

Hardness of Approximation - Problem Set 1

Due: 25 April 2019

1. In **3-coloring** problem, given an undirected graph the task is to color its vertices with three colors such that no adjacent vertices have the same color.

In **Max-3-coloring**, the task is to color the vertices of a graph with 3 colors such that the fraction of edges which are properly colored (i.e. fraction of edges such that its endpoints get different colors) is maximized.

Show that there exists $s < 1$, such that given a graph which is 3-colorable, it is NP-hard to find a 3-coloring which properly colors more s fraction of the edges. In other words, $\text{gap-Max-3-coloring}(1, s)$ is NP-hard for some constant $0 < s < 1$.

Hint: Start with the NP-hardness of $\text{gap-3SAT}(1, s)$ and recall the NP-completeness reduction from 3SAT to 3-coloring

2. In the first lecture, we defined g -approximation for maximization problems. We can similarly define g -approximation for any minimization problems.

g -approximation: A minimization problem P is said to have a g -approximation algorithm if there exists a polynomial time algorithm such that given an instance x of P , it always returns a solution with value at most $g \cdot \text{OPT}$, where OPT is the optimal value of x . Note that in this case, g must be at least 1.

- **Vertex Cover:** Given a graph $G(V, E)$, find a smallest subset $S \subseteq V$ such that for any edge $(u, v) \in E$, either $u \in S$ or $v \in S$.
- **Independent set:** Given a graph $G(V, E)$, find a largest subset $I \subseteq V$ such that no edge is fully contained in I .

A simple observation: if S^* is the *minimum* vertex cover in G , then $V \setminus S^*$ is the *maximum* independent set in G .

Suppose you have given a 2-approximation algorithm for Vertex Cover. Consider the following approximation algorithm for Independent Set problem: Given a graph $G(V, E)$, use the 2-approximation algorithm for Vertex Cover on G to get a vertex cover S and output $V \setminus S$.

How good an approximation algorithm is this for the Independent Set problem?

3. In the first lecture, we defined a problem called *Label Cover* and showed a reduction from $\text{gap-3SAT}(1, s)$ to $\text{gap-LC}(1, s')$ for some $0 < s, s' < 1$.

A 3SAT instance is a CSP over Boolean variables x_1, x_2, \dots, x_n and consists of collection of constraints C_1, C_2, \dots, C_m where each C_i is the OR of 3 literals eg. $C_i = x_3 \vee \neg x_2 \vee x_7$. Recall how we constructed a Label Cover instance from a 3SAT instance: One side contains a vertex for every clause, the other side contains a vertex for every variable. A clause vertex is connected to a variable vertex if and only if the variable is contained in the clause. A set of labels for any variable vertex is $\{0, 1\}$, whereas a set of labels for any clause vertex is all strings $\{0, 1\}^3$ which satisfies the clause. The projection constraint between the clause vertex and a variable vertex is just the consistency constraint.

Show that the reduction has the following completeness and the soundness guarantees:

- **Completeness:** If the 3SAT instance is satisfiable then the Label Cover instance is also satisfiable.
- **Soundness:** If the value of 3SAT instance is $s < 1$, then the value of the Label Cover instance is s' , for some constant $s' < 1$.

4. **Parallel Repetition and Label Cover:** We showed above that $\text{gap-LC}(1, s')$ is NP-hard for some $0 < s' < 1$.

Consider a following way of *amplifying* the gap i.e showing $\text{gap-LC}(1, s)$ is NP-hard for small s : Fix $t \geq 1$. Start with a Label Cover instance $\mathcal{G}_1(L_1, R_1)$ and construct a Label Cover instance $\mathcal{G}_2(L_2, R_2)$ as follows:

On the left side of \mathcal{G}_2 , we have a vertex for every ordered tuple of t vertices from L_1 . On the right, we have a vertex for every ordered tuple of t vertices from R_1 i.e. $L_2 = \underbrace{L_1 \times L_1 \times \dots \times L_1}_{t \text{ times}}$ and $R_2 = \underbrace{R_1 \times R_1 \times \dots \times R_1}_{t \text{ times}}$.

A vertex $(u_1, u_2, \dots, u_t) \in L_2$ from the left is connected to a vertex $(v_1, v_2, \dots, v_t) \in R_2$ from the right if (u_i, v_i) are connected in \mathcal{G}_1 , for every $1 \leq i \leq t$. A valid label set to a vertex in $(x_1, x_2, \dots, x_t) \in L_2 \cup R_2$ is just a tuple of t label set such that the i^{th} label is a valid label to x_i . A label $(\alpha_1, \alpha_2, \dots, \alpha_t)$ to $(u_1, u_2, \dots, u_t) \in L_2$ and a label $(\beta_1, \beta_2, \dots, \beta_t)$ to $(v_1, v_2, \dots, v_t) \in R_2$ satisfy the edge $((u_1, u_2, \dots, u_t), (v_1, v_2, \dots, v_t))$ if and only if (α_i, β_i) satisfy the projection constraint between (u_i, v_i) from \mathcal{G}_1 . Ideally, we would like the following completeness and soundness guarantees:

- **Completeness:** If the \mathcal{G}_1 is satisfiable then \mathcal{G}_2 is also satisfiable.
- **Soundness:** If the value of \mathcal{G}_1 is $s' < 1$, then the value of \mathcal{G}_2 is s , where $s \rightarrow 0$ as t increases.

- (a) Show that the completeness guarantee holds.

- (b) An assignment to the Label Cover instance \mathcal{G}_2 is called a **direct product** assignment if it is coming from a fixed assignment to the vertices of \mathcal{G}_1 i.e. fix an assignment σ to $L_1 \cup R_1$ and this naturally gives an assignment to $L_2 \cup R_2$, where a vertex $(x_1, x_2, \dots, x_t) \in L_2 \cup R_2$ gets a labeling $(\sigma(x_1), \sigma(x_2), \dots, \sigma(x_t))$. Show that for any assignment to the Label Cover instance \mathcal{G}_2 which is a direct product assignment, the value goes to 0 as t increases, if the value of \mathcal{G}_1 is at most s' for some constant $s' < 1$.