MATHEMATISCHES FORSCHUNGSINSTITUT OBERWOLFACH

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Komplexitätstheorie

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The ninth Oberwolfach Conference on Complexity Theory was organized as before by C.-P. Schnorr (Frankfurt), A. Schönhage (Bonn) and V. Strassen (Konstanz). The 33 participants came from nine countries, twelve of them came from North and South America and from the USSR.

The 28 lectures given at the conference covered many different areas of complexity theory, with a major focus on topics related to algebraic problems, graphs, and computational number theory.

Lectures were given on the sequential resp. parallel complexity of computational problems in linear algebra, Discrete Fourier Transforms, computations in finite fields, and factoring polynomials. Computational aspects of algebraic geometry and geometric problems in semialgebraic sets were discussed.

Other lectures dealt with unit computation, principal ideal testing, factoring integers, computing discrete logarithms, and the reduction of quadratic forms. Further topics were polynomial interpolation, counting solutions of GF[2]-polynomials, computation of real numbers, and asymptotics.

Several topics on graphs have been considered, e.g. spanning trees, algorithms on dense graphs, and the analysis of random walks.

Various other topics were discussed, such as polygonal chains, circuit design, branching programs for symmetric Boolean functions, sorting, hashing, communication in parallel machines, relations between logics and complexity classes, and learning algorithms.

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Abstracts

H. Alt

Measuring the Distance between Polygonal Chains

Motivated by shape- and pattern-recognition problems a distance measure between curves is introduced which is compatible with parametrizations of the curves and is called "continuous distance" δ_c . It is shown, that in the case of convex curves δ_c coincides with the Hausdorff-distance.

Therefore for convex polygons P, Q $\delta_c(P, Q)$ can be determined in time O(p+q) (p,q) numbers of edges of P, Q, respectively), using an algorithm by Atallah. For arbitrary polygonal chains P, Q an algorithm of runtime O(pq) is presented for the decision problem whether $\delta_c(P,Q) \leq \varepsilon$ for a given ε . This can be used to obtain an $O((p^2q + pq^2)\log(pq))$ -algorithm for the problem of computing $\delta_c(P,Q)$.

(Joint work with Michael Godau.)

P. Borwein Strange and Fraudulent Series

The series

$$\left(\frac{1}{10^5}\sum_{n=-\infty}^{\infty}e^{-n^2/10^{10}}\right)^2$$

approximates π to 42 billion places (but not to 43 billion places).

The series

$$\sum_{n=1}^{\infty} \frac{\lfloor n e^{\pi \sqrt{163/9}} \rfloor}{2^n}$$

is 1280640 to 1/2 billion places (and then goes wrong). These and other strange series will be the topic of this short talk.

J. Buchmann

Complexity of Unit Computation and Principal Ideal Testing in Number Fields

Starting with the diophantine equation

$$x^2 - Dy^2 = \pm 4p$$

we discuss the complexity of unit computation and principal ideal testing in number fields and prove

Theorem.

- a) There is an algorithm for computing a generating system for the unit group of an order of discriminant D in a number field of degree n in time $(n \log |D|)^{O(n)} \cdot R$ where R is the regulator of the order.
- b) In the situation of a) an ideal a can be tested for principality in time $(n \log |D|)^{O(n)} \cdot (R + |a|)$ where |a| is the input size of a.

P. Bürgisser

Some Computational Problems in Linear Algebra as Hard as Matrix Multiplication

Let F be a field of characteristic 0. Consider the following problems:

3-COMPRESSION(*n*):

data: $(A_1, A_2, A_3) \in (F^{n \times n})^3$ solution: $(B_1, B_2) \in (F^{n \times n})^2$ with $A_1 A_2 A_3 = B_1 B_2$. KERNEL(n): data: $A \in F^{n \times n}$ solution: a basis of ker(A).

ORTHOGONAL BASIS(n): data: $A \in F^{n \times n}$ symmetric solution: $S \in GL_n(F)$ with SAS^T diagonal.

We use the model of a computation tree with operations $F \cup \{+, -, *, /\}$ and branchings according to the relation "=".

We show that there are c, d > 0 such that every computation tree solving one of the above problems has a complexity of at least

$$c \cdot M_n - d \cdot n^2$$
,

where M_n denotes the nonschalar complexity of $n \times n$ matrix multiplication. (Joint work with T. Lickteig and M. Karpinski.)

