for checking the validity of alleged codewords. A code  $C$  is a locally testable code (LTC) if there exists a randomized testing algorithm that receives a proximity parameter  $\varepsilon > 0$ , makes a small number of queries to a string  $w$ , and with high probability accepts if  $w$  is a codeword of  $C$  and rejects if  $w$  is  $\varepsilon$ -far from  $C$ . The query complexity (or locality) of the tester is the number of queries that it makes.

In our companion work [GG16b], we initiated a study of a generalization of the notion of LTCs, called universal locally testable codes.<sup>1</sup> A universal-LTC is a code that not only admits a local test for membership in the code  $C$ , but also a local test for membership in a large *family of subcodes of  $C$* .

More specifically, a binary code  $C: \{0, 1\}^k \rightarrow \{0, 1\}^n$  is a  $q$ -local universal-LTC for a family of functions  $\mathcal{F} = \{f_i: \{0, 1\}^k \rightarrow \{0, 1\}\}_{i \in [M]}$  if for every  $i \in [M]$  the subcode  $\Pi_i := \{C(x) : f_i(x) = 1\}$  is locally testable with query complexity  $q$ . In other words, such codes allow for testing numerous properties of the encoded *message*; that is, for each  $i \in [M]$ , we can test whether  $C(x)$  is an encoding of a message  $x$  that satisfies  $f_i$ .

Unfortunately, universal-LTCs suffer strong limitations. For example, as shown in our companion work [GG16b, Thm 2], any  $q$ -query universal-LTC  $C: \{0, 1\}^k \rightarrow \{0, 1\}^n$  for a family of  $M$  pairwise-far functions satisfies  $q = \Omega(\log \log M - \log \log \eta)$ . Hence, the blocklength of any constant-query universal-LTC is at least  $M^{\Omega(1)}$ . Furthermore, in this work we show that for specific, natural families of functions, any universal-LTC with polynomial blocklength must have (almost) linear query complexity. This motivates us to consider the possibility of using short proofs to aid the verification.

## 1.1 The Notion of Universal Locally Verifiable Codes

A natural way to possibly circumvent the aforementioned limitation of universal-LTCs is by using the help of a (possibly malicious) prover. To this end, in this work, we consider the  $\mathcal{NP}$  proof system analogue of universal-LTCs, in which the testing procedures are replaced with *verification* procedures that are given free access to a *short* proof (i.e., a proof of sublinear length). We call such codes “universal locally *verifiable* codes” (universal-LVCs). One may hope that *verification* of membership in subcodes can be done more efficiently than *testing*, and indeed we will show that universal-LVCs can be much more powerful than universal-LTCs.

To define the notion of universal-LVC, we recall the notion of non-interactive proofs of proximity [GR15], which can be viewed as testers that are augmented with proofs: A property  $\Pi$  is said to have an  $\mathcal{MA}$  *proof of proximity* (MAP) if there exists a probabilistic algorithm (verifier)  $V$  that gets a proximity parameter  $\varepsilon > 0$  and a short proof  $\pi$  as well as oracle access to a string  $w$ , and satisfies, with high probability, the following conditions:

If  $w \in \Pi$ , there exists proof  $\pi$  such that  $V^w(\pi, \varepsilon)$  accepts, and if  $w$  is  $\varepsilon$ -far from  $\Pi$ , then for every alleged proof  $\pi$ , the verifier  $V^w(\pi, \varepsilon)$  rejects.<sup>2</sup>

We say that a code  $C: \{0, 1\}^k \rightarrow \{0, 1\}^n$  is a *universal locally verifiable code* (universal-LVC), with proof length  $p$  and query complexity  $q$ , for a family of functions  $\mathcal{F} = \{f_i: \{0, 1\}^k \rightarrow \{0, 1\}\}_{i \in [M]}$

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<sup>1</sup>The term “universal” used here is somewhat of an abuse, since universality is guaranteed only with respect to a predetermined family of functions (rather than for all functions). Indeed, the actual definitions always mention the family with respect to which the (testing or verification) feature holds.

<sup>2</sup>Restricting the length of the proof is essential to the non-triviality of the notion. Otherwise, *every* property  $\Pi$  can be verified by using MAP proofs that equal the input. Membership of the proof in the property can be tested without making any queries, and few queries to the input are used to verify its equality to the proof.

if for every  $i \in [M]$  the subcode  $\Pi_i := \{C(x) : f_i(x) = 1\}$  has an MAP with proof length  $p$  and query complexity  $q$ . Analogously to universal-LTCs, we can view a universal-LVC as providing an encoding of inputs under which we can locally test numerous properties of the encoded inputs, this time *using the help of a prover*; that is, for every  $f_i \in \mathcal{F}$  we have an MAP that can verify that a purported encoded input is indeed an encoding of a message  $x$  that satisfies  $f_i$ .

Universal locally verifiable codes are related to *holographic proof systems* [BFLS91], which are probabilistic proof systems operating under the guarantee that their input is given in an encoded form. We stress, however, that for universal-LVCs there is *no* guarantee that the input is properly encoded, but rather the corresponding verifiers test the validity of the encoding. Furthermore, in universal-LVCs the same codeword incorporates all that is required to potentially verify a large number of claims, whereas in holographic proof systems (as well as in PCPPs [BSGH<sup>+</sup>06, DR06]) each claim requires its own auxiliary proof (which is longer than the encoded input).

Finally, we remark that the notion of universal-LVC is related to that of proofs of proximity. Indeed, in this work (see Section 1.3) we derive interactive proofs of proximity from the universal-LVCs that we construct.

## 1.2 Our Results

To simplify the presentation of our results, throughout the introduction we fix the proximity parameter  $\varepsilon$  to a small constant, and when we refer to “codes”, we shall actually mean error-correcting codes with linear distance.

We show quadratic length universal-LVCs of sublinear proof and query complexity for a natural set of exponentially many properties, for which every polynomial length universal-LTC must have almost linear query complexity. Specifically, for  $n \geq k$ , letting  $\text{CSP}_{n,k}$  denote the set of all instances of *constraint satisfaction problems* with  $n$  constraints of constant arity over  $k$  variables, we prove the following.

**Theorem 1** (informally stated, see Theorem 4.2). *For all  $k \leq n$ , there exists a universal-LVC  $C : \{0, 1\}^k \rightarrow \{0, 1\}^{\tilde{O}(n^2)}$  for  $\text{CSP}_{n,k}$  with proof and query complexity  $\tilde{O}(n^{2/3})$ . More generally, for every  $\alpha > 0$ , this universal-LVC supports proof length  $\tilde{O}(n^{2\alpha})$  and query complexity  $\tilde{O}(n^{1-\alpha})$ .*

In contrast, as shown next, every polynomial length universal-LTC for  $\text{CSP}_{n,k}$  has query complexity that is roughly linear in  $k$ . Actually, we provide a lower bound on the tradeoff between the two complexity measures of universal-LVCs for  $\text{CSP}_{n,k}$ .

**Theorem 2** (informally stated, see Corollary 5.2). *For all  $k \leq n$  and every polynomial (in  $k$ ) length universal-LVC for  $\text{CSP}_{n,k}$  with proof complexity  $p \geq 1$  and query complexity  $q$  it holds that  $p \cdot q = \tilde{\Omega}(k)$ . For  $p = 0$  (i.e., a universal-LTC), the query complexity is  $\tilde{\Omega}(k)$ .*

Note that for  $n = \tilde{\Theta}(k)$ , Theorem 1 gives a universal-LVC of length  $\tilde{O}(k^2)$ , with proof and query complexity  $\tilde{O}(k^{2/3})$  each, whereas Theorem 2 shows that such a universal-LVC (of length  $\text{poly}(k)$ ) must have either query or proof complexity  $\tilde{\Omega}(\sqrt{k})$ .

## 1.3 An Application for Interactive Proofs of Proximity

An *interactive proof of proximity* (IPP), as defined in [RVW13], can be thought of as a generalization of the notion of MAP in which the verifier is allowed to interact with an omniscient prover (instead

of a “static” proof). Hence, an IPP is an interactive proof system wherein an all powerful (yet untrusted) prover interacts with a verifier that only has oracle access to an input  $x$ . The prover tries to convince the verifier that  $x$  has a particular property  $\Pi$ . Here, the guarantee is that for inputs in  $\Pi$ , there exists a prover strategy that will make the verifier accept with high probability, whereas for inputs that are far from  $\Pi$  the verifier will reject with high probability no matter what prover strategy is employed.<sup>3</sup>

Loosely speaking, Rothblum et al. [RVW13] showed that, every language in  $\mathcal{NC}$  has an IPP with query and communication complexities  $\tilde{O}(\sqrt{n})$ , albeit this IPP requires a large ( $\text{polylog}(n)$ ) number of rounds of interaction. For IPPs that use a small number of rounds of interaction (in particular, MAPs) only results for much smaller classes of properties are known (e.g., for context-free languages and sets that are accepted by small read-once branching programs [GGR15]).

We show that the universal-LVC in [Theorem 1](#) can be “emulated” using a small (constant) amount of interaction rounds. This yields the following IPP.

**Theorem 3** (informally stated, see [Theorem 6.1](#)). *Let  $n \geq k$ . For every  $\varphi \in \text{CSP}_{n,k}$  there exists a 3-round<sup>4</sup> IPP for the property  $\Pi_\varphi := \{x \in \{0, 1\}^k : \varphi(x) = 1\}$  with communication and query complexity  $n^{6/7+o(1)}$ . More generally, there exists an  $O(1)$ -round IPP for  $\Pi_\varphi$  with communication and query complexity  $n^{0.501}$ .*

We mention that, for some  $\varphi$ 's, *testing* the property  $\Pi_\varphi$  requires a linear number of queries [BHR03]. We stress that our IPPs are for the set of satisfying assignments of fixed CSP instances (viewed as massive parameters of the properties), whereas the IPPs in [RVW13, RRR16] are for sets that are in a (uniform) complexity class.<sup>5</sup>

**Related Work.** Independently of this work (which appeared as part of our technical report [GG16a]), Reingold, Rothblum, and Rothblum [RRR16] showed that for every sufficiently small constant  $\sigma \in (0, 1)$ , there exists an  $2^{\tilde{O}(1/\sigma)}$ -round IPP, with query and communication complexity  $n^{0.5+O(\sigma)}$ , for any language that is computable in  $\text{poly}(n)$ -time and  $O(n^\sigma)$ -space. We remark that our results are incomparable (our construction is more efficient in terms of round complexity, whereas the construction in [RRR16] supports a larger set of properties).

## 1.4 Our Techniques

In this section we provide a high-level overview of the key ideas underlying [Theorem 1](#), which shows a universal-LVC for CSP. For an overview of our application to proofs of proximity ([Theorem 3](#)), we refer the reader to [Section 6.1](#). The lower bound in [Theorem 2](#) follows by a simple application of the “communication complexity method” of Blais et al. [BBM11], as extended to MAPs in [GR15] (see [Section 5](#) for details).

In the following, we assume basic familiarity with algebraic PCP systems. Our general approach follows the arithmetization paradigm, commonly used in many probabilistic proof systems. However,

<sup>3</sup>Indeed, MAPs can be thought of as a restricted case of IPPs, in which the interaction is limited to a single message sent from the prover to the verifier.

<sup>4</sup>More specifically, the total number of messages exchanged between the parties is 5.

<sup>5</sup>That is, our IPPs are for massively parameterized properties (as surveyed in [New10]): We consider a family of properties  $\{\Pi_\varphi\}_{\varphi \in \text{CSP}_{n,k}}$  that are parameterized by CSP formulas of size that is similar to the input’s size. Likewise, the IPPs for read-only branching programs in [GGR15] are massively parameterized, but the IPPs for context-free languages are parameterized by a fixed object (the context-free grammar).

for reasons detailed next, we cannot use the standard arithmetizations used in the PCP literature. We focus on the first step of arithmetization, which is over the integers, and assume for simplicity that only one type of  $t$ -ary constraint, denoted  $c$ , is used.

The most common arithmetization, which can be traced back to [FGL<sup>+</sup>91], represents the  $t$ -ary instance  $\varphi$  as a *generic* function  $\phi : [k]^t \rightarrow \{0, 1\}$  such that  $\phi(i_1, \dots, i_t) = 1$  if and only if the  $i$ 'th constraint of  $\varphi$  involves the variables  $x_{i_1}, \dots, x_{i_t}$ . The satisfiability of  $\varphi$  at  $x$  is then given by

$$\sum_{i_1, \dots, i_t \in [k]} \phi(i_1, \dots, i_t) \cdot c(x_{i_1}, \dots, x_{i_t}) = n. \quad (1.1)$$

This leads to a PCP oracle of length at least  $k^t$ , and at best we can hope to implement it by a universal-LVC that has proof length  $p$  and query complexity  $q$  such that  $p \cdot q \geq k^t$ . Our goal is, however, to get both  $p$  and  $q$  to the sublinear (in  $k$ ) level.

The large PCP length of Eq. (1.1) lead [BFLS91] to suggest a different representation. Using a *universal* circuit  $\phi$  of size  $n' = \tilde{O}(n)$ , the satisfiability of  $\varphi$  at  $x$  is represented by

$$\exists y \in \{0, 1\}^{n'} \quad \sum_{i \in [k+n']} \phi(i) \cdot c'((xy)|_{S_i}), \quad (1.2)$$

where  $c'$  is a fixed condition (which depends on  $c$ ) and each  $S_i \subseteq [k+n']$  is a subset of constant cardinality. The problem with Eq. (1.2) is that  $y$  is a sequence of auxiliary variables and its assignment in Eq. (1.2) depends on the instance  $\varphi$  (and not only on the assignment  $x$ ).

