## SUMMARY FOR THE COURSE "INTRODUCTION TO REPRESENTATION THEORY", FALL 2021-2022

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### 1. Basic definitions and Schur's Lemmas

**Definition 1.1.** A group G is a set with a binary operation  $G \times G \to G$ , called multiplication, such that

(1)  $\forall f, g, h \in G.(fg)h = f(gh)$ 

(2)  $\exists 1 \in G \ s.t. \forall g \in G, \ 1g = g1 = g$ 

(3)  $\forall g \in G, \exists g^{-1} \in G s.t. gg^{-1} = g^{-1}g = 1$ 

A morphism of groups  $\phi : G \to H$  is a function  $\phi : G \to H$  s.t.  $\phi(g_1g_2) = \phi(g_1)\phi(g_2) \forall g_1, g_2 \in G.$ 

**Example 1.2.**  $\mathbb{Z}$  - the group of integers,  $\mathbb{Z}/n\mathbb{Z}$  = the cyclic group of order n, Sym(X)the group of all bijections from X to itself. Also denoted by  $Sym_n$  or  $S_n$  if X has nelements. If V is a vector space of dimension n over a field F then we denote by GL(V)or by GL(n, F) the group of all invertible linear transformations from V to itself.

**Definition 1.3.** A G-set (a, X) is a set X together with a morphism of groups  $a : G \to$ Sym(X). We also say that G acts on X via a, and that a is an action of G on X. We will sometimes omit the a or the X from the notation. Also, we will sometimes write gxfor a(g)x.

A morphism of G-sets  $\nu : (a, X) \to (b, Y)$  is a function  $\nu : X \to Y$  such that  $\nu(a(g)x) = b(g)\nu(x), \forall g \in G, x \in X.$ 

Denote by  $X^G$  the set of fixed points of G in X, i.e.  $X^G := \{x \in X : gx = x \forall g \in G\}$ . For a point  $x \in X$  denote by  $G_x := Stab_G(x) := \{g \in G : gx = x\}$  the stabilizer of xin G and by  $Gx := \{gx : g \in G\}$  the orbit of x.

An action of G on X is called **free** if all stabilizers are trivial and **transitive** if Gx = X for some (and hence every)  $x \in X$ .

## Example 1.4.

- (1)  $\operatorname{Sym}(X)$  acts on X.
- (2) GL(V) acts on V.
- (3)  $G \times G$  acts on G by  $(g_1, g_2) \cdot h = g_1 h g_2^{-1}$ . This gives rise to 3 actions of G on itself, corresponding to 3 embeddings of G to  $G \times G$ : left, right and diagonal.

**Definition 1.5.** Let H be a subgroup of G. Define an equivalence relation on G by  $g_1 \sim g_2$ iff  $g_1^{-1}g_2 \in H$ . We will denote the set of equivalence classes by G/H and denote the equivalence class of g by gH. Then G/H has a natural action of G defined by  $g_1(g_2H) :=$  $(q_1q_2)H$ . We call it the **set of right** H-cosets in G.

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If the subgroup H is **normal**, i.e. satisfies  $ghg^{-1} \in H \forall g \in G, h \in H$  then G/H has a natural group structure defined by  $(g_1H)(g_2H) := g_1g_2H$ .

**Proposition 1.6.** (1)  $|G| = |G/H| \cdot |H|$ , where || denotes the size of a set.

- (2) Any transitive G-set X is isomorphic the set of cosets  $G/G_x$  where  $x \in X$  is any point.
- (3) Any G-set is a disjoint union of transitive G-sets (its orbits).

Many important groups have natural actions that are straightforward from their definitions. Many theorems on groups and their subgroups come from actions of G on itself or on coset spaces G/H. G-sets are important, and one can use geometry to study them. However, one cannot "compute" in G-sets. In order to compute, one needs some algebraic structure, e.g. a vector space.

**Definition 1.7.** A representation of a group G over a field F consists of a vector space V over F and a morphism of groups  $\pi : G \to GL(V)$ . We will denote the representation by  $(G, \pi, V)$  or  $(\pi, V)$  or  $\pi$  or V. The dimension of V is called the dimension of the representation. A one-dimensional representation is called a **character**. A morphism of representations  $\phi : (\pi, V) \to (\tau, W)$  is a linear map  $\phi : V \to W$  that is a morphism of G-sets, i.e. such that  $\phi(\pi(g)v) = \tau(g)\phi(v), \forall g \in G, v \in V$ .

