

Zigzag construction of Expander graphs

Two steps: ① "powering" $G \rightarrow G^2$

G^2 is the graph on the same set of vertices, and edges for two-step-walks. More accurately

$$A_{G^2} := A_G \cdot A_G. \quad (\text{also } M_{G^2} = M_G \cdot M_G, \quad M_G = \frac{1}{d} \cdot A_G)$$

G^2 is d^2 -regular

( a self loop counts as adding 1 to degree)

$\vec{1}$

$$M_G \cdot \vec{1} = \vec{1}$$

$$M_{G^2} \vec{1} = M_G \cdot M_G \cdot \vec{1} = \vec{1}$$

Suppose v is an eigenvector of G w/ eval λ

$$M_G v = \lambda v$$

$$M_{G^2} v = M_G \cdot M_G \cdot v = M_G \cdot \lambda v = \lambda^2 \cdot v$$

(we can remove the d self loops : $A_{G^2} - d \cdot I_n$)

② zigzag step: G, H two graphs $G \otimes H$

$G = (n, m, \alpha)$ - graph

$\uparrow$
#vertices

$\uparrow$
degree

$\uparrow$ "two-sided spectral bound: $\max(|\lambda_d|, |\lambda_1|) \leq \alpha$

$H = (m, d, \beta)$ - graph

$G \otimes H = (n \cdot m, d^2, \beta + \max(\alpha, \beta^2))$ - graph

starting point: take H to be $(d^4, d, \frac{1}{4})$ - graph

take $G_1 = H^2$ $(d^4, d^2, \frac{1}{16})$ - graph

$G_2 = \underline{(G_1)^2} \otimes H$ $(d^4 \cdot d^4, d^2, \gamma)$

$\vdots$
 $G_{n+1} = (G_n)^2 \otimes H$

Claim: G_n is a graph on d^{4n} vertices, degree d^2 ,
 $\forall n \geq 1$ $\max(|\tilde{\lambda}_1|, |\tilde{\lambda}_n|) \leq \frac{1}{2}$

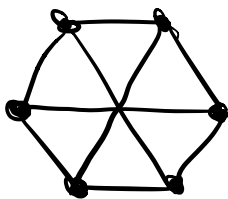
G_n is an $(d^{4n}, d^2, \frac{1}{2})$ - graph.

Before we prove the claim, let's define $G \otimes H$.

Let G be an (n, m, α) - graph
 H (m, d, β) - graph.