Our alternative arithmetization composes the assignment  $x \in \{0, 1\}^k$  viewed as a function  $x : [k] \rightarrow \{0, 1\}$  with functions  $\varphi_1, \dots, \varphi_t : [n] \rightarrow [k]$  that represent the instance  $\varphi$ .<sup>6</sup> Specifically,  $\varphi_j(i) = i'$  if  $x_{i'} = x(i')$  is the  $j$ 'th variable of the  $i$ 'th constraint of  $\varphi$ . Hence,  $\varphi$  is satisfiable if and only if

$$\sum_{i \in [n]} c(x \circ \varphi_1(i), \dots, x \circ \varphi_t(i)) = n. \quad (1.3)$$

Next, we consider the algebraic representation of Eq. (1.3) over a sufficiently large finite field  $\mathbb{F}$  (discussed below). For simplicity, we assume throughout the rest of this overview that  $n = k$ ,  $m = O(1)$  and  $t = O(1)$ . We identify  $[n]$  (the number of constraints) with some set  $H^m$ , where  $H \subset \mathbb{F}$ . Throughout this work, we shall denote the low-degree extension of a function  $f$  by  $\widehat{f}$ . Let  $\widehat{\varphi}_j : \mathbb{F}^m \rightarrow \mathbb{F}^m$  and  $\widehat{X} : \mathbb{F}^m \rightarrow \mathbb{F}$  be the individual degree  $n^{1/m}$  extensions of  $\varphi_j : H^m \rightarrow H^m$  and the assignment  $X : H^m \rightarrow \{0, 1\}$  (respectively), and let  $\widehat{c} : \mathbb{F}^t \rightarrow \mathbb{F}$  be the degree  $t$  *multilinear* extension of the constraint  $c : \{0, 1\}^t \rightarrow \{0, 1\}$ . Note that  $\varphi(x) = 1$  if and only if

$$\sum_{z_1, \dots, z_m \in H} \widehat{c}(\widehat{X} \circ \widehat{\varphi}_1(z_1, \dots, z_m), \dots, \widehat{X} \circ \widehat{\varphi}_t(z_1, \dots, z_m)) = n.$$

The straightforward way to implement an MAP for such arithmetization is as follows. Let  $\ell \in [m/2]$  be a parameter that will be used to control a tradeoff between proof and query complexity. The purported proof for the MAP is the polynomial

$$\pi(z_1, \dots, z_\ell) = \sum_{z_{\ell+1}, \dots, z_m \in H} \widehat{c}(\widehat{X} \circ \widehat{\varphi}_1(z_1, \dots, z_m), \dots, \widehat{X} \circ \widehat{\varphi}_t(z_1, \dots, z_m)), \quad (1.4)$$

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<sup>6</sup>We were informed that a similar technique was used in a different context in [Tha13].

specified by its coefficients. Observe that the total degree of both  $\widehat{X}$  and  $\widehat{\varphi}_j$  is  $m \cdot |H| = m \cdot n^{1/m}$  and that the composition of  $\widehat{X}$  with  $\varphi_j$  increases the total degree to  $m^2 \cdot |H|^2$ . Note this is in contrast to standard arithmetizations, wherein typically the degree of the proof polynomial is  $\widetilde{O}(|H|)$ . In addition, note that  $\widehat{c}$  only contributes a factor of  $t$  to the degree of  $\pi$ , since the constraint is Boolean, and so we can take its *multilinear* extension (saving an  $\exp(t)$  factor that would have arisen had we constructed a **universal-LVC** for 3-CNF formulas and use reductions to handle general  $t$ -ary CSPs.) Observe that the proof length of such MAP is bounded by  $\deg(\pi)^\ell \cdot \log |\mathbb{F}| = t^\ell \cdot (m \cdot |H|)^{2\ell} \cdot \log |\mathbb{F}|$  (where  $\deg(\pi)$  is the total degree of  $\pi$ , which equals  $tm^2 \cdot |H|^2$ ).

Given the foregoing alleged proof  $\pi$ , the verifier can check that  $\sum_{z_1, \dots, z_\ell \in H} \pi(z_1, \dots, z_\ell) = n$ . Thus, ascertaining the validity of the proof reduces to computing  $\pi$  at a random point  $r \in \mathbb{F}^\ell$  and comparing it to the right hand side of [Eq. \(1.4\)](#). Recall that the formula  $\varphi$  is hardcoded in the verifier, and so it remains for the verifier to query  $\widehat{X} \circ \widehat{\varphi}_j(r, z')$  at all  $z' \in H^{m-\ell}$  (which is actually done via self-correction, preceded by a low-degree test). Therefore, it suffices to set the **universal-LVC** to  $\widehat{X}$ , the low-degree extension of the assignment (which does not depend on the formula). Observe that the query complexity of such MAP is  $t \cdot n^{1-\frac{\ell}{m}} \cdot \log |\mathbb{F}|$  (which is primarily determined by the number of summands in  $\pi$ ).

Unfortunately, a straightforward application of the MAP above requires the order of the field  $\mathbb{F}$  (to which we extend) to be greater than the sum we are checking (i.e.,  $n$ , the number of constraints), because we cannot afford taking a (pseudo) random linear combination of the constraints, as often done in the PCP literature (since this would increase the length of the proof  $\pi$  and prevent us from obtaining sublinear complexity). This causes the length of the **universal-LVC** (i.e., the Reed-Muller encoding of the assignment to  $\mathbb{F}$ ) to be roughly  $n^m$ .

We overcome this issue by arithmetizing over several (distinct) prime fields  $\{\mathbb{F}_q\}_{q \in Q}$  such that: (1) for every  $q \in Q$ , the order of  $\mathbb{F}_q$  is larger (by a constant multiplicative factor) than the total degree of the proof polynomial, which is  $tm^2 \cdot |H|^2 = O(n^{2/m})$ ,<sup>7</sup> and (2) it holds that  $\prod_{q \in Q} q > n$  (and so we shall set  $|Q| \approx m$ ). We then invoke, in parallel, the foregoing MAP for each  $\mathbb{F}_q$ . This gives us the number of satisfied clauses modulo  $q$ , and since  $\prod_{q \in Q} q > n$ , we can use the Chinese remainder theorem to extract the number of satisfied clauses. Note that each  $\mathbb{F}_q$  is of size  $O(n^{2/m})$ , and so the length of a **universal-LVC** that consists of the Reed-Muller encodings of the assignment to each field in  $\{\mathbb{F}_q\}_{q \in Q}$  is  $\widetilde{O}(n^2)$ .

Finally, recall that we wish the verifier to have access to the low-degree extension of an assignment over several finite fields, and so the verifier needs to be able to verify that its input actually consists of several polynomials that are consistent with the low-degree extension of a single assignment. Towards this end we bundle the foregoing polynomials using the PCP-based consistency mechanism discussed in [Section 4.1](#) (which also allows us to ascertain that the assignment is binary).

## 1.5 Previous Version and Universal Locally Testable Codes

This work previously appeared as a part of the technical report [[GG16a](#)], which contained the foregoing results regarding **universal-LVCs**, as well as results regarding “universal locally *testable* codes”. Since this combination caused the former notion and results to be missed, we chose to split the original version into two parts. The current part contains the material regarding **universal-LVCs** (and **IPPs**). The part regarding **universal-LTCs** appears in a companion paper [[GG16b](#)].

<sup>7</sup>This condition is required for the soundness of the MAP.

## 2 Preliminaries

We begin with standard notations:

- We denote the *absolute distance*, over alphabet  $\Sigma$ , between two strings  $x \in \Sigma^n$  and  $y \in \Sigma^n$  by  $\Delta(x, y) := |\{x_i \neq y_i : i \in [n]\}|$  and their *relative distance* by  $\delta(x, y) := \frac{\Delta(x, y)}{n}$ . If  $\delta(x, y) \leq \varepsilon$ , we say that  $x$  is  $\varepsilon$ -close to  $y$ , and otherwise we say that  $x$  is  $\varepsilon$ -far from  $y$ . Similarly, we denote the *absolute distance* of  $x$  from a non-empty set  $S \subseteq \Sigma^n$  by  $\Delta(x, S) := \min_{y \in S} \Delta(x, y)$  and the *relative distance* of  $x$  from  $S$  by  $\delta(x, S) := \min_{y \in S} \delta(x, y)$ . If  $\delta(x, S) \leq \varepsilon$ , we say that  $x$  is  $\varepsilon$ -close to  $S$ , and otherwise we say that  $x$  is  $\varepsilon$ -far from  $S$ . We denote the projection of  $x \in \Sigma^n$  on  $I \subseteq [n]$  by  $x|_I$ .
- We denote by  $A^x(y)$  the output of algorithm  $A$  given direct access to input  $y$  and oracle access to string  $x$ . Given two interactive machines  $A$  and  $B$ , we denote by  $(A^x, B(y))(z)$  the output of  $A$  when interacting with  $B$ , where  $A$  (respectively,  $B$ ) is given oracle access to  $x$  (respectively, direct access to  $y$ ) and both parties have direct access to  $z$ . Throughout this work, probabilistic expressions that involve a randomized algorithm  $A$  are taken over the inner randomness of  $A$  (e.g., when we write  $\Pr[A^x(y) = z]$ , the probability is taken over the coin-tosses of  $A$ ).

**Integrality.** Throughout this work, for simplicity of notation, we use the convention that all (relevant) integer parameters that are stated as real numbers are implicitly rounded to the closest integer.

**Uniformity.** To facilitate notation, throughout this work we define all algorithms *non-uniformly*; that is, we fix an integer  $n \in \mathbb{N}$  and restrict the algorithms to inputs of length  $n$ . Despite fixing  $n$ , we view it as a generic parameter and allow ourselves to write asymptotic expressions such as  $O(n)$ . We remark that while our results are proved in terms of non-uniform algorithms, they can be extended to the uniform setting in a straightforward manner.

**Circuit Size.** We define the size  $s(k)$  of a Boolean circuit  $C : \{0, 1\}^k \rightarrow \{0, 1\}$  as the number of *gates*  $C$  contains. We count the input vertices of  $C$  as gates, and so  $s(k) \geq k$ . We shall write  $f \in \text{SIZE}(s(k))$  to state that a Boolean function  $f : \{0, 1\}^k \rightarrow \{0, 1\}$  can be computed by a Boolean circuit of size  $s(k)$ .

### 2.1 Property Testing and Proofs of Proximity

In this section we review the definitions of testers, MAPs and IPPs. We begin with the definition of IPPs and obtain the definitions of testers and MAPs as special cases of IPPs.

**Definition 2.1** (Interactive Proof of Proximity [EKR04, RVW13]). *Let  $n \in \mathbb{N}$ . An interactive proof of proximity (IPP) for property  $\Pi \subseteq \Sigma^n$  is an interactive protocol with two parties: a prover  $\mathcal{P}$  that has free access to input  $x \in \Sigma^n$ , and a probabilistic verifier  $\mathcal{V}$  that has oracle access to  $x$ . The parties exchange messages, and at the end of the communication the following two conditions are satisfied:*

1. *Completeness: For every proximity parameter  $\varepsilon > 0$  and input  $x \in \Pi$  it holds that*

$$\Pr[(\mathcal{V}^x, \mathcal{P}(x))(\varepsilon) = 1] \geq 2/3.$$



2. **Soundness:** For every  $\varepsilon > 0$ ,  $x \in \Sigma^n$  that is  $\varepsilon$ -far from  $\Pi$ , and (cheating) prover  $\mathcal{P}^*$  it holds that

$$\Pr[(\mathcal{V}^x, \mathcal{P}^*)(\varepsilon) = 0] \geq 2/3.$$

If the completeness condition holds with probability 1, we say that the IPP has a one-sided error, and otherwise we say that the IPP has a two-sided error.

An IPP for property  $\Pi$  has query complexity (or locality)  $q = q(n, \varepsilon)$  if for every  $\varepsilon > 0$  and  $x \in \Sigma^n$  the verifier  $\mathcal{V}$  makes at most  $q$  queries to  $x$ , and communication complexity  $c = c(n, \varepsilon)$  if for every  $\varepsilon > 0$  and  $x \in \Sigma^n$  the parties  $\mathcal{V}$  and  $\mathcal{P}$  exchange at most  $c$  bits. A round of communication consists of a single message sent from  $\mathcal{V}$  to  $\mathcal{P}$  followed by a single message sent from  $\mathcal{P}$  to  $\mathcal{V}$ . An  $r$ -round IPP, where  $r = r(n, \varepsilon)$ , is an IPP in which for every  $\varepsilon > 0$  and  $x \in \Sigma^n$  the number of rounds in the interaction between  $\mathcal{V}$  and  $\mathcal{P}$  on input  $x$  is at most  $r$ .

The definition of a tester can be derived from [Definition 2.1](#) by allowing no communication (which effectively eliminates the prover). Similarly, the definition of an MAP can be derived by restricting the communication to a single message from  $\mathcal{P}$  to  $\mathcal{V}$  (see [\[GR15\]](#) for further details on MAPs). We shall sometimes refer to a tester with respect to proximity parameter  $\varepsilon$  as an  $\varepsilon$ -tester, and similarly, we refer to an IPP (or MAP) with respect to proximity parameter  $\varepsilon$  as an  $\text{IPP}_\varepsilon$  (or  $\text{MAP}_\varepsilon$ ).

## 2.2 Locally Testable Codes

Let  $k, \eta \in \mathbb{N}$ . A code over alphabet  $\Sigma$  with distance  $d$  is a function  $C : \Sigma^k \rightarrow \Sigma^\eta$  that maps messages to codewords such that the distance between any two codewords is at least  $d = d(\eta)$ . If  $d = \Omega(\eta)$ , we say that  $C$  has linear distance. If  $\Sigma = \{0, 1\}$ , we say that  $C$  is a binary code. If  $C$  is a linear map, we say that it is a linear code. The relative distance of  $C$ , denoted by  $\delta(C)$ , is  $d/\eta$ , and its rate is  $k/\eta$ . When it is clear from the context, we shall sometime abuse notation and refer to the code  $C$  as the set of all codewords  $\{C(x)\}_{x \in \Sigma^k}$ . Following the discussion in the introduction, we define locally testable codes and locally decodable codes as follows.

