

# D-modules-Lecture-6

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## 5. LECTURE 6. REGULAR CONNECTION ALONG SMOOTH DIVISOR

Let  $X$  be a smooth irreducible variety of dimension  $n$ ,  $S \subset X$  a smooth irreducible closed divisor,  $U = X \setminus S$ ,  $j : U \rightarrow X$  open imbedding.

Let  $E$  be a smooth  $\mathcal{D}$ -module on  $U$  and  $F = j_*(E)$  the corresponding  $\mathcal{D}$ -module on  $X$ .

Suppose we know that for many curves  $C$  transversal to  $S$  the following condition holds

( $RS$ ) the restriction of  $E$  to  $C$  is  $RS$  at the intersection  $C \cap S$ .

We would like to prove that then  $E$  is algebraically  $RS$  along  $S$ .

This would imply that the condition (\*) holds for all curves  $C$ ,

I will use all the time the following lemma from Algebraic Geometry

**Lemma 5.0.1.** *Let  $X$  be an irreducible algebraic variety of dimension  $n$ ,  $F$  be a quasicoherent  $\mathcal{O}_X$ -module without torsion. Let  $M \subset F$  its quasicoherent submodule.*

(i) *Suppose that  $M$  is coherent on the open subset  $X \setminus T$ , where  $T$  is a closed subset of codimension  $> 1$ . Then  $M$  is coherent everywhere.*

(ii) *Let  $M_1 \subset M_2 \subset \dots$  be a sequence of coherent submodules of  $F$ . Suppose it stabilizes on the subset  $X \setminus T$ . Then it stabilizes on  $X$ .*

(iii) *Suppose  $M \subset F$  is a coherent  $\mathcal{O}_X$  submodule. Consider the collection of all intermediate coherent submodules  $M \subset N \subset F$  such that the support of  $N/M$  has codimension  $> 1$ .*

*Then the sum  $M'$  of all these modules is coherent and the support of  $M'/M$  has codimension  $> 1$ .*

We call this module  $M'$  the **enhancement** of  $M$ .

We say that the submodule  $M \subset F$  is **enhanced** if  $M' = M$

*Example 5.0.2.*  $X = A^2$ ,  $F = K(X)$  - sheaf of rational functions on  $X$ ,  $M \subset \mathcal{O}_X$  the subsheaf of functions that vanish at 0. In this case  $M' = \mathcal{O}_X$  is an enhanced submodule.

Let  $\mathcal{D}_{X,S}$  be the subsheaf subalgebras of  $\mathcal{D}_X$  generated by  $\mathcal{O}_S$  and vector fields tangent to  $S$ . The condition

ated by  $\mathcal{O}_X$  and vector fields tangent to  $\mathcal{D}$ . The condition **Algebraic RS** is as follows

(Alg.RS) For any lattice  $L \subset F$  the sheaf  $\mathcal{D}_{X,S} \cdot L$  is  $\mathcal{O}_X$ -coherent, i.e. it is an admissible lattice.

In case of a curve this is one of the definitions of *RS*.

The lemma above immediately implies that we can pass to an open subset, i.e. in the proof we can replace  $X$  by an open subset intersecting  $S$ .

Using this we will assume that  $X$  has a coordinate system  $x_1, \dots, x_n$  such that  $S$  is defined by the equation  $t = 0$ , where  $t = x_n$ .

In this case the algebra  $\mathcal{D}_{X,S}$  is generated by  $\mathcal{O}_X$  and vector fields  $\partial_i$  for  $i = 1, \dots, n-1$  and  $d = t\partial_n$ .

Our goal is the following

**Theorem 5.1.** *Consider the morphism  $p : X \rightarrow A^{n-1}$  defined by coordinates  $(x_1, \dots, x_{n-1})$  and consider the family of curves  $C_a$  on  $X$  defined by fibers of this morphism.*

*Suppose we know that for a Zariski dense subset of points  $a \in A^{n-1}$  the restriction of the smooth  $\mathcal{D}$ -module*

$E$  to the curve  $C_a$  is  $RS$  at points of  $S$ .

Then the  $\mathcal{D}$ -module  $E$  is algebraically  $RS$  along  $S$ .

**Proof.**

**Step 1.**

Let  $M$  be a lattice in  $F$ . Consider an increasing sequence of lattices  $M = M_1 \subset M_2 \subset \dots$  in  $F$ , where  $M_{i+1} = M_i + dM_i = M_i + \mathcal{O}_X dM_i$ . Let us show that this sequence stabilizes.

Set  $N_i = M_i/M_{i-1}$ . Then it is easy to check that  $d : N_i \rightarrow N_{i+1}$  is a morphism of  $\mathcal{O}_X$ -modules. It is also clearly an epimorphism.