Define $G \otimes H$

$G =$



$$|V_G| = 6$$

$$\deg = 3$$

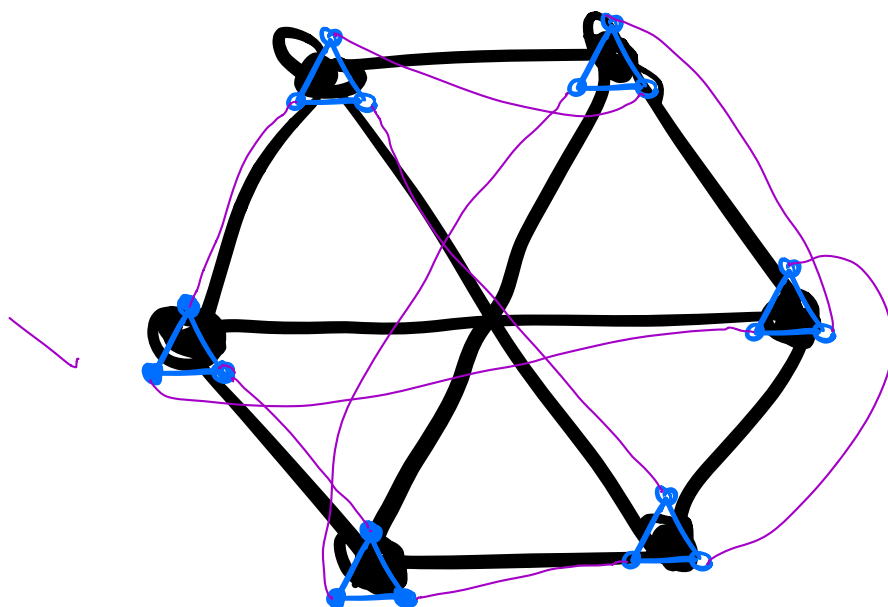
$H =$



$$|V_H| = 3$$

$$d = 2$$

First,



We define

$\tilde{A}_H$

a matrix for blue steps

also

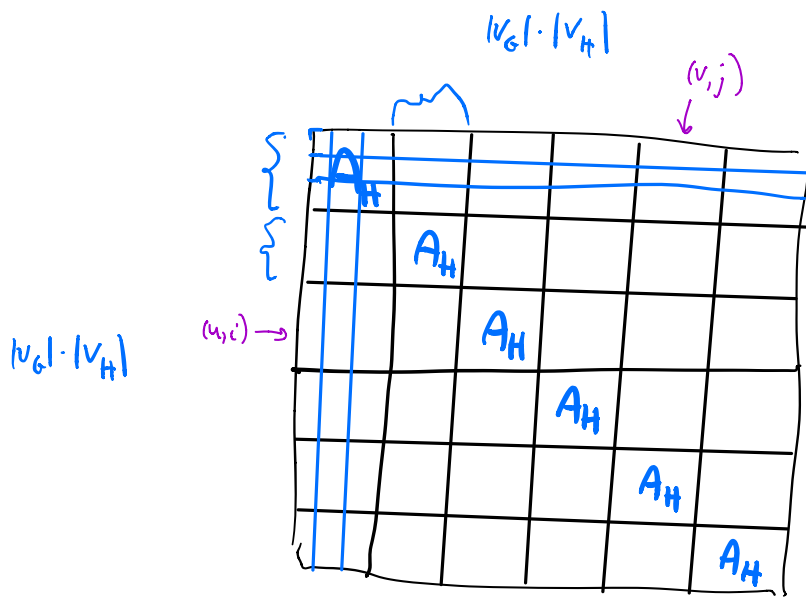
$\tilde{A}_G$

a matrix for purple steps

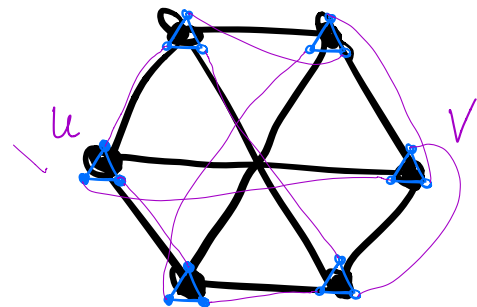
Our final adj matrix for $G \otimes H$ will be

$\tilde{A}_H \tilde{A}_G \tilde{A}_H$

$\tilde{A}_H$



$$A_H = \begin{bmatrix} & & & \\ & & & \\ & & & \\ & & & \end{bmatrix}$$



$$\tilde{A}_H = I_{V_G} \otimes A_H$$

$$\tilde{A}_G((u,i), (v,j)) = 1 \Leftrightarrow u \sim_G v \quad e_i(u) = e_j(v)$$

$e_i(u)$ is an edge in G

$e_1(u) \dots e_d(u)$
are the neighboring edges to u

$$\tilde{Z} := \tilde{A}_H \tilde{A}_G \tilde{A}_H \leftarrow \text{adj matrix of zigzag product}$$

$$M_Z = \frac{1}{d_H^2} \tilde{Z} = \left(\frac{1}{d_H} \tilde{A}_H \right) \cdot (1 \cdot \tilde{A}_G) \cdot \left(\frac{1}{d_H} \tilde{A}_H \right)$$

Lemma: If G is an (n, m, α) -graph and H is an (m, d, β) -graph
then $G \boxtimes H$ is an (nm, d^2, γ) -graph, $\gamma \leq \beta + \max(\alpha, \beta^2)$

Proof: Let's recall:

$$(*) \quad \lambda = \max_{f \perp \vec{1}} \frac{|\langle Mf, f \rangle|}{\langle f, f \rangle} = \frac{\sum_{i=1}^n \lambda_i \alpha_i^2}{\sum_{i=1}^n \alpha_i^2} \quad (\text{where } f = \sum_{i=1}^n \alpha_i v_i \text{ (rv's)})$$

$$(\lambda = \max(|\lambda_2|, |\lambda_n|).$$

$$(*) \quad (\text{denote } \langle f, g \rangle := \mathbb{E}_v f(v)g(v), \quad \|f\|^2 = \langle f, f \rangle, \dots)$$

$$\begin{aligned} \langle \underline{Mf}, g \rangle &= \mathbb{E}_v (Mf)(v) \cdot g(v) \\ &= \mathbb{E}_v \left(\mathbb{E}_{u \sim v} f(u) \right) \cdot g(v) = \mathbb{E}_{\substack{u \sim v \\ \text{all edges} \\ (u,v) \in E}} f(u) g(v) \end{aligned}$$

Fix some $f: V_G \rightarrow \mathbb{R}$, $f \perp \vec{1}$ $\left(\mathbb{E}_{\substack{u \sim v \\ i \sim v_H}} f(u, i) = 0 \right)$