**Definition 2.2** (Locally Testable Codes). *A code  $C : \Sigma^k \rightarrow \Sigma^\eta$  is a locally testable code (LTC) if there exists a probabilistic algorithm (tester)  $T$  that, given oracle access to  $w \in \Sigma^\eta$  and direct access to proximity parameter  $\varepsilon$ , satisfies:*

1. **Completeness:** For any codeword  $w = C(x)$ , it holds that  $\Pr[T^{C(x)}(\varepsilon) = 1] \geq 2/3$ .
2. **Soundness:** For any  $w \in \{0, 1\}^\eta$  that is  $\varepsilon$ -far from  $C$ , it holds that  $\Pr[T^w(\varepsilon) = 0] \geq 2/3$ .

The query complexity of a LTC is the number of queries made by its tester (as a function of  $\varepsilon$  and  $k$ ). A LTC is said to have one-sided error if its tester satisfies perfect completeness (i.e., accepts valid codewords with probability 1).

**Definition 2.3** (Locally Decodable Codes). *A code  $C : \Sigma^k \rightarrow \Sigma^\eta$  is a locally decodable code (LDC) if there exists a constant  $\delta_{\text{radius}} \in (0, \delta(C)/2)$  and a probabilistic algorithm (decoder)  $D$  that, given oracle access to  $w \in \Sigma^\eta$  and direct access to index  $i \in [k]$ , satisfies the following condition: For any  $i \in [k]$  and  $w \in \Sigma^\eta$  that is  $\delta_{\text{radius}}$ -close to a codeword  $C(x)$  it holds that  $\Pr[D^w(i) = x_i] \geq 2/3$ . The query complexity of a LDC is the number of queries made by its decoder.*

### 2.3 PCP of Proximity

PCPs of proximity (PCPPs) [BSGH<sup>+</sup>06, DR06] are a variant of PCP proof systems, which can be thought of as the PCP analogue of *property testing*. Recall that a standard PCP verifier is given explicit access to a statement and oracle access to a proof. The PCP verifier is required to probabilistically verify whether the (explicitly given) statement is correct, by making few queries to proof. In contrast, a PCPP verifier is given oracle access to a statement and a proof, and is only allowed to make a small number of queries to both the statement and the proof. Since a PCPP verifier only sees a small part of the statement, it cannot be expected to verify the statement precisely. Instead, it is required to only accept correct statements and reject statements that are far from being correct (i.e., far in Hamming distance from any valid statement). More precisely, PCPs of proximity are defined as follows.

**Definition 2.4.** *Let  $V$  be a probabilistic algorithm (verifier) that is given explicit access to a proximity parameter  $\varepsilon > 0$ , oracle access to an input  $x \in \{0, 1\}^k$  and to a proof  $\xi \in \{0, 1\}^n$ . We say that  $V$  is a PCPP verifier for language  $L$  if it satisfies the following conditions:*

- *Completeness: If  $x \in L$ , there exists a proof  $\xi$  such that the verifier always accepts the pair  $(x, \xi)$ ; i.e.,  $V^{x, \xi}(\varepsilon) = 1$ .*
- *Soundness: If  $x$  is  $\varepsilon$ -far from  $L$ , then for every  $\xi$  the verifier rejects the pair  $(x, \xi)$  with high probability; that is,  $\Pr[V^{x, \xi}(\varepsilon) = 0] \geq 2/3$ .*

*The length of the PCPP is  $n$  and the query complexity is the number of queries made by  $V$  to both  $x$  and  $\xi$ .*

We shall use the following PCPP due to Ben-Sasson and Sudan [BS05] and Dinur [Din07].

**Theorem 2.5** (Short PCPPs for  $\mathcal{NP}$ ). *For every  $L \subseteq \{0, 1\}^k$  that can be computed by a circuit of size  $t(k)$ , there exists a PCPP with query complexity  $q = O(1/\varepsilon)$  and length  $t(k) \cdot \text{polylog}(t(k))$ .*

## 3 The Definition of Universal Locally Verifiable Codes

Following the discussion in the introduction, we define the  $\mathcal{MA}$  analogue of universal-LTCs, i.e., universal-LTCs with MAPs instead of testers. We refer to such codes as “universal locally verifiable codes”.

**Definition 3.1.** *Let  $k, M \in \mathbb{N}$ , and  $\mathcal{F} = \{f_i : \{0, 1\}^k \rightarrow \{0, 1\}\}_{i \in [M]}$  be a family of functions. A universal locally verifiable code (universal-LVC) for  $\mathcal{F}$  with query complexity  $q = q(k, \varepsilon)$  and proof complexity  $p = p(k, \varepsilon)$  is a code  $C : \{0, 1\}^k \rightarrow \{0, 1\}^n$  such that for every  $i \in [M]$  and  $\varepsilon > 0$ , there exists an MAP, with respect to proximity parameter  $\varepsilon$ , for the subcode  $\Pi_i := \{C(x) : f_i(x) = 1\}$  with query complexity  $q$  and proof complexity  $p$ . A universal-LVC is said to have one-sided error if all of its MAPs satisfy perfect completeness.*

Note that our Definition 3.1 uses non-uniform verifiers; that is, we only require that for every function  $f_i \in \mathcal{F}$  there exists an MAP for the subcode  $\Pi_i$ , and so the MAP verifier can be thought of as being hardcoded with the index  $i$ . We stress that this is done for simplicity, and in fact, in our positive results the MAP verifiers are uniform and can be implemented by a “universal” verifier that receives the index  $i$  as an auxiliary (possibly massive) parameter and invokes the relevant MAP verifier.

**Notation.** We shall refer to a universal-LVC with respect to a specific proximity parameter  $\varepsilon > 0$  as a universal-LVC $_\varepsilon$ .

**Organization.** In the first subsection (Section 4) we show an efficient universal-LVC for constraint satisfaction problems (CSPs). As discussed in the introduction, this universal-LVC can be viewed as a concise representation (or encoding) of assignments that allows for efficient MAPs for every CSP instance. We remark that the bundle consistency test (see Section 4.1) is used in the foregoing construction. Next, in Section 5 we show a lower bound on the complexity of universal-LVCs for conjugations (and in particular for CSPs). Finally, in Section 6 we show that using *interactive* verification procedures we can, in a sense, emulate the universal-LVC in Section 4 and obtain an *interactive proof of proximity* (IPP) for any CSP. Note that this result refers to the standard model of IPPs, where the verifier is given access to a plain assignment (rather than to its encoding).

## 4 A Universal Locally Verifiable Code for CSP

Throughout this section, let  $k, n, t \in \mathbb{N}$  such that  $t \leq k$  (the reader is encouraged to think of  $t$  as being relatively small with respect to  $k$ ). A constraint of arity  $t$  on  $k$  variables is a predicate  $c : \{0, 1\}^k \rightarrow \{0, 1\}$  that only depends on  $t$  coordinates (i.e., a  $t$ -junta). We denote the set of all such constraints by  $\text{Constraint}_{t,k}$ .

**Definition 4.1.** A function  $\varphi : \{0, 1\}^k \rightarrow \{0, 1\}$  is an instance of a constraint satisfaction problem with  $n$  constraints of arity  $t$ , denoted  $\varphi \in \text{CSP}_{n,t,k}$  (or  $\varphi \in \text{CSP}_n$ , if  $t$  and  $k$  are clear from the context), if  $\varphi(x) = \bigwedge_{i=1}^n c_i(x_1, \dots, x_k) = 1$ , where  $c_1, \dots, c_n \in \text{Constraint}_{t,k}$ .

For example, in our formulation, a  $k$ -variate,  $n$ -clause 3SAT instance  $\varphi : \{0, 1\}^k \rightarrow \{0, 1\}$  can be expressed as a  $\text{CSP}_{n,3,k}$  by writing  $\varphi(x) = \bigwedge_{i=1}^n c_i(x_1, \dots, x_k)$ , where each  $c_i$  is a disjunction of 3 literals from  $\{x_1, \dots, x_k\} \cup \{1 - x_1, \dots, 1 - x_k\}$ . We stress that in Definition 4.1 we allow the constraints to be *arbitrary and different* predicates of the same arity.

The following theorem shows an efficient universal-LVC for constraint satisfaction problems. For simplicity, we assume without loss of generality that  $n \geq k$  (otherwise, we add  $k - n$  empty clauses).

**Theorem 4.2.** Let  $n, k, t, m \in \mathbb{N}$  such that  $t < k \leq n$  and  $\varepsilon > 1/\text{polylog}(n)$ .<sup>8</sup> There exists a (one-sided error) universal-LVC $_\varepsilon$   $C : \{0, 1\}^k \rightarrow \{0, 1\}^{\tilde{O}(m^{2m+1}t^m \cdot n^2)}$  for  $\text{CSP}_{n,t,k}$  with linear distance such that for every  $\ell \in [m/2]$ , the universal-LVC has proof complexity  $\tilde{O}(m^{2\ell} \cdot n^{2\ell/m} \cdot t^\ell)$  and query complexity  $\tilde{O}(m \cdot tn^{1-\ell/m}/\varepsilon)$ .

Note that for constant  $t, m$ , and  $\varepsilon$  we obtain code length  $\tilde{O}(n^2)$ ,<sup>9</sup> proof length  $\tilde{O}(n^{2\ell/m})$ , and query complexity  $\tilde{O}(n^{1-\ell/m})$ . In particular, for  $\ell = m/3$  (e.g., for  $m = 3$ ), Theorem 4.2 yields a (nearly) quadratic length universal-LVC with both proof and query complexity  $\tilde{O}(n^{2/3})$ . We remark that the proof complexity of our MAP has a factor of  $m^{2\ell} \cdot t^\ell$  (and  $\ell$  may be as large as  $m/2$ ), and so we shall want to choose  $m = O(1)$  and work with individual degree  $d = n^{1/m}$  polynomials, rather than the usual setting of  $m = \log(n)/\log \log(n)$  and  $d = \log(n)$ .

<sup>8</sup>We believe that the limitation on the proximity parameter can be eliminated, by adapting the techniques in [GGK15] to our setting. We leave the verification of this idea as an open problem.

<sup>9</sup>We remark that the *quadratic* length of our universal-LVC is inherent in our techniques, and it is an open question whether it is possible to obtain sub-quadratic length.

## 4.1 Preliminaries: Consistency-Testable Bundles

We shall need the following bundling mechanism from [GG16b], which in turn builds on techniques of Ben-Sasson et al. [BSGH<sup>+</sup>06] to show a way to bundle together (possibly partial) encodings of the same message such that it possible to locally test that all these encodings are indeed consistent. That is, we are given some encodings  $E_1, \dots, E_s : \{0, 1\}^k \rightarrow \{0, 1\}^\eta$ , and we wish to encode a *single* message  $x \in \{0, 1\}^k$  by all of these encodings (i.e., to bundle  $E_1(x), \dots, E_s(x)$ ) such that we can test that all of the encodings are valid and consistent with the same message  $x$ . In this work, the  $E_i$ 's will correspond to the encodings of  $x$  by different error-correcting codes (i.e., Reed-Muller codes over different finite fields).

The main idea is to construct a bundle that consists of three parts: (1) the (explicit) message  $x$ , (2) the encodings  $E_1(x), \dots, E_s(x)$ , and (3) PCPPs that assert the consistency of the first part (the message) with each purported encoding  $E_i(x)$  in the second part. However, such PCPPs can only ascertain that each purported pair of message and encoding, denoted  $(y, z_i)$ , is *close* to a valid pair  $(x, E_i(x))$ . Thus, in this way we can only verify that the bundle consists of encodings of pairwise-close messages, rather than being close to encodings of a single message (e.g., the PCPPs may not reject a bundle  $(x, E_1(y_1), \dots, E_s(y_s))$  wherein each  $y_i$  is close to  $x$ ).

To avoid this problem, we also encode the message via an error-correcting code ECC, so the bundle is of the form  $(\text{ECC}(x), (E_1(x), \dots, E_s(x)), (\text{PCPP}_1(x), \dots, \text{PCPP}_s(x)))$ . Now, each PCPP ascertains that a purported pair  $(y, z_i)$  is close to  $(\text{ECC}(x), E_i(x))$ . Due to the distance of ECC, this allows to verify that the bundle consists of  $s$  (close to valid) encodings of the *same* message. Lastly, we repeat  $\text{ECC}(x)$  such that it constitutes most of the bundle's length, and so if an alleged bundle is far from valid, its copies of  $\text{ECC}(x)$  must be corrupted, and so the bundle itself constitutes an error-correcting code that is locally testable (by verifying at random one of the PCPPs in the bundle).

More precisely, consider the following way of bundling several encodings of the same message.

**Construction 4.3** (Consistency-Testable Bundles). *Let  $E_1, \dots, E_s : \{0, 1\}^k \rightarrow \{0, 1\}^\eta$  be encodings such that for every  $i \in [s]$ , the problem of (exactly) deciding whether  $(x, y) \in \{0, 1\}^{k+\eta}$  satisfies  $y = E_i(x)$  can be computed by a size  $t(k)$  circuit. The consistency-testable bundle of  $\{E_i(x)\}_{i \in [s]}$  is the code  $B(x) : \{0, 1\}^k \rightarrow \{0, 1\}^\ell$  that consists of the following ingredients.*

1. An (arbitrary) code  $\text{ECC} : \{0, 1\}^k \rightarrow \{0, 1\}^{\eta'}$  with linear distance, which can be computed by a size  $\tilde{O}(\eta')$  circuit, where  $\eta' = \tilde{O}(k)$ .
2. Encodings  $E_1, \dots, E_s$  (given by the application) that we wish to bundle.
3. PCP of proximity oracles  $\xi_1, \dots, \xi_s$  for the language

$$L_i = \{(a, b) : \exists x \in \{0, 1\}^k \text{ such that } a = \text{ECC}(x)^{r_a} \text{ and } b = E_i(x)^{r_b}\}.$$

where and  $r_a, r_b$  are set such that  $|a| \approx |b| = O(t(k))$ .

Let  $\varepsilon \geq 1/\text{polylog}(s \cdot t(k))$ . Consider the bundle

$$B(x) = \left( \text{ECC}(x)^r, (E_1(x), \dots, E_s(x)), (\xi_1(x), \dots, \xi_s(x)) \right),$$

where the length of each PCPP oracle  $\xi_i(x)$  is  $\tilde{O}(t(k))$ ,<sup>10</sup> and where  $r$  is the minimal integer such that the first part of the bundle constitutes  $(1 - \varepsilon/2)$  fraction of the bundle's length (i.e.,  $|\text{ECC}(x)|^r \geq (1 - \varepsilon/2) \cdot \ell$ ).