Since the module  $N_1$  is coherent it is Noetherian. This implies that  $d : N_i \rightarrow N_{i+1}$  is an isomorphism for large  $i$ .

Choose the index  $i$  with this property. Then modules  $N_i, N_{i+1}, \dots$  are isomorphic to some module  $N$ .

The closed subset  $T = \text{supp}(N)$  is contained in  $S$ . If it is strictly less than  $S$  we replace  $S$  by an open subset  $S \setminus T$  and see that on this subset modules  $M_i$  stabilize. Then they stabilize everywhere.

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If  $T = S$  then we can find an open subset  $V \subset A^{n-1}$  such that  $N$  is a free non zero module over the algebra  $\mathcal{O}_V$ .

This implies that for any point  $a \in V$  when we restrict the exact sequence  $0 \rightarrow M_k \rightarrow M_{k+1} \rightarrow N \rightarrow 0$  to the curve  $C_a$  we get again an exact sequence  $0 \rightarrow M_{a,k} \rightarrow M_{a,k+1} \rightarrow N_a \rightarrow 0$ .

This means that the sequence of modules  $M_{a,k} \subset F_a$  on the curve  $C_a$  - does not stabilize.

Hence the restriction of the smooth  $\mathcal{D}$ -module  $E$  to the curve  $C_a$  is not  $RS$  - a contradiction.

Warning.

If  $\exists$  one curve  $C$  transverse  
to  $S$  s.t.  $E|_C$  is  $RS$  -

- is not enough for  $\subseteq$  being RS

Counter example  $E$ -smooth  $D$ -module  
corresponding to function  
 $f = \exp\left(\frac{z}{y}\right)$   
SOS given by  $y=0$

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**Step 2.** Using step 1 we can assume that our lattice  $M \subset F$  is  $d$ -invariant. We also assume that it is enhanced.

Let  $K$  be the field of rational functions on the divisor  $S$ . To any lattice  $M \subset F$  we assign a polynomial  $P_M \in K[t]$ .

Namely consider the  $\mathcal{O}_S$ -module  $M/tM$  with the operator  $d$ , pass to the corresponding  $K$ -vector space  $V_M$  and denote by  $P_M$  the characteristic polynomial of the operator  $d : V \rightarrow V$ .

It is easy to see that  $P_{tM}(t) = P_M(t+1)$ - this follows from the relation  $[d, t] = t$ .

From this we get the following

**Lemma 5.1.1.** *There exists a number  $N$  such that for any  $k > N$  polynomials  $P_M$  and  $P_{t^{-k}M}$  are relatively prime.*

*In particular, there are no non zero morphisms  $\nu : V_M \rightarrow V_{t^{-k}M}$  that commute with the operator  $d$ .*

**Corollary 5.1.2.** *Let  $M \subset F$  be an enhanced  $d$ -invariant lattice. Then  $\mathcal{D}_{X,S}(M)$  is contained in  $t^{-N}M$ .*

Of course this corollary implies the theorem.



**Proof of the corollary.**

We assume that  $X$  is affine. Let  $D' \subset \mathcal{D}_X$  be the subalgebra generated by  $\mathcal{O}_S$  and operators  $\partial_i$  for  $i < n$ . All these operators commute with the operator  $d$

For any  $\delta \in D'$  there exists a number  $k$  (that depends on  $\delta$ ), such that the lattice  $L = t^{-k}M$  contains  $\delta M$ .

We choose  $k$  minimal with this property. Our goal is to show that  $k \leq N$  – this will prove the corollary.

We fix  $k$ . we can assume that  $\delta$  is an element of minimal degree such that  $\delta M \subset L$ .

This implies that the operator  $\nu : V(M) \rightarrow V(L)$  given by  $\nu(m) = \delta(m) \bmod tL$  is  $K$ -linear.

If  $k > N$  such operator should be 0.

If  $\nu = 0$  then, since the lattice  $L$  is enhanced, we get that  $\delta M \subset tL$ . This contradicts to the minimal choice of  $k$ .

$$\delta : M \rightarrow L = t^{-k}M$$

Let  $f \in \mathcal{O}(S)$ .

$\delta' = \delta f - f\delta$  is operator of order  $<$  order  $\delta$ .

Hence  $\delta' M \subset tL$

$\delta : M \rightarrow L$  is  $\mathcal{O}_S$ -linear.

By assumption  $\delta : t^{-k}M \rightarrow t^{-k}L$  is not 0.

Since  $M$  is enhanced

$\delta : \forall m \rightarrow \nu m$  is not 0.

Hence  $\exists \nu \neq 0$  such  $\delta$  commutes with  $d$ .