Define $f''(u, i) = \mathbb{E}_{j \sim v_H} f(u, j)$

Define $f^\perp(u, i) = f(u, i) - f''(u, i)$

$$\langle f^\perp, f'' \rangle = \mathbb{E}_{(u,i)} f^\perp(u, i) \cdot f''(u, i) = \mathbb{E}_u \left(\mathbb{E}_i \underbrace{f''(u, i) \cdot f^\perp(u, i)}_{\text{doesn't depend on } i} \right) = 0$$

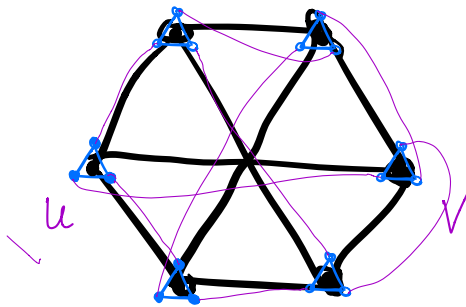
$$f = f'' + f^\perp$$

$$|\langle \vec{Mf}, \vec{f} \rangle| = |\langle Mf'', f'' \rangle + \langle Mf^\perp, f^\perp \rangle + \underbrace{\langle Mf'', f^\perp \rangle + \langle Mf^\perp, f'' \rangle}_=|$$

$$\leq | \langle M f'', f'' \rangle |$$

$$+ | \langle M f^\perp, f^\perp \rangle |$$

$$+ 2 | \langle M f'', f^\perp \rangle |$$



$$| \langle \underset{\uparrow}{M} f'', f'' \rangle | = | \langle \underset{\uparrow}{\tilde{A}_G} \underset{\uparrow}{\tilde{A}_H} f'', \underset{\uparrow}{\tilde{A}_H} f'' \rangle |$$

$$= | \langle \underset{\uparrow}{\tilde{A}_G} f'', f'' \rangle | = | \langle \underset{\uparrow}{M_G} \hat{f}, \hat{f} \rangle |$$

$$\text{where } \hat{f}: V_G \rightarrow \mathbb{R} \quad \hat{f}(u) = f''(u, 1)$$

$$\hat{f} \perp 1$$

$$\leq \lambda_G \cdot \frac{\| \hat{f} \|^2}{\| f'' \|^2} \leq \lambda_G = \alpha$$

$$| \langle M f^\perp, f^\perp \rangle | \leq$$

$$\mathbb{E}_{v \in V_G} \hat{f}(v) = \mathbb{E}_{\substack{v \in V_G \\ i \in V_H}} f''(v, i)$$

$$= \mathbb{E}_{\substack{v \in V_G \\ i \in V_H}} f(v, i) = 0$$

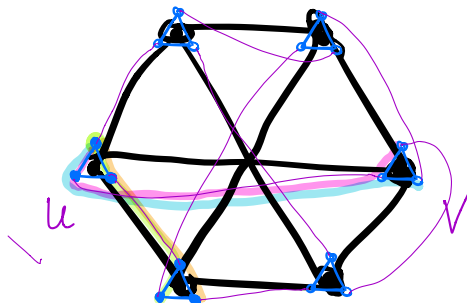
by choice of f

$$\hat{f} = \underset{\uparrow}{\hat{f}} \otimes \underset{\uparrow}{1_H} + \underset{\uparrow}{f^\perp}$$

$$\begin{pmatrix} \mathbb{E} = 0 & \mathbb{E} = 0 & \dots \\ \dots & \dots & \dots \end{pmatrix}$$

"replacement product" $G \odot H$

"zigzag product" $G \boxtimes H$



... cont the proof :

$$| \langle M f^\perp, f^\perp \rangle | = | \langle \tilde{M}_G \tilde{M}_H f^\perp, \tilde{M}_H f^\perp \rangle | \leq$$

normalized adj matrix

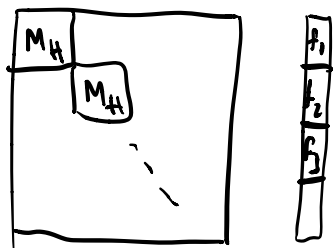
$$M = \underbrace{\frac{1}{d_H} \tilde{A}_H}_{\tilde{M}_H} \tilde{A}_G \underbrace{\frac{1}{d_H} \tilde{A}_H}_{\tilde{M}_H}$$

$$\begin{aligned} &\stackrel{\text{cs.}}{\leq} \underbrace{\| \tilde{M}_G \tilde{M}_H f^\perp \|}_{\substack{\text{only} \\ \text{decreases} \\ \text{the norm}}} \cdot \| \tilde{M}_H f^\perp \| \leq \| \tilde{M}_H f^\perp \|^2 \\ &\leq \lambda_H^2 \cdot \| f^\perp \|^2 \\ &= \beta^2 \cdot \| f^\perp \|^2 \end{aligned}$$