M. Clausen

Lower and Upper Complexity Bounds for Discrete Fourier Transforms

Let $2 \leq c \leq \infty$. The *c*-linear complexity $L_c(A)$ of a complex matrix A is the minimal number of additions, subtractions and multiplication by complex constants of absolute value $\leq c$ needed to evaluate A at a generic input vector. For a finite group G let DFT(G) denote the set of all DFT-matrices corresponding to G and call $L_c(G) := \min\{L_c(A) : A \in DFT(G)\}$ the *c*-linear complexity of G.

Theorem. Let G be a finite group, $A \in DFT(G)$.

- (1) $|L_{\infty}(A) L_{\infty}(A^{-1})| \le |G|.$
- (2) $L_2(G) > \frac{1}{4} \cdot |G| \cdot \log |G|.$
- (3) If $G_n := \{ \begin{pmatrix} \alpha & \beta \\ 0 & 1 \end{pmatrix} | \alpha, \beta \in GF(2^n), \alpha \neq 0 \}$ then $L_2(G_n) \leq 0.6 \cdot |G_n| \cdot \log |G_n|$ for all $n \geq 7$.

(4) G abelian
$$\Rightarrow L_2(G) < 8 \cdot |G| \cdot \log |G|$$
.

((1) - (3) is joint work with Ulrich Baum, (4) is joint work with U. Baum and Benno Tietz.)

J. von zur Gathen Exponentiation in Finite Fields

A basis of a finite field \mathbb{F}_{q^n} over \mathbb{F}_q (q a prime power) of the form $\alpha, \alpha^q, \alpha^{q^2}, \ldots, \alpha^{q^{n-1}}$ is called a *normal basis*. We show that a random $\alpha \in \mathbb{F}_{q^n}$ generates a normal basis with large probability $\Omega(1/\log_q n)$. Hensel's test (1888) then provides an efficient probabilistic method to generate a normal basis, with $O^{\sim}(n^2 \log q)$ operations in \mathbb{F}_q . (This is joint work with Mark Giesbrecht.)

The property $(\sum a_i \alpha^{q^i})^q = \sum a_{i-1} \alpha^{q^i}$, with $a_i \in \mathbb{F}_q$, shows that a q-th power is just a cyclic shift of coordinates. The computation of a large power, say x^e with $1 \leq e < q^n$, by multiplications and divisions is appropriately modelled by *addition/subtraction chains with free multiplication by* q. The minimal size is $O(n/\log_q n)$, and a counting argument shows a

lower bound $\Omega(n/\log_q n)$ for almost all e. The parallel complexity is exactly $\lceil \log_2 \sigma_q(e) \rceil$ with additions, and exactly $\lceil \log_2 \sigma_q^{\pm}(e) \rceil$ with additions and subtractions. Here $\sigma_q(e)$ is the sum of the digits of the q-ary representation of e, and $\sigma_q^{\pm}(e) = \min\{\sigma_q(a) + \sigma_q(b) : a, b \in \mathbb{N}, e = a - b\}$. We give an example where Fermat's Little Theorem speeds up a computation: $\lceil \log_2 \sigma_q^{\pm}(e) \rceil > \lceil \log_2 \sigma_q^{\pm}(e + \lambda(q^n - 1)) \rceil$.

E. Grädel

Descriptive Complexity via Fragments of Second Order Logic

It is well known that NP can be characterized as the set of problems that are expressible by existential second order logic. Other complexity classes (P, NLOG, LOG, AC^0) are captured by increasing the expressive power of first order logic (with order) by operators for the least fixed point, transitive closure etc. Here we discuss logical descriptions of complexity classes not by increasing first order logic but by restricting second order logic. We define second order Horn logic SO-HORN, second order Krom logic SO-KROM and a symmetric fragment SO-SymKROM.

We show:

- These logics collapse to their existential fragments.
- In the presence of a successor relation, SO-HORN, SO-KROM and SO-SymKROM capture P, NLOG and CoSymLOG, respectively.
- Without successor relation, SO-HORN is strictly weaker than fixed point logic.

D. Yu. Grigor'ev

Finding Connected Components of a Semialgebraic Set in Subexponential Time

Let a semialgebraic set be given by a boolean combination of systems of polynomial inequalities in n variables with degrees at most d and bit-sizes of coefficients at most M. An algorithm is designed which finds the connected components of the semialgebraic set presenting them in a similar way with the running time $Md^{n^{O(1)}}$.

(Joint work with N.N. Vorobjov (jr.) and J. Canny.)