Note that the length of  $B$  is  $\ell = \tilde{O}(s \cdot t(k))$  and that  $B$  has linear distance, because  $|\text{ECC}(x)|^r$  dominates  $B$ 's length.

In [GG16b], it is shown that there exists a local test that can ascertain the validity of the bundle as well as asserts the consistency of any encoding  $E_i$  in the bundle with the *anchor* of the bundle. Note that since the bundle's anchor dominates its length, it is possible that the bundle is very close to valid, and yet all of the  $E_i$ 's are heavily corrupted. Thus, we also need to provide a test for the validity of each  $E_i$  and its consistency with the anchor.

**Proposition 4.4.** *For every bundle  $B(x)$ , as in Construction 4.3, there exists a consistency test  $T$  that for every  $\varepsilon \geq 1/\text{polylog}(\ell)$  makes  $O(1/\varepsilon)$  queries to a string  $w \in \{0, 1\}^\ell$  and satisfies the following conditions.*

1. *If  $w = B(x)$ , then for every  $i \in \{0\} \cup [s]$  it holds that  $\Pr[T^w(i) = 1] = 1$ .*
2. *If  $w$  is  $\varepsilon$ -far from  $B$ , then  $\Pr[T^w(0) = 0] \geq 2/3$ .*
3. *For every  $i \in [s]$ , if there exists  $x \in \{0, 1\}^k$  such that  $w$  is  $\varepsilon$ -close to  $B(x)$  and  $\tilde{E}_i(x)$  is  $\varepsilon$ -far from  $E_i(x)$ , then  $\Pr[T^w(i) = 0] \geq 2/3$ .*

Note that  $T^w(0)$  is a codeword test for  $B$ , whereas for every  $i \in [s]$ , the test  $T^w(i)$  asserts that  $\tilde{E}_i$  is close to an encoding of the anchor. To verify that  $w$  is a bundle wherein all encodings refer to the same message (the anchor), we have to invoke  $T^w(i)$  for all  $i \in \{0\} \cup [s]$ , but typically we will be interested only in the consistency of one encoding with the anchor, where this encoding is determined by the application. For completeness, we include the proof of Proposition 4.4 in Appendix A.1.

## 4.2 Proof of Theorem 4.2

Following the overview presented in Section 1.4, we construct a universal-LVC that maps each assignment  $x \in \{0, 1\}^k$  to its low-degree extensions over  $m$  distinct finite fields, each of cardinality roughly  $n^{1/m}$ , bundled (via Construction 4.3) in a way that allows for locally verifying that all codewords encode the same assignment. More precisely, fix  $d = n^{1/m} - 1$ , and let  $Q$  be the set of the first  $m/2$  primes greater than  $10(m^2 d^2 t + d) = O(m^2 t \cdot n^{2/m})$ ; note that each  $q \in Q$  satisfies  $q = O(m^2 t \cdot n^{2/m})$  and that  $\prod_{q \in Q} q > n$ . For every  $q \in Q$ , denote by  $\mathbb{F}_q$  the finite field with  $q$  elements.

**The universal-LVC.** Let  $H = [d]$ , and note that  $H \subset \mathbb{F}_q$  for every  $q \in Q$ . We fix a bijection  $H^m \leftrightarrow [n]$  and use these domains interchangeably. We denote by  $X : H^m \rightarrow \{0, 1\}$  the embedding of an assignment  $x \in \{0, 1\}^k$  in  $H^m$ , given by

$$X(z) = \begin{cases} x_z & \text{if } z \in [k] \\ 0 & \text{otherwise} \end{cases}.$$

<sup>10</sup>Note that  $L_i \in \text{SIZE}(m)$  by the hypothesis regarding ECC and  $E_i$ . Thus, by Theorem 2.5, such a PCPP exists.

For every  $q \in Q$ , let  $\widehat{X}'_q : \mathbb{F}_q^m \rightarrow \mathbb{F}_q$  be the unique individual degree  $d$  extension of  $X$  to  $\mathbb{F}_q$ .

To reduce the alphabet to binary, let  $C_0 : \mathbb{F}_q \rightarrow \{0, 1\}^{100 \log |\mathbb{F}_q|}$  be a good linear code, and consider the concatenation of  $\widehat{X}'_q$  with  $C_0$  as the inner code, which we denote by  $\widehat{X}_q : \mathbb{F}_q^m \rightarrow \{0, 1\}^{100 \log |\mathbb{F}_q|}$ . For convenience, we shall treat  $\widehat{X}_q$  as if it maps to  $\mathbb{F}_q$ , and so whenever we query  $\widehat{X}_q$  at a point  $z \in \mathbb{F}_q^m$ , we actually query the  $100 \log |\mathbb{F}_q|$  bits of the codeword  $C_0(\widehat{X}_q(z))$  and decode (the  $\mathbb{F}_q$  element)  $\widehat{X}_q(z)$ .

Next, we bundle the Reed-Muller encodings  $\{\langle \widehat{X}_q \rangle\}_{q \in Q}$  (where  $\langle \widehat{X}_q \rangle$  denotes the evaluation of the function  $\widehat{X}_q$  over its entire domain) according to [Construction 4.3](#), so that we can locally test that all of these encodings are consistent with the same message (assignment). Recall that in [Construction 4.3](#) we bundle encodings  $E_1, \dots, E_s$  with an (arbitrary) error-correcting code ECC (which can be computed by a circuit of quasilinear size and has linear distance) and with a PCPP for every  $E_i$ , which ascertains that a pair  $(a, b)$  satisfies  $a = \text{ECC}(y)$  and  $b = E_i(y)$  for some  $y$ . Here, the encodings will correspond to the Reed-Muller encodings  $\{\langle \widehat{X}_q \rangle\}_{q \in Q}$  of the assignment  $X$ . Note that (exact) verification of  $m$ -dimensional Reed-Muller codes over  $\mathbb{F}_q$  can be done using circuits of size  $m \cdot |\mathbb{F}_q|^m \cdot \text{polylog} |\mathbb{F}_q| = \widetilde{O}(m^{2m+1} t^m \cdot n^2)$ , since  $|\mathbb{F}_q| = O(m^2 t \cdot n^{2/m})$ .<sup>11</sup> Hence, by [Theorem 2.5](#), for every  $q \in Q$  there exist a PCPP oracle  $\xi_q$ , as required in [Construction 4.3](#), of length  $n' = \widetilde{O}(m^{2m+1} t^m \cdot n^2)$ . We obtain the code  $C : \{0, 1\}^k \rightarrow \{0, 1\}^{m \cdot n'}$  given by

$$C(x) = \left( \text{ECC}(x)^r, (\langle \widehat{X}_q \rangle)_{q \in Q}, (\xi_q(x))_{q \in Q} \right). \quad (4.1)$$

We show that  $C$  is a universal-LVC for  $\text{CSP}_n$ . This calls for describing a short (MAP) proof for each  $\varphi \in \text{CSP}_n$  and describing how it is verified.

Let  $\varphi \in \text{CSP}_n$ , and write  $\varphi(x) = \bigwedge_{i=1}^n c'_i(x_1, \dots, x_k) = 1$ , where  $c'_1, \dots, c'_n \in \text{Constraint}_{t,k,\{0,1\}}$ . Recall that each  $c'_i$  is a  $t$ -junta, denote its influencing variables by  $I_i$ , and note that there exists  $c_i : \{0, 1\}^t \rightarrow \{0, 1\}$  such that  $c'_i(x) = c_i(x|_{I_i})$ . We stress that unlike the overview in [Section 1.4](#), each constraint  $c_i$  may be a *different* predicate; this will make our arithmetization slightly more involved. Note that each  $c_i$  takes *binary* inputs, and so, for every  $q \in Q$ , we denote by  $\widehat{c}_{i,q} : \mathbb{F}_q^t \rightarrow \mathbb{F}_q$  the degree  $t$  *multilinear* extension of  $c_i$  to  $\mathbb{F}_q$ . We show an MAP for the subcode  $\Pi_\varphi := \{C(x) : \varphi(x) = 1\}$ . We shall first describe the MAP proof and then describe how it is verified.

**The MAP proof (for  $C(x)$  being in  $\Pi_\varphi$ ).** For every  $q \in Q$ , consider the following functions.

- **Constraint Indicator:** For every  $i \in [n]$ , let  $\chi_i : H^m \rightarrow \{0, 1\}$  be the indicator of the  $i$ 'th constraint, i.e., for every  $z \in H^m = [n]$  it holds that  $\chi_i(z) = 1$  if and only if  $z = i$ . Denote by  $\widehat{\chi}_{i,q} : \mathbb{F}_q^m \rightarrow \mathbb{F}_q$  the unique, individual degree  $d$ , extension of  $\chi_i$  to  $\mathbb{F}_q$ . (This component is necessary now since each constraint may be a different predicate.)
- **Variable Indicator:** For every  $j \in [t]$ , let  $\varphi_j : H^m \rightarrow H^m$  be the function that maps a *constraint* index  $z \in H^m$  to the  $j$ 'th *variable* index that appears in the  $z$ 'th constraint (e.g., if  $c_z = (x_5 \vee x_7 \vee x_{11})$ , then  $\varphi_1(z) = 5$ ,  $\varphi_2(z) = 7$ , and  $\varphi_3(z) = 11$ ). Denote by  $\widehat{\varphi}_{j,q} : \mathbb{F}_q^m \rightarrow \mathbb{F}_q^m$  the unique, individual degree  $d$ , extension of  $\varphi_j$  to  $\mathbb{F}_q$ . (The variable indicator is the same as in the overview.)

<sup>11</sup>This can be done by checking that each one of the  $m \cdot |\mathbb{F}_q|^{m-1}$  axis-parallel lines is a degree  $d$  univariate polynomial, and each such check can be done by a circuit of size  $|\mathbb{F}_q| \cdot \text{polylog} |\mathbb{F}_q|$ .

- **Constraint-Satisfaction Indicator:** Let  $\psi_q : \mathbb{F}_q^m \rightarrow \mathbb{F}_q$  be the total degree  $m^2 d^2 t + md$  polynomial given by

$$\psi_q(z_1, \dots, z_m) = \sum_{i=1}^n \widehat{\chi}_{i,q}(z_1, \dots, z_m) \cdot \widehat{c}_{i,q}(\widehat{X}_q \circ \widehat{\varphi}_{1,q}(z_1, \dots, z_m), \dots, \widehat{X}_q \circ \widehat{\varphi}_{t,q}(z_1, \dots, z_m)), \quad (4.2)$$

where the summation is over  $\mathbb{F}_q$ . Note that for every  $z \in H^m$ , the value of  $\psi_q(z)$  indicates whether the  $z$ 'th constraint of  $\varphi$  is satisfied by the assignment encoded in  $\widehat{X}_q$ . Note that the factor of  $(md)^2$  in the degree of  $\psi_q$  is due to the composition of  $\widehat{X}_q$  with  $\widehat{\varphi}_{j,q}$ .

The prescribed MAP proof for  $C(x)$  being in  $\Pi_\varphi$  is  $\pi_\varphi = \{\pi_{\varphi,q}\}_{q \in Q}$ , where  $\pi_{\varphi,q} : \mathbb{F}_q^\ell \rightarrow \mathbb{F}_q$  is given by

$$\pi_{\varphi,q}(z_1, \dots, z_\ell) = \sum_{z_{\ell+1}, \dots, z_m \in H} \psi_q(z_1, \dots, z_\ell, z_{\ell+1}, \dots, z_m), \quad (4.3)$$

where the summation is over  $\mathbb{F}_q$ . Note that the length of the MAP proof is bounded by  $\sum_{q \in Q} (m^2 d^2 t + md)^\ell \cdot 100 \log |\mathbb{F}_q| = \widetilde{O}(m^{2\ell} \cdot n^{2\ell/m} \cdot t^\ell)$ , and observe that  $\sum_{z_1, \dots, z_\ell \in H} \pi_{\varphi,q}(z_1, \dots, z_\ell)$  counts the number of  $\varphi$ 's constraints that are satisfied by the assignment encoded in  $\widehat{X}_q$  modulo  $q$  (due to the field's characteristic).

**The MAP verifier (for  $\varphi$ ).** Hereafter, we shall use  $\tilde{z}$  to denote a string that is allegedly equal to  $z$ . Consider the MAP $_\varepsilon$  verifier  $V_\varphi$  for the subcode  $\{C(x) : \varphi(x) = 1\}$ , which has free access to a purported proof  $\tilde{\pi}_\varphi = \{\tilde{\pi}_{\varphi,q}\}_{q \in Q}$ , which is supposed to equal  $\pi_\varphi = \{\pi_{\varphi,q}\}_{q \in Q}$  (as defined above), and oracle access to a purported bundle  $w \in \{0, 1\}^{m \cdot n'}$  that is supposed to equal Eq. (4.1); that is,  $w$  allegedly consists of three parts: (1) the purported anchor  $\widetilde{\text{ECC}}(x)$ , (2) the purported Reed-Muller encodings  $(\langle \tilde{X}_q \rangle)_{q \in Q}$ , and (3) the purported PCPs of proximity  $(\xi_q(x))_{q \in Q}$ . Let  $T$  be the bundle consistency test in Proposition 4.4. Recall that  $T$  is given a proximity parameter  $\varepsilon$ , an encoding-index parameter  $q \in Q$ , and oracle access to a purported bundle  $w$ . The test  $T$  accepts, with high probability, if and only if  $w$  is  $\varepsilon$ -close to  $C(x)$ , and  $\langle \tilde{X}_q \rangle$  is  $\varepsilon$ -close to  $\langle \widehat{X}_q \rangle$  (i.e., the low-degree extension of a *binary* assignment  $x$ ).

The verifier  $V_\varphi$  performs the following checks for every  $q \in Q$ , in parallel, and accepts if none of the checks failed.