Recall $\tilde{M}_H = M_H \otimes I_{V_G} \quad \| \tilde{M}_H f^\perp \| \leq \lambda_H \cdot \| f^\perp \|^2$

$$\lambda_{\max} = \max \frac{\| M f \|}{\| f \|} \leq 1$$

$$\max_{f \neq 0} \frac{\| M f \|}{\| f \|} =: \text{operator norm of } M = \lambda_{\max}(M)$$



$$\begin{aligned} \mathbb{E} f_1 &= 0 \\ \mathbb{E} f_2 &= 0 \\ &\vdots \end{aligned}$$

$$\tilde{M}_H f^\perp = \begin{pmatrix} n_H f_1 \\ n_H f_2 \\ \vdots \end{pmatrix} \quad \lambda_H \cdot \|f_1\|$$

$$2 \left| \langle M f^\perp, f'' \rangle \right| \leq 2 \|M f^\perp\| \cdot \|f''\| \leq 2\beta \cdot \underbrace{\|f^\perp\| \cdot \|f''\|}_{\leq \|f\|^2} \approx \underbrace{\lambda_H \cdot \|f^\perp\|}_{\approx \lambda_H \cdot \|f^\perp\|} \cdot \|f''\|$$

$$\|f\|^2 = \|f''\|^2 + \|f^\perp\|^2$$



$$|\langle M f, f \rangle| \leq \underbrace{\alpha \cdot \|f''\|^2}_{\text{AMGM}} + \underbrace{2\beta \|f''\| \cdot \|f^\perp\|}_{\text{AMGM}} + \underbrace{\beta^2 \|f^\perp\|^2}_{\text{AMGM}}$$

[AMGM: $2xy \leq \sqrt{x^2 + y^2}$]

$$\beta (\|f''\|^2 + \|f^\perp\|^2) = \beta \|f\|^2$$

$$= \beta \cdot \|f\|^2 + \alpha (\|f\|^2 - \|f^\perp\|^2) + \beta^2 \|f^\perp\|^2$$

$$\leq \beta \cdot \|f\|^2 + \max(\alpha, \beta^2) \cdot \|f\|^2$$

□

Cayley graphs of (Abelian) groups (& Fourier Transform)

Let Γ be a ^{Abelian, finite} group

$$x \in \Gamma, y \in \Gamma \implies x+y \in \Gamma$$

$$x+y = y+x$$

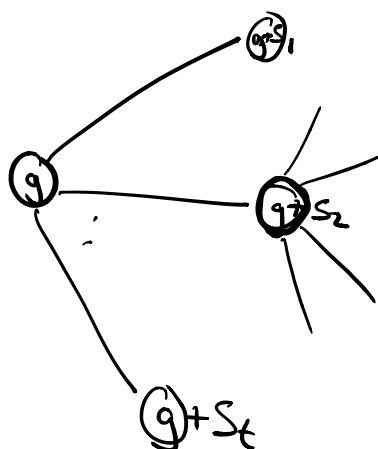
$$\mathbb{Z}/n\mathbb{Z} = \{0, 1, \dots, n-1\}$$

$x+y \bmod n$ is the operation

$$(\mathbb{Z}/2\mathbb{Z})^n = \{0, 1\}^n$$

Let $S \subset \Gamma$ be a subset closed to inverses $\left(\begin{matrix} s \in S \\ \Rightarrow s^{-1} \in S \end{matrix} \right)$
usually not subgroup

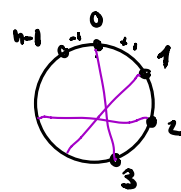
The graph $\text{Cay}(\Gamma, S)$ has Γ as vertices, and edges are $\{(x, x+s) \mid s \in S\}$.



$$|S| = t$$

Examples: $\text{Cay}(\mathbb{Z}_2^n, S = \{e_1, \dots, e_n\}) \leftarrow$ Hamming Cube
_(1, 0, \dots, 0)

$\text{Cay}(\mathbb{Z}_n, S = \{\pm 1\}) \leftarrow$ the cycle



Def: (Character). A char. is a homomorphism $\chi: \Gamma \rightarrow \mathbb{C} \setminus \{0\}$
 i.e. s.t. $\forall g, h \in \Gamma \quad \chi(g) \cdot \chi(h) = \chi(g+h) \quad \chi(0) = 1$.

in $\Gamma \quad \underbrace{g+g+\dots+g}_{n \cdot g} = 0 \quad \text{assuming } |\Gamma| = n$

$$1 = \chi(0) = \chi(g + \dots + g) = \chi(g) \cdot \chi(g) \dots \chi(g) = (\chi(g))^n$$

$\uparrow$
 $n \in \mathbb{C}$

- $\chi(0) = 1$
- $\chi(a)$ is a root of unity $\forall a \in \Gamma$
- $\sum_{a \in \Gamma} \chi(a) = 0$ except if $\chi \equiv 1$.