J. Heintz

(Un)precise Complexity Bounds in Elementary Geometry *

We present in this talk algorithmical results in semialgebraic geometry whose qualitative aspect is known since this can be deduced from cylindrical algebraical decomposition (involving *doubly* exponential sequential complexity bounds). The new outcome are the *single* exponential complexity bounds we present here. This improvement is due to recent progress in commutative algebra (effective Nullstellensätze).

We show a general technical theorem which can be generalized to a result on the complexity of quantifier elimination in the first order language of ordered fields (where the formulae are interpreted in the real numbers).

The dimension, topological closure, and the interior of a s.a. set can be computed in *admissible* time, i.e. with sequential complexity $s^{O(1)}d^{n^{O(1)}}$ and parallel complexity $(n \log(sd))^{O(1)}$ where d is a bound on the degree of the polynomials $F_1, \ldots, F_s \in \mathbb{Z}[x_1, \ldots, x_n]$ involved in the definition of the semialgebraic set which is considered.

Applications to questions concerning connected components of s.a. sets are discussed, an *effective* Lojasiewicz Inequality is derived from the methods described, and it is shown that integer programming with quasiconvex polynomial restrictions is in NEXPTIME.

(Joint work with Teresa Krick, Pablo Solonó (Noaï Fitchas, Buenos Aires) and Marie-Françoise Roy (Rennes).)

* As no sufficiently short abstract was available, an extended abstract has been summarized by the reporter.

E. Kaltofen

Effective Noether Irreducibility Forms and Applications

We consider the problem of factoring multivariate polynomials over the algebraic closure of the coefficient field. A major instance of this is the problem of factoring rational polynomials into irreducible factors with complex coefficients. The contributions discussed are threefold: first, we derive effective irreducibility theorems applicable to this problem; second, we establish a methodology for estimating the bit complexity of an algorithm that is defined for abstract algebraic extension fields, in our case the polynomial factorization algorithm over an algebraically closed field; and third, we describe a representation model for algebraic numbers with the property that factorization of multivariate polynomials with rational coefficients into complex factors, using common polynomial representations, such as the sparse representation, is within the complexity class \mathcal{NC} . Our representation for the complex coefficients also yields their rational approximations to a given precision with computaional complexity in \mathcal{NC} .

M. Karpinski

An Efficient Approximation Algorithm for the Number of Solutions of a GF[2]-Polynomial

We construct an efficient Monte Carlo algorithm for estimating the number of solutions of a multivariate polynomial over GF[2]. This gives the first efficient method for estimating the number of points on algebraic varieties over GF[2]. For the case of counting the number of zeros of an *n*-variate, *m*-term polynomial (without constants), the (ε, δ) -approximation algorithm runs in time $O(\frac{nm^2 \ln(2/\delta)}{\varepsilon^2})$. There exists also an RNC¹-implementation of the algorithm. The method of solution involves the new (sharp) bound on the number of satisfying assignments and zeros of multivariate polynomials with *m* terms over GF[2]. In the case of the number of zeros |G| of an *n*variate polynomial without constant terms, the following inequality is true: $2^n/|G| \leq m + 1$. This bound is also sharp.

(Joint work with M. Luby, Berkeley.)

T. Lickteig

Real Tests and Real Spectra

A semialgebraic decision problem is a finite partition $\{S_1, \ldots, S_r\}$ of the real *n*-space \mathbb{R}^n into semialgebraic subsets S_1, \ldots, S_r . The (multiplicative) complexity of computation trees (CT) \mathcal{T} solving the decision question to which S_i an arbitrary input vector $x \in \mathbb{R}^n$ belongs is discussed for various decision problems from computational linear algebra, and relative lower bounds in terms of the approximative (multiplicative) complexity AMAMU and ASOL of the two main problems, matrix multiplication and solving a linear system, are given.

Examples:

1. If \mathcal{T} is a CT for $\{SL_n, \mathbb{R}^{n \times n} \setminus SL_n\}$ then almost all matrices $x \in SL_n$ follow a path in \mathcal{T} of length $\geq AMAMU_n$.

- 2. If \mathcal{T} is a CT for $\{P, S \setminus P\}$ (P = positive symmetric matrices, S = symmetric matrices) then almost all x with det x = 0 follow a path in \mathcal{T} of length $\geq \text{ASOL}_n$.
- 3. If \mathcal{T} is a CT for computing the rank of matrices from $\mathbb{R}^{n \times n}$ then almost all x with rank x = r < n follow a path in \mathcal{T} of length $\geq ASOL_r$.