1. The MAP proof  $\tilde{\pi}_\varphi$  is consistent with a satisfying assignment: Check that

$$\sum_{z_1, \dots, z_\ell \in H} \tilde{\pi}_{\varphi,q}(z_1, \dots, z_\ell) \equiv n \pmod{q}.$$

2. The universal-LTC itself is a bundle of Reed-Muller encodings of a binary assignment: Invoke the bundle consistency test  $T$  with respect to proximity parameter  $\varepsilon$ , encoding-index parameter  $q$ , and purported bundle  $w$ . (Hence, we may assume that  $\langle \tilde{X}_q \rangle$  is  $\varepsilon$ -close to  $\langle \widehat{X}_q \rangle$ , which is consistent with  $x$ ; that is, all  $\langle \widehat{X}_q \rangle$ 's are pairwise consistent with the same *binary* assignment  $x$ .)
3. The MAP proof  $\tilde{\pi}_{\varphi,q}$  is consistent with the universal-LTC  $w$ : Compare the evaluation of  $\tilde{\pi}_{\varphi,q}$  and  $\pi_{\varphi,q}$  at a random point. That is, recall that the verifier  $V_\varphi$  has the formula  $\varphi$  hard-coded, and so it can evaluate  $\pi_{\varphi,q}$  (without help from the prover) by self-correcting  $\tilde{X}_q$ ,

as follows. Select uniformly at random  $r_1, \dots, r_\ell \in_R \mathbb{F}_q$ , and for every  $z_{\ell+1}, \dots, z_m \in H$  and  $j \in [t]$ , decode  $\tilde{X}_q \circ \hat{\varphi}_{j,q}(r_1, \dots, r_\ell, z_{\ell+1}, \dots, z_m)$  using the Reed-Muller self-corrector, repeated  $O((m - \ell) \cdot t \cdot \log(|H|))$  times so that the error probability in the self-correction is  $1/(10 \cdot t \cdot |H|^{m-\ell})$  for each point. Denoting the value read by  $v_{j,q}(r_1, \dots, r_\ell, z_{\ell+1}, \dots, z_m)$ , check that

$$\begin{aligned} \tilde{\pi}_{\varphi,q}(r_1, \dots, r_\ell) = & \sum_{z_{\ell+1}, \dots, z_m \in H} \sum_{i=1}^n \hat{\chi}_{i,q}(r_1, \dots, r_\ell, z_{\ell+1}, \dots, z_m) \\ & \cdot \hat{c}_{i,q}(v_{1,q}(r_1, \dots, r_\ell, z_{\ell+1}, \dots, z_m), \dots, v_{t,q}(r_1, \dots, r_\ell, z_{\ell+1}, \dots, z_m)). \end{aligned} \quad (4.4)$$

(Note that, assuming Test 2 passes (with high probability) and all invocations of the self-corrector were successful,<sup>12</sup> the right-hand side of Eq. (4.4) equals  $\pi_{\varphi,q}(r_1, \dots, r_\ell)$ .)

Recall that for each  $q \in Q$ , the purported proof  $\tilde{\pi}_{\varphi,q}$  is a low-degree polynomial (like  $\pi_{\varphi,q}$ ). Hence, if  $\tilde{\pi}_{\varphi,q}$  and  $\pi_{\varphi,q}$  agree (with high probability) on a random point, as checked in Test 3, then  $\tilde{\pi}_{\varphi,q} = \pi_{\varphi,q}$ . Note that  $\sum_{z_1, \dots, z_\ell \in H} \pi_{\varphi,q}(z_1, \dots, z_\ell)$  counts the number of constraints of  $\varphi$  that the binary assignment  $x$  satisfies modulo  $q$  (where Test 2 asserts that all  $\pi_{\varphi,q}$ 's refer to the same assignment  $x$ ). By Test 1, it follows that  $\sum_{z_1, \dots, z_\ell \in H} \pi_{\varphi,q}(z_1, \dots, z_\ell)$  is congruent to  $n$  modulo  $q$ . Since this holds for all  $q \in Q$ , then by the Chinese remainder theorem,  $\sum_{z_1, \dots, z_\ell \in H} \pi_{\varphi,q}(z_1, \dots, z_\ell) \equiv n \pmod{\prod_{q \in Q} q}$ , and since  $\prod_{q \in Q} q \geq n$ , the assignment  $x$  satisfies the formula  $\varphi$ .

Note that for each of the  $O(m)$  primes in  $Q$ , the verifier  $V_\varphi$  makes  $O(1/\varepsilon)$  queries during the bundle consistency test and then queries  $t \cdot |H|^{m-\ell} = t \cdot n^{1-(\ell/m)}$  points in  $\hat{X}_q$  via (amplified) self-correction of  $\tilde{X}_q$ . Thus, the total query complexity is

$$\sum_{q \in Q} \left( O\left(\frac{1}{\varepsilon}\right) + tn^{1-\frac{\ell}{m}} \cdot O(m \log(|H|)) \right) \cdot \log(|\mathbb{F}_q|) = \tilde{O}\left(mt \cdot n^{1-\frac{\ell}{m}} \cdot \frac{1}{\varepsilon}\right).$$

Perfect completeness follows from the one-sided error of the bundle test and the self-correction procedure. The following claim establishes the soundness of  $V_\varphi$ .

**Claim 4.4.1.** *If  $w$  is  $\varepsilon$ -far from the subcode  $\{C(x) : \varphi(x) = 1\}$ , then for every alleged MAP proof  $\tilde{\pi}_\varphi$ , it holds that  $\Pr[V_\varphi^w(\tilde{\pi}_\varphi) = 0] \geq 2/3$ .*

The proof of Claim 4.4.1 is a straightforward analysis of the construction, and so we defer its proof to Appendix A.2. This concludes the proof of Theorem 4.2.

## 5 Lower Bounds on Verifying Conjugation Properties

Denote by **Conjugation** the set of all conjugations (of *at most*  $k$  variables); that is, **Conjugation** =  $\{f_S(x_1, \dots, x_k) = \bigwedge_{i \in S} x_i\}_{S \subseteq [k]}$ . The following theorem shows a lower bound on the universal-LVC

<sup>12</sup>Note that  $\pi_{\varphi,q}$  is well defined if the purported bundle  $w$  is close to a codeword  $C(x)$ , which Test 2 asserts. In this case,

$$\pi_{\varphi,q}(z_1, \dots, z_\ell) = \sum_{z_{\ell+1}, \dots, z_m \in H} \sum_{i=1}^n \hat{\chi}_{i,q}(z_1, \dots, z_m) \cdot \hat{c}_{i,q}(\hat{X}_q \circ \hat{\varphi}_{1,q}(z_1, \dots, z_m), \dots, \hat{X}_q \circ \hat{\varphi}_{t,q}(z_1, \dots, z_m)),$$

where  $\hat{X}_q$  is the low-degree extension of  $x$  to  $\mathbb{F}_q$ . Hence, the verifier  $V_\varphi$ , which has the formula  $\varphi$  hard-coded, can evaluate  $\pi_{\varphi,q}$  by self-correcting  $\tilde{X}_q$ .



complexity of Conjugation, which in particular, yields a lower bound on the universal-LVC complexity of CSP.

**Theorem 5.1.** *Suppose  $C : \{0, 1\}^k \rightarrow \{0, 1\}^\eta$  is a code of constant relative distance  $\delta(C)$ , and fix  $\varepsilon < \delta(C)$ . If  $C$  is a universal-LVC $_\varepsilon$  for Conjugation with proof complexity  $p$  and query complexity  $q$ , then  $p \cdot q = \Omega(k/\log \eta)$ .*

Note that the foregoing lower bound trivializes for  $\eta = 2^k$ , and indeed there exists a universal-LTC for Conjugation of roughly such length (see [GG16b]). As an immediate consequence of Theorem 5.1, we obtain the following corollary.

**Corollary 5.2.** *Suppose  $C : \{0, 1\}^k \rightarrow \{0, 1\}^\eta$  is a code of constant relative distance  $\delta(C)$ , and fix  $\varepsilon < \delta(C)$ . If  $C$  is a universal-LVC $_\varepsilon$  for CSP $_{n,k}$  with proof complexity  $p$  and query complexity  $q$ , then  $p \cdot q = \Omega(k/\log \eta)$ .*

We prove Theorem 5.1 by a reduction from  $\mathcal{MA}$  communication complexity protocols, which we briefly recall next.

## 5.1 Preliminaries: $\mathcal{MA}$ Communication Complexity

In  $\mathcal{MA}$  communication protocols we have a function  $f : X \times Y \rightarrow \{0, 1\}$ , for some finite sets  $X$  and  $Y$ , and three computationally unbounded parties: Merlin, Alice, and Bob. The function  $f$  is known to all parties. Alice gets an input  $x \in X$ , and Bob gets an input  $y \in Y$ . Merlin sees both  $A, B$ , but Alice and Bob share a random string  $r$  that Merlin does not see. The protocol starts with a message  $\pi = \pi(x, y)$  sent from Merlin to both Alice and Bob, which is supposed to be a proof that  $f(x, y) = 1$ . Then, the two players exchange messages to verify that indeed  $f(x, y) = 1$ .

**Definition 5.3.** *Let  $f : X \times Y \rightarrow \{0, 1\}$ . An  $\mathcal{MA}$  communication protocol for  $f$ , with proof complexity  $p$  and communication complexity  $c$  is a probabilistic protocol between two parties who share a random string  $r$ , and also receive a  $p$ -bit string  $\pi = \pi(x, y)$ , which is a function of  $x$  and  $y$ , but independent of  $r$ . The parties communicate  $c$  bits and output  $\langle A(x), B(y) \rangle(r, \pi)$  such that:*

1. *Completeness: for every Yes-input  $(x, y) \in f^{-1}(1)$ , there exists a proof  $\pi \in \{0, 1\}^p$  such that*

$$\Pr_r [\langle A(x), B(y) \rangle(r, \pi) = 1] \geq 2/3.$$

2. *Soundness: for every No-input  $(x, y) \in f^{-1}(0)$  and for any alleged proof  $\pi \in \{0, 1\}^p$ ,*

$$\Pr_r [\langle A(x), B(y) \rangle(r, \pi) = 0] \geq 2/3.$$

We shall use the following (tight) lower bound on the  $\mathcal{MA}$  communication complexity of the set-disjointness problem, in which Alice has input  $S \subseteq [k]$ , Bob has input  $T \subseteq [k]$ , and the parties need to decide whether their sets are disjoint; that is, compute the predicate

$$\text{DISJ}_k(S, T) = \begin{cases} 1 & \text{if } |S \cap T| = 0 \\ 0 & \text{if } |S \cap T| \geq 1 \end{cases}.$$

It is well-known (see [KS92]) that the randomized communication complexity of the set-disjointness problem is linear in the length of the inputs. Moreover, Klauck [Kla03] showed the following (tight) lower bound on the  $\mathcal{MA}$  communication complexity of set-disjointness.

**Theorem 5.4** ([Kla03]). *Every  $\mathcal{MA}$  communication complexity protocol for  $\text{DISJ}_k$  with proof complexity  $p$  and communication complexity  $c$  satisfies  $p \cdot c = \Omega(k)$ .*

## 5.2 Proof of [Theorem 5.1](#)

Consider the communication complexity problem, in which Alice has input  $A \subseteq [k]$ , Bob has input  $B \subseteq [k]$ , and the parties need to decide whether Alice's set is a subset of Bob's set; that is, compute

$$\text{the predicate } \text{SUBSET}_k(A, B) = \begin{cases} 1 & \text{if } A \subseteq B \\ 0 & \text{otherwise} \end{cases}.$$

**Claim 5.4.1.** *Every  $\mathcal{MA}$  communication complexity protocol for  $\text{SUBSET}_k$  with proof complexity  $p$  and communication complexity  $c$  satisfies  $p \cdot c = \Omega(k)$ .*

**Proof.** We reduce from  $\text{DISJ}_k$ . Let  $\text{Prot}_{\text{SUBSET}}$  be an  $\mathcal{MA}$  protocol for  $\text{SUBSET}_k$  with proof complexity  $p$  and communication complexity  $c$ , and let  $S, T \subseteq [k]$  be the inputs of Alice and Bob to the  $\text{DISJ}_k$  problem. The parties emulate  $\text{Prot}_{\text{SUBSET}}$  on inputs  $A := S$  and  $B := [k] \setminus T$ . Note that if  $S \cap T = \emptyset$ , then  $A = S \subseteq [k] \setminus T = B$ . Otherwise, there exists  $i \in S \cap T$  such that  $i \notin [k] \setminus T = B$ , and  $A \not\subseteq B$  follows. We stress that the reduction maps 1-instances to 1-instances, and so it preserves membership in the class  $\mathcal{MA}$ .  $\square$

We prove the following claim by adapting the methodology in [\[BBM11\]](#), in which property testing lower bounds are obtained via reductions from communication complexity, to the setting of universal-LTCs.

**Claim 5.4.2.** *If the universal-LVC  $C$  has proof complexity  $p$  and query complexity  $q$ , then there exists an  $\mathcal{MA}$  communication complexity protocol for  $\text{SUBSET}_k$  with proof complexity  $p$  and communication complexity  $q \cdot (1 + \log \eta)$ .*

**Proof.** Let  $A, B \subseteq [k]$  be the inputs of Alice and Bob (respectively) to the  $\text{SUBSET}_k$  problem. Bob computes the codeword  $C(B)$ , where  $B$  is viewed as a  $k$ -bit string.<sup>13</sup> Then, Alice invokes the MAP verifier for the subcode  $C_A := \{C(x) : \wedge_{i \in A} x_i = 1\}$ , and answers each of its  $q$  queries by communicating with Bob as follows. On query  $i \in [\eta]$ , Alice sends  $i$  (communicating  $\log \eta$  bits) to Bob, who responds with (a single bit)  $C(B)_i$ , which Alice provides as answer to the MAP verifier for  $C_A$ , denoted  $V_A$ . If  $A \subseteq B$ , then  $\wedge_{i \in A} B_i = 1$ , and so  $C(B) \in C_A$ ; thus there exists a proof  $\pi \in \{0, 1\}^p$  such that  $\Pr[V_A^{C(B)} = 1] \geq 2/3$ . Otherwise (i.e.,  $A \not\subseteq B$ ), there exists  $i \in A$  such that  $i \notin B$ , hence  $\wedge_{i \in A} B_i = 0$ , and so  $C(B)$  is  $\delta(C)$ -far from  $C_A$ , and for every  $\pi \in \{0, 1\}^p$  it holds that  $\Pr[V_A^{C(B)} = 0] \geq 2/3$ .  $\square$

Combining [Claim 5.4.1](#) and [Claim 5.4.2](#) concludes the proof of the [Theorem 5.1](#).