Here are some examples of characters.

## Example 1.8.

- (1) The trivial character (of any group):  $\chi(g) = 1$  for all g.
- (2) The sign character of  $S_n$  (sign of permutation).
- (3) The determinant for GL(n, F).

Here are some examples of representations.

## Example 1.9.

- (1) The zero representation (of any group): V = 0, GL(V) has one element.
- (2)  $SO(2,\mathbb{R})$  acts on  $\mathbb{R}^2$  by rotations.
- (3) GL(V) acts on V.
- (4) Sym(X) acts on the space F(X) of all functions  $X \to F$ .

**Exercise 1.10.** Let  $\pi, \tau \in \operatorname{Rep}(G)$  and let  $\phi : \pi \to \tau$  be a morphism of representations which is an isomorphism of linear spaces. Show that  $\phi$  is an isomorphism of representations. In other words, show that the linear inverse  $\phi^{-1}$  is also a morphism of representations.

**Definition 1.11.** Let  $(\pi, V)$  and  $(\tau, W)$  be representations of G (over the same field F). Define a representation of G on the **direct sum**  $V \oplus W$  by  $g(v, w) := (\pi(g)v, \tau(g)w)$ .

Define a **dual** or **contragredient** representation  $(\pi^*, V^*)$  by

$$(\pi^*(g)\phi)(v) := \phi(\pi(g^{-1})v)$$

Let  $(\sigma, U)$  be a representation of H (over F). Define a representation of  $G \times H$  on the **tensor product**  $V \otimes U$  by  $(g, h)(v \otimes u) := \pi(g)v \otimes \sigma(h)u$ .

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In particular, if G = H then  $\pi \otimes \sigma$  is a representation of  $G \times G$ , which also becomes a representation of G using the diagonal embedding  $\Delta : G \hookrightarrow G \times G$ . This enables us to define an action of G on  $\operatorname{Hom}_F(V, U) = V^* \otimes U$ .

**Exercise 1.12.** Check that  $\operatorname{Hom}_F(V, U)^G = \operatorname{Hom}_G(\pi, \sigma)$ .

**Definition 1.13.** A subrepresentation of  $(G, \pi, V)$  is a G-invariant subspace of V, with induced action of G.

**Example 1.14.** Any representation has (at least) 2 subrepresentations : 0 and V.

**Definition 1.15.** A representation is called *irreducible* if it has only 2 subrepresentations.

## Example 1.16.

- (1) Any character is irreducible
- (2) The action of  $SO(2,\mathbb{R})$  on  $\mathbb{R}^2$  by rotations is irreducible, while the action of  $\mathbb{R}^{\times}$  on  $\mathbb{R}^2$  by homotheties is not.

**Exercise 1.17.** Every irreducible representation of a finite group is finite dimensional.

In the next lecture we will show that every representation is a direct sum of irreducible ones, and for a given group there is a finite number of isomorphism classes of irreps (unlike prime numbers). Thus, the main goals of representation theory are to classify all irreducible representations of a given group (up to isomorphism) and given a representation to find its decomposition to irreducible ones.

The most important properties of irreducible representations are Schur's lemmas.

**Lemma 1.18.** Let  $\rho$  and  $\sigma$  be irreps of a group G.

- (1) Any non-zero morphism  $\phi: \rho \to \sigma$  is an isomorphism.
- (2) If the field F is algebraically closed and  $\rho$  is finite-dimensional then  $\operatorname{Hom}(\rho, \rho) = F \cdot Id$ .

*Proof.* (1) Ker  $\phi$  is a subrepresentation of  $\rho$  and Im  $\phi$  is a subrepresentation of  $\sigma$ . (2) Let  $\varphi \in \text{Hom}(\rho, \rho)$  and  $\lambda$  be an eigenvalue of  $\varphi$ . Since  $\varphi - \lambda Id$  is not invertible, (1) implies that it is zero.

**Corollary 1.19.** Every irrep of a finite commutative group over an algebraically closed field is one-dimensional.

**Exercise 1.20.** Every irrep of a commutative group over  $\mathbb{R}$  is at most 2-dimensional. Give an example of a 2-dimensional irrep.