Fix $b \neq 0$
 s.t. $\chi(b) \neq 1$

$$\underbrace{\sum_{a \in \Gamma} \chi(a+b)}_{\Delta} = \chi(b) \cdot \underbrace{\sum_{a \in \Gamma} \chi(a)}_{\Delta}$$

$$\Delta = \chi(b) \cdot \Delta$$

$$\underbrace{(1 - \chi(b))}_{\neq 0} \cdot \Delta = 0$$

$$\rightarrow \Delta = 0$$

- Define inner product $\langle f, g \rangle$ for $f, g: \Gamma \rightarrow \mathbb{C}$

$$\langle f, g \rangle = \sum_{a \in \Gamma} f(a) \overline{g(a)}$$

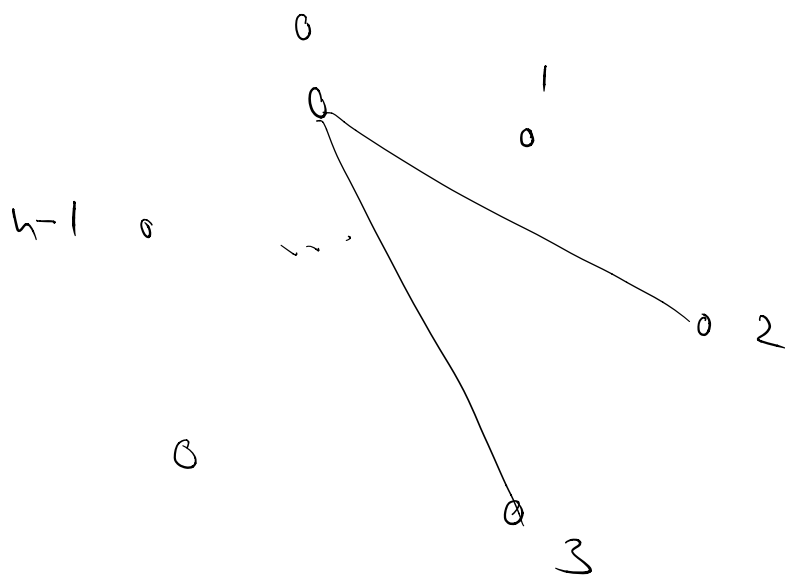
- if χ_1, χ_2 are distinct characters, then

$$\langle \chi_1, \chi_2 \rangle = 0$$

$\chi_1 \cdot \chi_2$ is also a character

$$(\chi_1 \chi_2)(a) := \chi_1(a) \chi_2(a)$$

Theorem: Γ abelian group, there are $|\Gamma|$ distinct characters. These are eigenvectors of every Cayley graph on the group Γ (doesn't change when S changes)



Proof: First look at $\mathbb{Z}_n$

Let $\chi_r: \mathbb{Z}_n \rightarrow \mathbb{C}$ be def $\chi_r(a) := e^{2\pi i \frac{r}{n} \cdot a}$

$$\chi_r(a+b) = e^{2\pi i \frac{r}{n} \cdot (a+b \bmod n)} = e^{2\pi i \frac{r}{n} \cdot a} \cdot e^{2\pi i \frac{r}{n} \cdot b} = \chi_r(a) \chi_r(b)$$

Notice that $r \neq r' \implies \chi_r(1) \neq \chi_{r'}(1)$

This gives n distinct characters.

$$\rightarrow \langle \chi, \psi \rangle = \sum_{a \in \Gamma} \chi(a) \overline{\psi(a)} = \sum_{a \in \Gamma} \underbrace{\chi\psi}_{\text{this is a character}}(a) = 0$$

(assuming $\chi \neq \psi$).

$$\left(\chi_r(a) \right)^{|\Gamma|} = \chi_r \left(\overbrace{a+a+\dots+a}^{|\Gamma| \text{ times}} \right) = \chi_r(0) = 1$$

So r must be an integer.

$$\chi = \overline{\chi}$$

$$e^{2\pi i}$$

$$\chi_r(a) \cdot \chi_r(b) = \chi_r(a+b) \quad \forall a, b$$

$$a, a+a$$

$$a+a$$