## 6 Constant-Round IPPs for CSP

Recall that an interactive proof of proximity (hereafter, IPP) is an interactive proof system in which the verifier only queries a sublinear number of input bits and soundness only means that, with high probability, the input is close to an accepting input (see [Definition 2.1](#)). In this section, we show that using  $O(1)$  rounds of interaction, an IPP protocol wherein the verifier has oracle access to an assignment  $x \in \{0, 1\}^k$  can, in a sense, emulate the universal-LVC for CSP of [Theorem 4.2](#); thus, we obtain an efficient IPP for satisfiability of fixed CSPs. We shall make an effort to keep the round complexity of such IPP to a minimum. We warn that [Section 4](#) is a prerequisite for this section.

<sup>13</sup>Via the standard mapping in which the  $i$ 'th bit of the string is 1 if  $i \in B$  and 0 otherwise.

Let  $k \in \mathbb{N}$ . We consider  $\text{CSP}_n = \text{CSP}_{n,t,k}$ , where for simplicity of presentation, in this subsection we fix  $n = k$  and  $t = O(1)$  (generalizing to general values of  $n, k, t$  can be handled similarly as in [Section 4](#)). Recall that each *round* of an IPP consists of two messages, one from the prover and one from the verifier (see [Section 2.1](#)). We prove the following.

**Theorem 6.1.** *For every  $\varepsilon \geq 1/n^{6/7}$  and  $\varphi \in \text{CSP}_n$  there exists a 3-round (one-sided error) IPP for the property  $\Pi_\varphi = \{x \in \{0,1\}^k : \varphi(x) = 1\}$  with communication and query complexity  $O(n^{6/7+o(1)})$ .*

We remark that by allowing additional  $O(1)$  rounds of interaction, it is possible to obtain both query and communication complexity  $n^\alpha$  for any constant  $\alpha > 1/2$ , see [Section 6.3](#).

## 6.1 High-Level Overview

We start with a brief overview of the main ideas behind the proof of [Theorem 6.1](#). Fixing any  $\varphi \in \text{CSP}_n$ , let  $C(x)$  be the universal-LVC encoding of an assignment  $x \in \{0,1\}^k$ , as used in [Theorem 4.2](#). Recall that  $C(x)$  consists of a bundle of Reed-Muller encodings of  $x$  over several prime fields  $\{\mathbb{F}_q\}_{q \in Q}$ ,<sup>14</sup> and let  $V_\varphi$  be the MAP verifier for  $\Pi_i = \{C(x) : \varphi(x) = 1\}$ .

Let  $C(x)$  be a *valid* codeword (where  $\varphi(x) \in \{0,1\}$ ). Then, by [Theorem 4.2](#): (1) if  $\varphi(x) = 1$ , then there exists a proof  $\pi$  such that  $\Pr[V_\varphi^{C(x)}(\pi) = 1] = 1$ , and (2) if  $\varphi(x) = 0$ , then for every alleged proof  $\pi$  it holds that  $\Pr[V_\varphi^{C(x)}(\pi) = 1] < 1/3$ . A closer inspection of the proof of [Theorem 4.2](#) shows that, for every  $q \in Q$ , the verifier  $V_\varphi(\pi)$  generates, as a function of the alleged proof  $\pi$  and its own randomness, a subset of indices  $J_q \subseteq [|C(x)|]$  and a vector of values  $\vec{v}_q \in \{0,1\}^{|J_q|}$  such that: (1) if  $\varphi(x) = 1$ , then for every  $q \in Q$  there exists a proof  $\pi$  such that  $\Pr_{(J_q, \vec{v}_q) \leftarrow V_\varphi(\pi)}[C(x)|_{J_q} = \vec{v}_q] = 1$ , and (2) if  $\varphi(x) = 0$ , then for every alleged proof  $\pi$  there exists  $q \in Q$  such that  $\Pr_{(J_q, \vec{v}_q) \leftarrow V_\varphi(\pi)}[C(x)|_{J_q} = \vec{v}_q] < 1/3$ .<sup>15</sup> Hence, we view  $V_\varphi$  as a *reduction* of verifying that  $x$  satisfies  $\varphi$  to verifying that  $C(x)|_{J_q} = \vec{v}_q$  for every  $q \in Q$ . Hereafter, we fix  $q \in Q$  and omit it from subscripts.

Recall, however, that in the setting of [Theorem 6.1](#) the verifier does *not* have access to the encoding  $C(x)$ , but rather only oracle access to the plain assignment  $x$  itself. Aiming at sublinear query complexity, the verifier cannot read all of  $x$ . Instead the verifier sends the set of locations  $J$  to the prover and asks it to prove to it that  $C(x)|_J = \vec{v}$ . To this end, we use techniques from [\[RVW13\]](#) that allow us to verify claims regarding  $C(x)$  by only making a small number of queries to  $x$ . This is performed in two steps, which we describe next.

The first step is to strengthen the soundness condition of  $V_\varphi$  such that, with high probability, if  $x$  is  $\varepsilon$ -far from  $\Pi_i := \{z \in \{0,1\}^k : \varphi(z) = 1\}$ , not only  $C(x)|_J \neq \vec{v}$ , but also for every  $x'$  that is  $\varepsilon$ -close to  $x$  (simultaneously) it holds that  $C(x')|_J \neq \vec{v}$ . That is, if  $x$  is  $\varepsilon$ -far from  $\Pi_i$ , then it is  $\varepsilon$ -far from  $\{z \in \{0,1\}^k : C(z)|_J = \vec{v}\}$ . The second step is to invoke an IPP (due to [\[RVW13\]](#)) for verifying membership in  $\{z \in \{0,1\}^k : C(z)|_J = \vec{v}\}$ , where  $C$  consists of Reed-Muller encodings. Details follow.

<sup>14</sup>Actually,  $C$  consists of the foregoing Reed-Muller encodings, bundled with PCPPs that ascertain the consistency of the encodings (see [Construction 4.3](#)). However, in the context of [Theorem 6.1](#), we shall not need these PCPPs, and we view  $C$  as consisting solely of the low-degree extensions.

<sup>15</sup>This is because (1) the verifier is *non-adaptive*, and (2) assuming  $C(x)$  is valid, the verifier only needs to make queries to the Reed-Muller encodings (and do *not* need to query the PCPP oracles that are used for consistency testing).

Denote the query complexity of the verifier  $V_\varphi$  by  $\ell$ . We start by reducing the soundness error of  $V_\varphi$ , via  $S$  parallel repetitions (at the cost of increasing the the query complexity to  $S \cdot \ell$ ). Note that the amplified verifier  $V'_\varphi$  generates a pair  $(J, \vec{v})$  of  $O(S \cdot \ell)$  locations and values, such that if  $\varphi(x) = 0$ , then  $\Pr_{(J, \vec{v})}[C(x)|_J = \vec{v}] = \exp(-S)$ . Observe that if  $x$  is  $\varepsilon$ -far from satisfying  $\varphi$  (and in particular  $\varphi(x') = 0$ ), then the probability there exists  $x'$  that is  $\varepsilon$ -close to  $x$  such that  $C(x')|_J = \vec{v}$  is at most  $\binom{n}{\varepsilon n} \cdot \exp(-S)$ .

Therefore, by setting  $S = \Theta(\varepsilon \cdot n \log n)$  we obtain that with high probability no  $x'$  that is  $\varepsilon$ -close to  $x$  satisfies  $C(x')|_J = \vec{v}$ . Thus, if  $x$  is  $\varepsilon$ -far from  $\{x \in \{0, 1\}^k : \varphi(x) = 1\}$ , then with high probability (over the pair  $(J, \vec{v})$ , chosen by  $V'_\varphi$ ) the assignment  $x$  is  $\varepsilon$ -far from the affine subspace  $A_{J, \vec{v}} := \{x \in \{0, 1\}^k : C(x)|_J = \vec{v}\}$ .

Therefore, the foregoing constitutes a 2-message “reduction”: The prover sends the MAP proof (constructed as in [Theorem 4.2](#)) that  $x$  satisfies  $\varphi$ , and the verifier sends back a set of random locations  $J$ , asking the prover to provide a vector  $\vec{v}$  and prove that it is equal to  $C(x)|_J$ . Hence, we performed a randomized reduction of verifying that  $x$  satisfies  $\varphi$  to verifying membership in the affine subspace  $A_{J, \vec{v}}$ . Fortunately, 3-message IPPs with sublinear communication and query complexity are known for testing membership in affine subspaces that are induced by Reed-Muller codes. Furthermore, these IPPs also have sublinear communication and query complexity for sub-constant values of  $\varepsilon$ . This is crucial since we perform  $S = \Theta(\varepsilon \cdot n \log n)$  parallel repetitions of  $V_\varphi$ , which adds a factor of  $\Theta(\varepsilon \cdot n \log n)$  to the communication complexity, and since we aim for sublinear communication complexity, the proximity parameter must be sub-constant. Finally, we compose the aforementioned reduction protocol with an IPP for membership in  $A_{J, \vec{v}}$ , and hence obtain an IPP for  $\{x \in \{0, 1\}^k : \varphi(x) = 1\}$ .

To present the actual proof of [Theorem 6.1](#), we shall need to define the following property of membership in the affine subspace that corresponds to the Reed-Muller code.

**Definition 6.2 (PVAL).** *Let  $\mathbb{F}$  be a finite field,  $J \subseteq \mathbb{F}^m$ , and  $\vec{v} \in \mathbb{F}^{|J|}$ . The property  $\text{PVAL}_{J, \vec{v}}^{\mathbb{F}, d, m}$  (or just  $\text{PVAL}_{J, \vec{v}}^{\mathbb{F}}$ , when  $d$  and  $m$  are clear from the context) consists of all strings  $x \in \{0, 1\}^{d^m}$  such that their (individual) degree  $d$  extension to  $\mathbb{F}$ , denoted  $\widehat{X} : \mathbb{F}^m \rightarrow \mathbb{F}$ , takes the values  $\vec{v}$  on the coordinates  $J$ ; that is,*

$$\text{PVAL}_{J, \vec{v}}^{\mathbb{F}} = \{x \in \{0, 1\}^{d^m} : \widehat{X}(J) = \vec{v}\}.$$

The following theorem, due to Rothblum et al. [[RVW13](#)], shows that PVAL has efficient IPPs.

**Theorem 6.3** ([[RVW13](#), Theorem 3.12]). *Let  $d, m \in \mathbb{N}$ , and let  $\mathbb{F}$  be a finite field. Fix parameters  $r$  and  $q$  such that  $r \leq \min(d, |F|/10)$  and  $q > \max\{(d^r)^{1+o(1)}, |\mathbb{F}|\}$ .*

*Then, for every  $J \subseteq \mathbb{F}^m$ ,  $\vec{v} \in \mathbb{F}^{|J|}$ , and any  $\varepsilon \geq 1/q^{1-o(1)}$  there exists a one-sided error,  $(2r + 1)$ -message (where the first message is sent by the prover)  $\text{IPP}_\varepsilon$  for  $\text{PVAL}_{J, \vec{v}}^{\mathbb{F}, d, m}$  with communication complexity  $(d^{m-r} + |J| \cdot d) \cdot q^{o(1)}$  and query complexity  $q$ .*

We remark that the product of the proof and query complexities in [Theorem 6.3](#) can be made almost linear in some cases; specifically, for  $r = \frac{\log q}{\log d}$  we obtain communication complexity  $\frac{d^m}{q^{1-o(1)}} + |J| \cdot d \cdot q^{o(1)}$  and query complexity  $q$ . We shall, however, use  $r = O(1)$ .

## 6.2 Proof of [Theorem 6.1](#)

Let  $\varphi \in \text{CSP}_n$ , and write  $\varphi(x) = \bigwedge_{i=1}^n c'_i(x_1, \dots, x_k)$ , where  $c'_1, \dots, c'_n \in \text{Constraint}_{t, k, \{0, 1\}}$ . Recall that each  $c'_i$  is a  $t$ -junta, denote its influencing variables by  $I_i$ , and note that there exists  $c_i : \{0, 1\}^t \rightarrow \{0, 1\}$  such that  $c'_i(x) = c_i(x|_{I_i})$ .

We show an IPP for the property  $\Pi_\varphi := \{x \in \{0,1\}^k : \varphi(x) = 1\}$ . As discussed in the overview, we begin by using a similar construction to that of [Theorem 4.2](#), to the end of performing a randomized reduction of verifying that the assignment  $x$  satisfies  $\varphi$  to verifying membership in the affine subspace induced by Reed-Muller encodings of  $x$ . More accurately, we shall use a “bare-bones” version of the foregoing universal-LTC, which only consists of Reed-Muller encodings of  $x$  over several prime fields (note that we omit both the alphabet reduction, and the PCP-based consistency testing mechanism), and whose MAP verifiers do not query the universal-LTC, but rather send to the prover the queries they wish to make. We stress that this construction do *not* include the anchor and PCPPs in [Construction 4.3](#).

For the convenience of the reader, we briefly review the following definitions from [Section 4](#), which are needed to describe the foregoing “bare-bones” version of the universal-LTC in [Theorem 4.2](#).