Language and concepts of real algebraic geometry are well suited for discussing complexity of CTs in the real case.

H. Lombardi

Constructive real Nullstellensatz and Explicit Bounds for the Degrees

We give a constructive proof of the real Nullstellensatz. So we obtain, for every ordered field K, a uniformly primitive recursive algorithm that computes, for the input "a sytem of generalized sign conditions (gsc) on polynomials of $K[X_1, \ldots, X_n]$ impossible to satisfy in the real closure of K", an algebraic identity that makes this impossibility evident.

Our proof is a translation, step by step, of the Hörmander algorithm for testing the impossibility of the system of gsc.

We can pease our constructions sufficiently to obtain an explicit bound for the degrees of the polynomials appearing in the final algebraic identity, as a function of the degrees, the number of variables and the number of polynomials in the input.

W. Maass

On the Complexity of Learning from Counterexamples and Membership Queries

We prove a lower bound for the required number of learning steps in a common learning model in computational learning theory.

In this model the "environment" fixes an arbitrary target concept $C_T \in \mathcal{C}$ from the considered concept class \mathcal{C} (where $\mathcal{C} \subseteq 2^X$ for some finite domain X; both X and \mathcal{C} are known to the learner). The goal of the "learner" (= learning algorithm) is to identify C_T in as few steps as possible. The allowed moves of the learner are queries of the form " $H = C_T$?" for some hypothesis $H \in \mathcal{C}$ (to which he gets the reply "yes", or the reply "no" together with a counterexample $x \in (C_T - H) \cup (H - C_T)$, and queries of the form " $x \in C_T$?" for $x \in X$.

We show that no matter which algorithm the learner uses, the worst case number of queries that he has to ask is for every concept class \mathcal{C} bounded below by VC-dim $(\mathcal{C})/7$ (where VC-dim $(\mathcal{C}) := \max\{|S| : S \subseteq X \text{ and } \mathcal{C} \cap S = 2^S\}$ is the Vapnik - Chervonenkis dimension of \mathcal{C}).

(Joint work with G. Turan.)

E. W. Mayr

Spanning Trees in Weighted Graphs

Given a weighted graph, let W_1, W_2, W_3, \ldots denote the increasing sequence of all possible distinct spanning tree weights. Settling a conjecture due to Kano, we prove that every spanning tree of weight W_1 is at most k-1 edge swaps away from some spanning tree of weight W_k . Three other conjectures posed by Kano are unified and proven for two special classes of graphs. Finally, we consider the algorithmic complexity of generating a spanning tree of weight W_k .

(Joint work with C.G. Plaxton, UT Texas.)

K. Mehlhorn Algorithms on Dense Graphs

We show how to speed up several algorithms on dense graphs by exploiting the parallelism at the word level inherent to the RAM model of computation. In particular, DFS, BFS, and strongly and biconnected components can be computed in time $O(n^2/\log n)$, maximum bipartite matchings in time $O(n^{2.5}/\log n)$, shortest paths in time $O(n^2 \log C/\log n)$, and min cost matchings in time $O(n^{2.5}\log nC \cdot (\log \log n/\log n)^{1/4})$. For the latter two problems the weights are integers in the range $[0 \dots C]$.

(Joint work with J. Cherigan.)

F. Meyer auf der Heide Dynamic Hashing

We present a new universal class of hash functions which have many desirable features of random functions but can (probabilistically) be constructed using sublinear time and space, and can be evaluated in constant time. These functions are used to construct a dynamic hashing scheme that performs in real time, i.e. it uses linear space and needs worst case constant time per instruction. Thus instructions can be given in fixed constant length time intervals. Answers given by the algorithm are always correct, the space bound is always satisfied, and the algorithm fails to fulfil the time bound only with probability $O(n^{-k})$ where n is the number of items currently stored. k can be made an arbitrarily large constant.

We further sketch simulations of shared memory, i.e. of p-processor parallel random access machines (p-PRAMs), on networks with p processors without shared memory. For restricted classes of p-PRAMs, we show simulations with expected constant time delay.

(Joint work with Martin Dietzfelbinger, Paderborn.)

R. Mirwald

The Rank of a Pair of Matrices over \mathbb{Z}_2 and the Multiplicative Complexity of a Pair of Boolean Quadratic Forms

I. Let (A, B) be a pair of $m \times n$ matrices with coefficients from the field \mathbb{Z}_2 . We characterize the rank R(A, B) of (A, B) — i.e. the rank of the corresponding tensor in $\mathbb{Z}_2^m \otimes \mathbb{Z}_2^n \otimes \mathbb{Z}_2^2$ — in terms of invariants related to the Kronecker canonical form of (A, B).