**Exercise 1.21.** Let  $(\pi_1, V_1), (\pi_2, V_2)$  be irreps of a group G. Consider the direct sum  $(\pi, V)$  of these representations. The space V has four G-invariant coordinate subspaces  $0, V_1, V_2, V$ . Show that the representations  $\pi_1$  and  $\pi_2$  are isomorphic if and only if there exists a non-coordinate G-invariant subspace in V (i.e. a subspace distinct from the four subspaces listed above).

## 2. EXISTENCE AND UNIQUENESS OF DECOMPOSITION TO IRREDUCIBLES. INTERTWINING NUMBERS AND THE GROUP ALGEBRA.

From now on we consider only finite groups.

**Definition 2.1** (Exercise). A representation  $\pi$  is called completely reducible if one of the following equivalent conditions holds.

- (1)  $\pi$  is a direct sum of irreducible representations.
- (2) For every subrepresentation  $\tau \subset \pi$  there exists another subrepresentation  $\tau' \subset \pi$ such that  $\pi = \tau \oplus \tau'$ .

Note that an irreducible representation is completely reducible :-).

**Theorem 2.2** (Weyl-Mashke). Suppose that |G| is not zero in F. Then every representation  $(\pi, V)$  of G over F is completely reducible.

*Proof.* Let  $\tau \subset \pi$ . It is enough to find a G-invariant linear projection on  $\tau$ . We take any linear projection on  $\tau$  and average it. Namely, we take a linear map  $p: V \to V$ s.t.  $p^2 = p$  and  $\operatorname{Im} p = \tau$  and replace it by  $p' := |G|^{-1} \sum_{g \in G} \pi(g) p \pi(g^{-1})$ . Check that  $p'^2 = p'$ , Im  $p' = \tau$  and p' is *G*-invariant. 

The idea of averaging is very important. It always gives something G-invariant, but sometimes produces zero. It already takes advantage of linearity of our subject - we would not be able to do such a thing with G-sets.

The assumptions that G is finite and |G| is not zero in F are necessary, as shown by the following example.

**Example 2.3.** Define  $A \in Mat_2(F)$  by  $A = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ . Let the group  $\mathbb{Z}$  act on  $F^2$  by  $\pi(n) := A^n$ . Then this representation is not completely reducible.

If char F = p then the same example gives a representation of the finite group  $\mathbb{Z}/p\mathbb{Z}$ .

From now on we assume charF = 0 and F is algebraically closed. Also, we consider only finite-dimensional representations.

**Corollary 2.4.** Any matrix A with  $A^n = Id$  is diagonalizable.

In order to prove uniqueness of the decomposition we introduce a very important notion, called intertwining number.

**Notation 2.5.** We denote by Rep(G) the collection of all representations of G and by Irr(G) the set of isomorphism classes of irreducible representations of G. In the next lecture we will show that the set Irr(G) is finite.

**Definition 2.6.** Let  $\pi, \tau \in Rep(G)$ . Define the *intertwining number* of  $\pi$  and  $\tau$  by  $\langle \pi, \tau \rangle := \dim \operatorname{Hom}_G(\pi, \tau).$ 

**Lemma 2.7.** The "form"  $\langle \cdot, \cdot \rangle$  is "bilinear and symmetric". Namely

- (1)  $\langle \pi_1 \oplus \pi_2, \tau \rangle = \langle \pi_1, \tau \rangle + \langle \pi_2, \tau \rangle$
- $\begin{array}{l} (2) \ \langle \pi, \tau_1 \oplus \tau_2 \rangle = \langle \pi, \tau_1 \rangle + \langle \pi, \tau_2 \rangle \\ (3) \ \langle \bigoplus a_i \pi_i, \bigoplus b_j \tau_j \rangle = \sum_i \sum_j a_i b_j \langle \pi_i, \tau_j \rangle, \end{array}$ where  $a_i$  and  $b_j$  are natural numbers or zeros.

(4) If  $\pi_i$  are irreducible, and  $a_i$  and  $b_i$  are natural numbers or zeros then 

*Proof.* (1)-(2) are obvious and imply (3), which in turn implies (4) using Schur's lemmas. Complete reducibility + (4) implies (5).  $\square$ 

Note that we just proved that the spaces  $\operatorname{Hom}_G(\pi,\tau)$  and  $\operatorname{Hom}_G(\tau,\pi)$  are equidimensional and hence isomorphic, but we have no natural isomorphism between them.