**Review of the arithmetization in [Theorem 4.2](#).** Let  $m = O(1)$ , to be determined later, and fix  $d = n^{1/m} - 1$ . Let  $Q$  be the set of the first  $m/2$  primes that are greater than  $2(m^2 d^2 t + md) = O(n^{2/m})$ . Note that  $\prod_{q \in Q} q > n$ . Let  $q \in Q$ . Denote by  $\mathbb{F}_q$  the finite field with  $q$  elements. Denote by  $\widehat{c}_{i,q} : \mathbb{F}_q^t \rightarrow \mathbb{F}_q$  the multilinear extension of  $c_i$  to  $\mathbb{F}_q$ . Let  $H = [d]$  (note that  $H \subset \mathbb{F}_q$ ); we fix a bijection  $H^m \leftrightarrow [n]$  and use these domains interchangeably. For every  $x \in \{0,1\}^k$  consider  $X_q : H^m \rightarrow \{0,1\}$  given by  $X_q(z) = x_z$ . Let  $\widehat{X}_q : \mathbb{F}_q^m \rightarrow \mathbb{F}_q$  be the unique individual degree  $d$  extension of  $X_q$  to  $\mathbb{F}_q$ .

For every  $i \in [n]$ , let  $\chi_i : H^m \rightarrow \{0,1\}$  be the indicator of the  $i$ 'th constraint, i.e., for every  $z \in H^m = [n]$  it holds that  $\chi_i(z) = 1$  if and only if  $z = i$ . Denote by  $\widehat{\chi}_{i,q} : \mathbb{F}_q^m \rightarrow \mathbb{F}_q$  the unique, individual degree  $d$ , extension of  $\chi_i$  to  $\mathbb{F}_q$ . For every  $j \in [t]$ , let  $\varphi_j : H^m \rightarrow H^m$  be the function that maps a *constraint* index  $z \in H^m$  to the  $j$ 'th *variable* index that appears in the  $z$ 'th constraint. Denote by  $\widehat{\varphi}_{j,q} : \mathbb{F}_q^m \rightarrow \mathbb{F}_q^m$  the unique, individual degree  $d$ , extension of  $\varphi_j$  to  $\mathbb{F}_q$ . For every  $i \in [n]$  and  $j \in [t]$ , denote by  $\widehat{\chi}_{i,q}$  and  $\widehat{\varphi}_{j,q}$  the low-degree extension of  $\chi_i$  and  $\varphi_j$  to  $\mathbb{F}_q$ .

Finally, let  $\psi_q(z_1, \dots, z_m) : \mathbb{F}_q^m \rightarrow \mathbb{F}_q$ , given by

$$\psi_q(z_1, \dots, z_m) = \sum_{i=1}^n \widehat{\chi}_{i,q}(z_1, \dots, z_m) \cdot \widehat{c}_{i,q}(\widehat{X}_q \circ \widehat{\varphi}_{1,q}(z_1, \dots, z_m), \dots, \widehat{X}_q \circ \widehat{\varphi}_{t,q}(z_1, \dots, z_m)).$$

Having reviewed the foregoing definitions, we are ready to proceed with the proof of [Theorem 6.1](#).

**The 3-round IPP.** Let  $\varepsilon > 0$ ,  $\ell \in [m/2]$ , and  $S \in \mathbb{N}$ , to be determined later. Consider the following 3-round IPP $_\varepsilon$  for the property  $\Pi_\varphi := \{x \in \{0,1\}^k : \varphi(x) = 1\}$ . The protocol starts by emulating a “bare-bones” version of the MAP verifier of [Theorem 4.2](#), which differs in the following aspects: (1) the consistency test and alphabet reduction are omitted, (2) the soundness of the verifier is amplified via  $S = O(\varepsilon n \log n)$  parallel repetitions, and (3) the verifier does *not* make queries to its input, but rather communicates to the prover the queries it wishes to make and asks the prover to assert the values of these queries. Details follow.

Hereafter, we shall denote by  $\widetilde{f}$  a function, sent by the prover, which allegedly equals  $f$ . For every  $q \in Q$ , the prover sends a polynomial  $\widetilde{\pi}_q : \mathbb{F}_q^\ell \rightarrow \mathbb{F}_q$ , which allegedly equals  $\pi_q(z_1, \dots, z_\ell) := \sum_{z_{\ell+1}, \dots, z_m \in H} \psi_q(z_1, \dots, z_\ell, z_{\ell+1}, \dots, z_m)$ , where the summation is over  $\mathbb{F}_q$ . The verifier first checks that all  $\pi_q$ 's are consistent with a satisfying assignment (i.e., checks that  $\sum_{z_1, \dots, z_\ell \in H} \widetilde{\pi}_q(z_1, \dots, z_\ell) \equiv n \pmod{q}$ , for all  $q \in Q$ ). Then, the verifier wishes to evaluate each  $\pi_q$  on  $S$  randomly chosen points

and compare it to the value of  $\tilde{\pi}_q$  on these points,<sup>16</sup> which amounts to evaluating the low-degree extensions  $\{\widehat{X}_q\}_{q \in Q}$  of the assignment  $x$  at  $S \cdot |H|^{m-\ell}$  points; denote these points by  $J_q$ .

Recall, however, that the verifier only has access to the plain assignment  $x$ , and not to its encodings  $\{\widehat{X}_q\}_{q \in Q}$  (note that evaluating  $\widehat{X}_q$  at any point, without assistance from the prover, may require reading the assignment  $x$  entirely). Instead the verifier asks the prover to assert the values of  $\{\widehat{X}_q\}_{q \in Q}$  at the points it wishes to probe. To that end, the verifier selects uniformly at random  $r_q^{(s)} := (r_1^{(s)}, \dots, r_\ell^{(s)}) \in \mathbb{F}_q^\ell$ , for every  $s \in [S]$  and sends it to the prover, which in turns sends a vector  $\vec{v}_q$  of the evaluations of  $\widehat{X}_q$  at  $J_q$ , for every  $q \in Q$ . Finally the parties invoke the IPP in [Theorem 6.3](#) with respect to  $(J_q, \vec{v}_q)$ , for every  $q \in Q$ , and accept if and only if all of the invocations accepted. More accurately, the IPP is described as follows. For every  $q \in Q$ , in parallel, perform the following steps:

1. The prover sends a (total) degree  $m^2 d^2 t + md$  polynomial  $\tilde{\pi}_q : \mathbb{F}_q^\ell \rightarrow \mathbb{F}_q$  (by specifying its coefficients), which allegedly equals:

$$\pi_q(z_1, \dots, z_\ell) = \sum_{z_{\ell+1}, \dots, z_m \in H} \psi_q(z_1, \dots, z_\ell, z_{\ell+1}, \dots, z_m).$$

2. The verifier checks that  $\sum_{z_1, \dots, z_\ell \in H} \tilde{\pi}_q(z_1, \dots, z_\ell) \equiv n \pmod{q}$ .
3. The verifier selects uniformly at random and sends  $r_q^{(s)} := (r_1^{(s)}, \dots, r_\ell^{(s)}) \in \mathbb{F}_q^\ell$ , for every  $s \in [S]$ .
4. The prover sends  $\vec{v}_q \in \mathbb{F}_q^{S \cdot |H|^{m-\ell} \cdot t}$  such that allegedly  $\vec{v}_q[s, \vec{z}, i] = \widehat{X}_q \circ \widehat{\varphi}_{i,q}(r_1^{(s)}, \dots, r_\ell^{(s)}, \vec{z})$ , for every  $s \in [S]$ ,  $\vec{z} \in H^{m-\ell}$ , and  $i \in [t]$ .
5. The verifier checks that, for every  $s \in [S]$ ,

$$\sum_{\vec{z} \in H^{m-\ell}} \sum_{i=1}^n \widehat{\chi}_{i,q}(r_1^{(s)}, \dots, r_\ell^{(s)}, \vec{z}) \cdot \widehat{c}_{i,q}(\vec{v}_q[s, \vec{z}, 1], \dots, \vec{v}_q[s, \vec{z}, t]) \equiv n \pmod{q}.$$

6. Fix  $J_q = (\widehat{\varphi}_{i,q}(r_1^{(s)}, \dots, r_\ell^{(s)}, \vec{z}))_{s \in [S], \vec{z} \in H^{m-\ell}, i \in [t]}$ , and invoke the IPP for PVAL ([Theorem 6.1](#)) on input  $x$  (the assignment), field  $\mathbb{F}_q$ , location set  $J_q$ , and evaluation vector  $\vec{v}_q$ .

Note that in Step 1 the prover communicates  $\sum_{q \in Q} (m^2 d^2 t + md)^\ell \cdot \log |\mathbb{F}_q|$  bits, in Step 3 the verifier sends  $\sum_{q \in Q} S \cdot \ell \cdot \log |\mathbb{F}_q|$  bits, and in Step 4, the prover sends  $\sum_{q \in Q} S \cdot |H|^{m-\ell} \cdot t \cdot \log |\mathbb{F}_q|$  bits. Hence, prior to the final step (i.e., Step 6),  $\widetilde{O}(n^{2\ell/m} + S \cdot n^{m-\ell/m})$  bits are being communicated and *no* queries are being made to the assignment  $x$  by the verifier.

Finally, the parties invoke the 3-message (starting with the prover) PVAL IPP (in Step 6), whose communication complexity is

$$\left( d^{m-1} + \sum_{q \in Q} |J_q| \cdot d \right) \cdot q^{o(1)} = \left( n^{\frac{m-1}{m}} + S \cdot n^{\frac{m-\ell+1}{m}} \right) \cdot q^{o(1)}$$

<sup>16</sup>Note that by the proof of [Theorem 4.2](#), evaluating each  $\pi_q$  on a *single* randomly chosen point yields constant soundness, and so, in the setting of [Theorem 6.1](#), as discussed in the overview, we obtain soundness  $\exp(-S)$  by evaluating each  $\pi_q$  on  $S$  randomly chosen points.

and query complexity is  $q$ . (Note that only the PVAL protocol actually makes queries to the input  $x$ ). Fixing  $\varepsilon = 1/n^{6/7}$ ,  $q = n^{6/7+o(1)}$ ,  $S = O(\varepsilon n \log n)$ ,  $m = 7$ , and  $\ell = 3$  yields the claimed complexity. Perfect completeness follows by construction. To show soundness, we shall first need the following claim

**Claim 6.3.1.** *If  $x \notin \Pi_\varphi$ , then there exists  $q \in Q$  such that  $\Pr_{(J_q, \vec{v}_q)}[\widehat{X}_q(J_q) = \vec{v}_q] < (1/10)^S$ .*

The proof of [Claim 6.3.1](#) is by a straightforward analysis of the construction, and thus we defer its proof to [Appendix A.3](#). Next, assume that  $x$  is  $\varepsilon$ -far from  $\Pi_\varphi$ , and observe that by [Claim 6.3.1](#) there exists  $q \in Q$  such that

$$\begin{aligned} \Pr_{(J_q, \vec{v}_q)} [\forall x' \in N_\varepsilon(x) \widehat{X}'_q(J_q) \neq \vec{v}_q] &\geq 1 - \binom{n}{\varepsilon n} \cdot \max_{x' \notin \Pi_\varphi} \left\{ \Pr_{(J_q, \vec{v}_q)} [\widehat{X}'_q(J_q) = \vec{v}_q] \right\} \\ &\geq 1 - \binom{n}{\varepsilon n} \cdot (1/10)^S && \text{(Claim 6.3.1)} \\ &\geq 9/10. && (S = O(\varepsilon n \log n)) \end{aligned}$$

(where  $N_\varepsilon(x)$  consists of all strings that are  $\varepsilon$ -close to  $x$ ). Thus, there exists  $q \in Q$  such that with probability  $9/10$  over the verifier's randomness, the assignment  $x$  is  $\varepsilon$ -far from  $\text{PVAL}_{J_q, \vec{v}_q}^{\mathbb{F}_q}$ , and so, by [Theorem 6.1](#),  $x$  is rejected with probability at least  $9/10 \cdot 9/10$  in the last step of the IPP (the invocation of the PVAL protocol). This concludes the proof of [Theorem 6.1](#).

### 6.3 Round Complexity versus Communication and Query Complexity Tradeoff

The proof of [Theorem 6.1](#) naturally extends to IPPs with a higher round complexity, admitting  $O(1)$ -round IPPs with proof and query complexity  $n^\alpha$  for any constant  $\alpha > 1/2$ . We sketch below how such extension is performed.

The idea is to replace the emulation of the “bare-bones” MAP verifier  $V_\varphi$  (Steps 1-3 of the IPP in [Theorem 6.1](#)) with a sumcheck protocol [[LFKN92](#)], in which the summation is striped down in iterations, coordinate-by-coordinate. That is, the protocols starts with  $m$  rounds (recall that we arithmetize over  $m$ -variate polynomials), where in the  $j$ 'th round, for every  $q \in Q$  and  $s \in [S]$ , the prover sends a degree  $m^2 d^2 t + md$  univariate polynomial  $\tilde{\pi}_{j,q}^{(s)} : \mathbb{F}_q \rightarrow \mathbb{F}_q$  that allegedly equals:

$$\pi_{j,q}^{(s)}(z) = \sum_{z_{j+1}, \dots, z_m \in H} \psi_q(r_1^{(s)}, \dots, r_{j-1}^{(s)}, z, z_{j+1}, \dots, z_m).$$

The verifier then checks the consistency of each  $\tilde{\pi}_{j,q}^{(s)}$  with  $\tilde{\pi}_{j-1,q}^{(s)}$ ; i.e., verifies that

$$\tilde{\pi}_{j-1,q}^{(s)}(r_{j-1}^{(s)}) = \sum_{z \in H} \pi_{j,q}^{(s)}(z),$$

and the  $j$ 'th round is concluded by letting the verifier select uniformly at random  $r_j^{(s)} \in \mathbb{F}_q$  and send it to the prover.

Standard analysis of the sumcheck protocol shows that the larger  $m$  is (which in turn dictates the round complexity), the smaller the communication and query complexity of such protocols; in particular for  $O(1)$ -rounds, we can obtain both query and proof complexity  $n^\beta$ , where  $\beta = \beta(m)$  is an arbitrarily small constant. The bottleneck in both query and proof complexity is, however, due to the final step of our IPP, which is an invocation of IPP in [Theorem 6.3](#), wherein both query and proof complexity are inherently  $\omega(\sqrt{n})$ .