For all pairs (A, B) we prove the lower bound $R(A, B) \ge \lceil \frac{1}{2}(R(A) + R(B) + R(A + B)) \rceil$. We show that this lower bound is tight if (A, B) is non exceptional in the sense that all its invariants are different from five exceptional ones (which correspond to diagonal blocks of small size in a Kronecker canonical form). We prove upper and lower bounds for arbitrary pairs (A, B). The maximal rank of a pair of $n \times n$ matrices over \mathbb{Z}_2 is $\lceil \frac{3}{2}n \rceil$.

II. We compare the multiplicative complexity of a set of quadratic forms to the multiplicative complexity of the corresponding set of Boolean quadratic forms. The multiplicative complexity of a pair of Boolean quadratic forms equals half the rank of an associated pair of matrices over \mathbb{Z}_2 provided that this pair of matrices is non exceptional.

(Joint work with C.-P. Schnorr, Frankfurt.)

A.M. Odlyzko An Elementary Method in Asymptotics

When a generating function $f(z) = \sum f_n z^n$ is analytic, there are many methods for extracting asymptotic estimates for the f_n from information about the behavior of f(z). When f(z) is known only for real z, fewer methods are known, and usually they give cruder estimates than can be obtained when f(z) is analytic. When $f_n \ge 0$ for all n, one can use a very simple elementary method that is very general, and often produces fairly good estimates. The upper bound is very well known, and says that

$$f_n \le x^{-n} f(x)$$

for every x > 0. What is perhaps slightly surprising is that one can often obtain lower bounds for partial sums $\sum_{k < n} f_k$ by a variant of this method.

M.S. Paterson Shallow Multiplication Circuits

Carry save adders were used by Ofman, Wallace and others to design multiplication circuits whose total delay is proportional to the logarithm of the length of the two numbers multiplied. An extension of this method was presented here. We have a general theory giving the optimal way of combining a given design of carry save adder. In addition we have detailed designs for carry save adders which yield multiplication circuits of depth 4.57 log₂ n.

(Joint work with Uri Zwick (Warwick) and Nick Pippenger (UBC).)

A.A. Razborov

Nondeterministic Branching Programs for MAJORITY Require Superlinear Size

It is shown that the size of nondeterministic branching programs (known also as switching-and-rectifier networks) computing MAJORITY and several other symmetric Boolean functions must be superlinear. The proof uses a reduction to a particular instance of the "Minimum Cover" problem. Another essential ingredient in the proof is Ramsey theory.

R. Reischuk

Degree Bounds for Communication by Exclusive Write Shared Memory

We consider parallel machines in which the processors communicate via a shared memory with exclusive write access (CREW PRAM). The time complexity of Boolean functions is estimated improving results of Cook, Dwork, Reischuk [SIAM J. Computing, 1986]. We set up a full information model in which states of processors and memory cells correspond to partitions of the input domain $\{0, 1\}^n$. The notion of degree for such partitions is defined by associating elements of the IR-Algebra of functions $g: \{0, 1\}^n \longrightarrow IR$ to the characteristic functions of a partition. We show that the growth rate of the degrees is upper bounded by the Fibonacci sequence. That way the time complexity of functions like OR_n , AND_n , $PARITY_n$ and many others can be determined exactly or up to a small additive constant. Generalizations to nondeterministic and probabilistic computations are obtained. We finally mention new upper time bounds achieved by processor efficient algorithms.

(Joint work with M. Kutylowski and M. Dietzfelbinger.)

C.-P. Schnorr

Factoring Integers and Computing Discrete Logarithms via Diophantine Approximation

Let N be an integer with at least two distinct prime factors. We reduce the problem of factoring N to the task of finding random integer solutions $(e_1, \ldots, e_t) \in \mathbb{Z}^t$ of the inequalities

$$\left|\sum_{i=1}^{t} e_i \log p_i - \log N\right| \le N^{-c}$$

and

$$\sum_{i=1}^{t} |e_i \log p_i| \le (2c-1) \log N + o(\log p_t),$$

where c > 1 is fixed and p_1, \ldots, p_t are the first t primes. We show, under the assumption that the smooth integers distribute "uniformly", that there are $N^{\varepsilon+o(1)}$ many solutions (e_1, \ldots, e_t) if c > 1 and if $\varepsilon := c - 1 - (2c - 1) \log \log N / \log p_t > 0$. We associate with the primes p_1, \ldots, p_t a lattice $L \subset \mathbb{R}^{t+1}$ of dimension t and we associate with N a point $\mathbf{N} \in \mathbb{R}^{t+1}$. We reduce the problem of factoring N to the task of finding random lattice vectors z that are sufficiently close to n in both the ∞ -norm and the 1-Norm. The dimension t of the lattice L is polynomial in log N. For $N \approx 2^{512}$ it is about 6300. We also reduce the problem of computing, for a prime N, discrete logarithms of the units in $\mathbb{Z}_{/N\mathbb{Z}}$ to a similar diophantine approximation problem.