**Corollary 2.8.** The decomposition of any representation to a direct sum of irreducible ones is unique. The multiplicity with which an irrep  $\sigma$  appears in a representation  $\pi$  equals  $\langle \sigma, \pi \rangle$ .

**Corollary 2.9.** A representation  $\pi$  is irreducible if and only if  $\langle \pi, \pi \rangle = 1$ .

For a vector space V denote  $\operatorname{End}(V) := \operatorname{Hom}(V, V)$ . Note that  $\operatorname{End}(V) = V \otimes V^*$ . Thus, let us study some properties of actions on tensor products.

Let  $\pi \in Rep(G)$  and  $\tau \in Rep(H)$ .

**Exercise 2.10.** Show that  $(\pi \otimes \tau)|_G = (\dim \tau)\pi$  and  $(\pi \otimes \tau)|_H = (\dim \pi)\tau$ .

Notation 2.11. For a representation V denote by  $V^G$  the space of G-invariant vectors.

**Exercise 2.12.** Show that  $(\pi \otimes \tau)^{G \times H} = \pi^G \otimes \tau^H$ .

**Lemma 2.13.** Let  $\rho \in \operatorname{Irr}(G)$  and  $\sigma \in \operatorname{Irr}(H)$ . Then  $\rho \otimes \sigma \in \operatorname{Irr}(G \times H)$ .

Proof.

$$\operatorname{End}_{G\times H}(\rho\otimes\sigma) = (\operatorname{End}_F(\rho\otimes\sigma))^{G\times H} = (\rho^*\otimes\sigma\otimes\sigma^*\otimes\rho)^{G\times H} = (\rho^*\otimes\rho\otimes\sigma\otimes\sigma^*)^{G\times H} = (\rho^*\otimes\rho)^G\otimes(\sigma\otimes\sigma^*)^H = \operatorname{End}_F(\rho)^G\otimes\operatorname{End}_F(\sigma)^H = \operatorname{End}_G(\rho)\otimes\operatorname{End}_H(\sigma).$$
  

$$\operatorname{nus}, \langle\rho\otimes\sigma,\rho\otimes\sigma\rangle = \langle\rho,\rho\rangle\langle\sigma,\sigma\rangle = 1.$$

Thus,  $\langle \rho \otimes \sigma, \rho \otimes \sigma \rangle = \langle \rho, \rho \rangle \langle \sigma, \sigma \rangle = 1.$ 

**Exercise 2.14.** Prove that every irrep of  $G \times H$  can be obtained in this way.

**Corollary 2.15.** If  $\rho \in \operatorname{Irr}(G)$  then  $\operatorname{End}_F(\rho) \in \operatorname{Irr}(G \times G)$ .

**Definition 2.16** (Group algebra). Define the group algebra  $\mathcal{A}(G)$  of G to be the algebra spanned over F by the symbols  $\delta_g, g \in G$  with multiplication defined by  $\delta_g \delta_h = \delta_{gh}$ . Note that this is an associative non-commutative (unless G is commutative) algebra with unit (equal to  $\delta_1$ ). We can also view it as the algebra of functions from G to F, or the algebra of measures on G, with multiplication given by convolution:

$$f*h(g):=\sum_{x\in G}f(gx^{-1})h(x)$$

We define a representation of  $G \times G$  on  $\mathcal{A}(G)$  by  $(g_1, g_2)\delta_x := \delta_{g_1 x g_2^{-1}} \forall x \in G$  or, equivalently,  $((g_1, g_2)f)(x) := f(g_1^{-1}xg_2) \forall f \in \mathcal{A}(G), x \in G$ . This representation is called the **regular representation** of G. Its restrictions on first and second coordinate of  $G \times G$ are called the **left regular** and **right regular** representations respectively.

**Definition 2.17.** A representation of an algebra with unit A on a vector space V is a morphism of algebras with unit  $A \to \operatorname{End}(V)$ .

**Exercise 2.18.** A representation  $(\pi, V)$  of G defines a representation of  $\mathcal{A}(G)$  on V and vice versa.

**Lemma 2.19.** If  $\rho \in \operatorname{Irr}(G)$  then the natural morphism of algebras  $\mathcal{A}(G) \to \operatorname{End}_F(\rho)$  is onto.

*Proof.* End<sub>F</sub>( $\rho$ ) is an irrep of  $G \times G$  and the image of this morphism is a non-zero sub-representation.