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## A Deferred Details of Proofs

### A.1 Proof of Proposition 4.4

For the analysis, when we consider an arbitrary string  $w \in \{0, 1\}^\ell$  (which we think of as an alleged bundle), we view  $w \in \{0, 1\}^{\ell_1 + \ell_2 + \ell_3}$  as a string composed of three parts (analogous to the three parts of Construction 4.3):

1. The anchor,  $\widetilde{\text{ECC}}(x) = (\widetilde{\text{ECC}}(x)_1, \dots, \widetilde{\text{ECC}}(x)_r) \in \{0, 1\}^{\eta \cdot r}$ , which consists of  $r$  alleged copies of  $\text{ECC}(x)$ ;
2. The bundled encodings  $(\widetilde{E}_1(x), \dots, \widetilde{E}_s(x)) \in \{0, 1\}^{\eta \cdot s}$ , which allegedly equals  $(E_1(x), \dots, E_s(x))$ ;
3. The PCPPs  $(\widetilde{\xi}_1(x), \dots, \widetilde{\xi}_s(x)) \in \{0, 1\}^{\widetilde{O}(t(k)) \cdot s}$ , which allegedly equals  $(\xi_1(x), \dots, \xi_s(x))$ .

We show that for every bundle  $B(x)$ , as in Construction 4.3, there exists a consistency test  $T$  that, for every  $\varepsilon \geq 1/\text{polylog}(\ell)$ , makes  $O(1/\varepsilon)$  queries to a string  $w \in \{0, 1\}^\ell$  and satisfies the following conditions.

1. If  $w = B(x)$ , then for every  $i \in \{0\} \cup [s]$  it holds that  $\Pr_T[T^w(i) = 1] = 1$ .
2. If  $w$  is  $\varepsilon$ -far from  $B$ , then  $\Pr[T^w(0) = 0] \geq 2/3$ .
3. For every  $i \in [s]$ , if there exists  $x \in \{0, 1\}^k$  such that  $w$  is  $\varepsilon$ -close to  $B(x)$  and  $\widetilde{E}_i$  is  $\varepsilon$ -far from  $E_i(x)$ , then  $\Pr[T^w(i) = 0] \geq 2/3$ .

Let  $\varepsilon \geq 1/\text{polylog}(\ell)$ , and assume without loss of generality that  $\varepsilon < \delta(\text{ECC})/2$ .<sup>17</sup> For every  $i \in [s]$  denote by  $V_i$  the PCPP verifier for the language

$$L_i = \{(a, b) : \exists x \in \{0, 1\}^k \text{ such that } a = \text{ECC}(x)^{r_a} \text{ and } b = E_i(x)^{r_b}\},$$

with respect to proximity parameter  $\varepsilon/6$  and soundness  $9/10$ . Consider the  $\varepsilon$ -tester  $T$  that is given  $i \in \{0\} \cup [s]$  and oracle access to  $w = (\widetilde{\text{ECC}}(x), (\widetilde{E}_i)_{i \in [s]}, (\widetilde{\xi}_i)_{i \in [s]}) \in \{0, 1\}^\ell$  and accepts if both of the following tests accept.

1. **Repetition Test:** Query two random copies from the long-code part of  $w$  and check if they agree on a random location. More accurately, select uniformly at random  $j, j' \in [r]$  and reject if and only if  $\widetilde{\text{ECC}}(x)_j$  and  $\widetilde{\text{ECC}}(x)_{j'}$  disagree on a *random* coordinate. Repeat this test  $O(1/\varepsilon)$  times.
2. **Consistency Test:** Choose uniformly  $j \in [r]$ . If  $i = 0$ , choose uniformly  $i' \in [s]$ , otherwise set  $i' = i$ . Reject if the verifier  $V_{i'}$  rejects on input  $(\widetilde{\text{ECC}}(x)_j^{r_a}, \widetilde{E}_{i'}(x)^{r_b})$  and proof  $\widetilde{\xi}_{i'}(x)$ .

The first condition of Proposition 4.4 follows by construction. For the other conditions, first observe that if  $\widetilde{\text{ECC}}(x)$  is far from consisting of  $r$  identical copies, then the repetition test rejects with high probability. That is, let  $\hat{c} \in \{0, 1\}^{\eta \cdot r}$  be a string that is closest on average to the copies in  $\widetilde{\text{ECC}}(x)$ , i.e., a string that minimizes  $\Delta(\widetilde{\text{ECC}}(x), \hat{c}^r) = \sum_{j=1}^r \Delta(\widetilde{\text{ECC}}(x)_j, \hat{c})$ . Observe that

$$\mathbf{E}_{j, j' \in [r]} [\delta(\widetilde{\text{ECC}}(x)_j, \widetilde{\text{ECC}}(x)_{j'})] \geq \mathbf{E}_{j \in [r]} [\delta(\widetilde{\text{ECC}}(x)_j, \widetilde{\text{ECC}}(x))] = \delta(\widetilde{\text{ECC}}(x), \hat{c}^r).$$

<sup>17</sup>The relative distance of ECC is constant, so if  $\varepsilon \geq \delta(\text{ECC})/2$ , we can set the proximity parameter to  $\delta(\text{ECC})/2$ , increasing the complexity by only a constant factor.

If  $\delta(\widetilde{\text{ECC}}(x), \hat{c}^r) > \varepsilon/60$ , then by invoking the codeword repetition test  $O(1/\varepsilon)$  times, with probability at least  $2/3$  one of the invocations will reject. Otherwise, note that with probability at least  $9/10$  the random copy  $\widetilde{\text{ECC}}(x)_j$  is  $\varepsilon/6$ -close to  $\hat{c}$ ; assume hereafter that this is the case.

If  $w$  is  $\varepsilon$ -far from  $B$ , then since  $\widetilde{\text{ECC}}(x) \geq (1 - \varepsilon/2)\ell$ , it follows that  $\widetilde{\text{ECC}}(x)$  is  $\varepsilon/2$ -far from  $\text{ECC}^r$ , and thus

$$\delta_{\text{ECC}^r}(\hat{c}^r) \geq \delta_{\text{ECC}^r}(\widetilde{\text{ECC}}(x)) - \delta(\hat{c}^r, \widetilde{\text{ECC}}(x)) = \varepsilon/2 - \varepsilon/60 > \varepsilon/3.$$

Recall that we assumed that  $\delta(\widetilde{\text{ECC}}(x)_j, \hat{c}) \leq \varepsilon/6$ , and so  $\delta_{\text{ECC}}(\widetilde{\text{ECC}}(x)_j) > \varepsilon/6$ . Thus,  $\Pr[V_i^w = 0] \geq 9/10 \cdot 9/10$ .

Finally, If there exists  $x \in \{0, 1\}^k$  such that  $w$  is  $\varepsilon$ -close to  $B(x)$  and  $\widetilde{E}_i(x)$  is  $\varepsilon$ -far from  $E_i(x)$ , then since  $\delta(\widetilde{\text{ECC}}(x), \hat{c}^r) \leq \varepsilon/60$ , it follows that with probability at least  $9/10$  the random copy  $\widetilde{\text{ECC}}(x)_j$  is  $\varepsilon/6$ -close to  $\text{ECC}(x)$ . Hence,  $(\widetilde{\text{ECC}}(x)_j^{r^a}, \widetilde{E}_i(x)^{r^b})$  is at least  $5\varepsilon/6$ -far from  $L_i$ , and so  $\Pr[V_i^w = 0] \geq 9/10 \cdot 9/10$ .

## A.2 Proof of Claim 4.4.1

We show that if  $w$  is  $\varepsilon$ -far from the subcode  $\{C(x) : \varphi(x) = 1\}$ , then for every alleged MAP proof  $\tilde{\pi}_\varphi$ , it holds that  $\Pr[V_\varphi^w(\tilde{\pi}_\varphi) = 0] \geq 2/3$ . Assume, without loss of generality, that  $\varepsilon < 1/3$ . By Proposition 4.4, the consistency test (Step 2 of  $V_\varphi$ ) rejects with probability  $2/3$  unless there exists  $x \in \{0, 1\}^k$  such that: (1) the input  $w$  is  $\varepsilon$ -close to the codeword  $C(x)$ , and (2) for every  $q \in Q$ , the purported function  $\tilde{X}_q$  in  $w$  is  $\varepsilon$ -close to  $\hat{X}_q$ , the low-degree extension of  $x$  to  $\mathbb{F}_q$ . Note that, in particular, the polynomial  $\hat{X}_q$  takes *binary* values over  $H^m$  (i.e., encodes a binary assignment). Since  $w$  is  $\varepsilon$ -far from the subcode  $\{C(x) : \varphi(x) = 1\}$ , this implies that the assignment  $x$  does not satisfy  $\varphi$ . In addition, we may also assume that for every  $q \in Q$  the purported proof  $\tilde{\pi}_{\varphi,q}$  satisfies

$$\sum_{z_1, \dots, z_\ell \in H} \tilde{\pi}_{\varphi,q}(z_1, \dots, z_\ell) \equiv n \pmod{q},$$

since otherwise the verifier rejects in Step 1.

On the other hand, observe that there exists  $q^* \in Q$  such that

$$\sum_{z_1, \dots, z_\ell \in H} \pi_{\varphi,q^*}(z_1, \dots, z_\ell) \not\equiv n \pmod{q^*}.$$

To see this, first recall that  $\sum_{z_1, \dots, z_\ell \in H} \pi_{\varphi,q}(z_1, \dots, z_\ell)$  counts the number of clauses that the assignment satisfies, modulo  $q$ . Note that since the assignment is binary, then  $\sum_{z_1, \dots, z_\ell \in H} \pi_{\varphi,q}(z_1, \dots, z_\ell) \leq n$ , where the summation is over the integers, and that  $\prod_{q \in Q} q > n$ . Thus, if  $\sum_{z_1, \dots, z_\ell \in H} \pi_{\varphi,q}(z_1, \dots, z_\ell)$  is congruent to  $n$  for all  $q \in Q$ , then by the Chinese remainder theorem, the assignment satisfies all  $n$  constraints, in contradiction to our assumption.

Therefore, the total degree  $m^2 d^2 t + md$  polynomials  $\pi_{\varphi,q}$  and  $\tilde{\pi}_{\varphi,q}$  are not identical, and so, by the Schwartz-Zippel Lemma, they disagree on a randomly chosen point with probability at least  $1 - \frac{(m^2 d^2 t + md)}{\mathbb{F}_q} \geq 9/10$ .

To complete the argument, note that the (amplified) self-correctability of low-degree polynomials guarantees that every location in  $\hat{X}_q$  can be reconstructed from  $\tilde{X}_q$  with probability  $1 - 1/10|H|^{m-\ell}$ . Therefore, all points are read correctly with probability at least  $9/10$ , and thus, with probability  $9/10 \cdot 9/10$ , the verifier rejects (in Step 3) when checking whether  $\pi_{\varphi,q}(r_1, \dots, r_\ell)$  equals  $\tilde{\pi}_{\varphi,q}(r_1, \dots, r_\ell)$ .

### A.3 Proof of Claim 6.3.1

We show that if  $x \notin \Pi_\varphi$ , then there exists  $q \in Q$  such that  $\Pr_{(J_q, \vec{v}_q)}[\widehat{X}_q(J_q) = \vec{v}_q] \leq (1/2)^S$ . Fix  $s \in S$ . For every  $q \in Q$ , denote  $J_{q,s} = \{\widehat{\varphi}_i(r_1^{(s)}, \dots, r_\ell^{(s)}, \vec{z})\}_{\vec{z} \in H^{m-\ell}, i \in [t]}$  and  $\vec{v}_{q,s} \in \mathbb{F}_q^{|H|^{m-\ell} \cdot t}$  such that  $\vec{v}_{q,s}[\vec{z}, i] = \vec{v}_q[s, \vec{z}, i]$  for all  $\vec{z} \in H^{m-\ell}$  and  $i \in [t]$  (recall that  $\vec{v}_q[s, z, i]$  allegedly equals  $\widehat{X}_q \circ \widehat{\varphi}_i(r_1^{(s)}, \dots, r_\ell^{(s)}, \vec{z})$ ). We first show that there exists  $q \in Q$  such that  $\Pr_{(J_{q,s}, \vec{v}_{q,s})}[\widehat{X}_q(J_{q,s}) = \vec{v}_{q,s}] \leq 1/2$ .

Similarly to the case in [Theorem 4.2](#), observe that there exists  $q \in Q$  such that  $\sum_{z \in H^\ell} \pi_q(z) \not\equiv n \pmod{q}$ , since otherwise, by the Chinese remainder theorem,

$$\sum_{z \in H^m} \sum_{i=1}^n \chi_i(z) \cdot c_i(X \circ \varphi_1(z), \dots, X \circ \varphi_t(z)) \equiv n \pmod{\prod_{q \in Q} q},$$

in contradiction to the assumption that  $\varphi(x) = 0$ ; fix such  $q \in Q$ . Therefore the total degree  $m^2 d^2 t + md$  polynomials  $\pi_q$  and  $\pi_q$  differ, and so, by the Schwartz-Zippel Lemma,

$$\Pr_{r_1^{(s)}, \dots, r_\ell^{(s)} \in \mathbb{F}_q} [\pi_q(r_1^{(s)}, \dots, r_\ell^{(s)}) \neq \pi_q(r_1^{(s)}, \dots, r_\ell^{(s)})] \geq 1 - \frac{m^2 d^2 t + md}{\mathbb{F}_q} \geq 9/10.$$

In other words, it holds that  $\Pr_{(J_{q,s}, \vec{v}_{q,s})}[\widehat{X}_q(J_{q,s}) = \vec{v}_{q,s}] < 1/10$ . Finally, since  $\{(J_{q,s}, \vec{v}_{q,s})\}_{s \in [S]}$  are independently selected, it holds that

$$\Pr_{(J_q, \vec{v}_q)}[\widehat{X}_q(J_q) = \vec{v}_q] = \left( \Pr_{(J_{q,s}, \vec{v}_{q,s})}[\widehat{X}_q(J_{q,s}) = \vec{v}_{q,s}] \right)^S \leq \left( \frac{1}{10} \right)^S.$$

This concludes the proof of [Claim 6.3.1](#).