A. Schönhage Fast Reduction and Composition of Binary Quadratic Forms

Similar to the fast computation of integer gcd's, the reduction of binary quadratic forms $ax^2 + bxy + cy^2$ with integral coefficients a, b, c bounded by 2^n is possible in time $O(\mu(n)\log n)$, where $\mu(n)$ denotes a time bound for *n*-bit integer multiplication. This result is obtained by a corresponding algorithm for the monotone reduction of positive forms (after which the final reduction of definite and indefinite forms can easily be done in a few steps).

Given integers x, y > 0, reduction of the form $[m^2x^2 + 1, 2m^2xy, m^2y^2]$ with sufficiently large *m*, like m = 2y, admits to find *u*, *v* for $ux + vy = \gcd(x, y)$, whence mere reduction of forms has at least the complexity of *extended* gcd.

The composition in the special case $[a_1, b, a_2c]$ with $[a_2, b, a_1c]$ gives simply $[a_1a_2, b, c]$. Fast transformation of the general case to this relies on the following

Lemma. Given $a, m > 2^n$, decomposing $a = u \cdot v$ such that $p|a \wedge p|m \Leftrightarrow p|u$ and $p|a \wedge p/m \Leftrightarrow p|v$ for any prime p is possible in time $O(\mu(n)\log n)$.

M.A. Shokrollahi On the Rank of Certain Finite Fields

Using results of D.V. Chudnovsky and G.V. Chudnovsky and W.C. Waterhouse we prove that the rank (= bilinear complexity of multiplication) of the finite field \mathbb{F}_{q^n} regarded as an \mathbb{F}_q -algebra is 2n if n satisfies $\frac{1}{2}q + 1 < n < \frac{1}{2}(q+1+\varepsilon(q))$. Here $\varepsilon(q)$ is the greatest integer $\leq 2\sqrt{q}$ which is prime to q if q is not a perfect square and $\varepsilon(q) = 2\sqrt{q}$ if q is a perfect square. For the case q = 4, n = 4 a machine constructed bilinear algorithm is presented (joint work with U.Baum).

P. Tiwari

On the Decidability of Sparse Univariate Polynomial Interpolation

We consider the problem of whether or not there exists a sparse univariate polynomial p(x) that interpolates a given set $S = \{(x_i, y_i)\}$ of points. Several cases are resolved, e.g. the case when the x_i 's are all positive. But the general problem remains open.

(Joint work with Allan Borodin, University of Toronto.)

U.V. Vazirani

Rapidly Mixing Markov Chains

The conductance of a graph is a measure of the connectedness of the graph. It has been established via eigenvalue arguments by Jerrum and Sinclair, and by direct combinatorial arguments by Mihail that the mixing rate of the random walk on a graph is determined by its conductance.

We extend the latter approach to analyze mixing in graphs where all but K vertices of the graph are well-connected. We prove that the conductance of all but K vertices in a graph determines the mixing rate when the random walk is started with uniform probability on any subset of vertices of size $\gg K$. Similar results have been proved independently by Lovasz and Simonovitz by completely different arguments.

I. Wegener

On Some Variants of HEAP SORT

BOTTOM-UP HEAP SORT is a fast HEAP SORT variant where the reheap procedure consists of three modules. With procedure leaf-search we look for the so-called special leaf. Starting at the root we always look for the smaller son. Then we search bottom-up for the new position of the root object and, finally, we perform the data transport. The worst case number of comparisons is bounded by $1.5n \log n$. The average case number is $n \log n +$ a(n)n where $a(n) \in [0.35, 0.39]$ depends on n. This result can be proved only under some realistic assumptions and is supported by simulations. MDR HEAP SORT is a variant of BOTTOM-UP HEAP SORT using n extra bits to store information about smaller sons. Its worst case complexity can be computed. It equals $n \log n$, if $n = 2^k$.

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