## 3. Decomposition of the regular representation. Corollaries on number and dimensions of irreducible representations. Examples for small symmetric groups

**Lemma 3.1.** Let V be a vector space. Then  $\langle A, B \rangle := \text{Tr}(AB)$  defines a non-degenerate symmetric bilinear form on End(V). Moreover, if V is a representation of G then this form is invariant with respect to the diagonal action of G. This form is called the **trace** form.

**Theorem 3.2.** The natural morphism

$$\phi: \mathcal{A}(G) \to \prod_{\sigma \in \operatorname{Irr}(G)} \operatorname{End}_F(\sigma)$$

given on each coordinate  $\sigma$  by

$$\phi_{\sigma}(f) := \sigma(f) = \sum_{g \in G} f(g)\sigma(g)$$

is an isomorphism of algebras and of representations of  $G \times G$ .

- *Proof.* (1) It is easy to see that  $\phi$  is a morphism of algebras and of representations of  $G \times G$ . Thus it is enough to show that  $\phi$  is one to one and onto.
  - (2) Suppose  $f \in \text{Ker } \phi \subset \mathcal{A}(G)$ . Then f acts by zero on any irreducible representation of G and thus on any representation of G. Thus, f acts by zero on  $\mathcal{A}(G)$ , but  $f\delta_1 = f$  and thus f = 0.
  - (3) Define a morphism  $\psi : \bigoplus_{\sigma \in \operatorname{Irr}(G)} \operatorname{End}_F(\sigma) \to \mathcal{A}(G)$  in the following way. For  $A \in \operatorname{End}(\sigma)$  let  $\psi(A)(g) := \operatorname{Tr}(\sigma(g^{-1})A)$ , and continue by linearity to the direct sum. Let us show that the composition  $\phi\psi$  is an embedding of  $\bigoplus_{\sigma \in \operatorname{Irr}(G)} \operatorname{End}_F(\sigma)$  into  $\prod_{\sigma \in \operatorname{Irr}(G)} \operatorname{End}_F(\sigma)$ .

Indeed, let  $\sigma, \rho \in \operatorname{Irr}(G)$  and let  $\phi \psi_{\sigma}^{\rho} : \operatorname{End}_{F}(\sigma) \to \operatorname{End}_{F}(\rho)$  be the projection to  $\operatorname{End}_{F}(\rho)$  of the restriction to  $\sigma$  of  $\phi \psi$ . Since  $\phi \psi_{\sigma}^{\rho}$  is a morphism of representations of  $G \times G$ , that are irreducible by Corollary 2.15, Schur's lemma implies that it is constant if  $\sigma \simeq \rho$  and zero otherwise. The constant is non-zero, since  $\phi$  is an embedding, and  $\psi|_{\operatorname{End}_{F}(\sigma)}$  is an embedding by Lemmas 2.19 and 3.1. Thus  $\phi \psi$  is a direct sum of embeddings, and thus is an embedding.

Thus  $\psi$  is an embedding. This implies that Irr G is a finite set.

(4) Now, by (3) the R.H.S. is finite dimensional and its dimension is at most the dimension of L.H.S, and by (2),  $\phi$  is one to one. Thus  $\phi$  is an isomorphism.

Corollary 3.3. (1) Irr(G) is finite and

$$\sum_{\sigma_{\operatorname{Irr}(G)}} (\dim \sigma)^2 = |G|.$$

(2)  $|\operatorname{Irr}(G)|$  equals the number of conjugacy classes in G.

*Proof.* (1): both are equal to the dimension of  $\mathcal{A}(G)$ . (2): both are equal to the dimension of the center of  $\mathcal{A}(G)$ .

**Example 3.4.** If G is commutative then  $|\operatorname{Irr}(G)| = |G|$  and all irreps are characters.

This example and Theorem 3.2 show that representation theory is in some sense Fourier analysis on non-commutative groups.

The main goal of representation theory is to classify all irreducible representations of a given group G. For complex representations of simple finite groups this has been achieved in the 20th centaury, together with the classification of such groups.

We will now consider small symmetric groups, but first we will prove an important general lemma.

**Lemma 3.5.** Let X and Y be G-sets. Then  $\langle F(X), F(Y) \rangle$  equals the number of orbits of G in  $X \times Y$  under the diagonal action.

*Proof.* Using the basis of  $\delta$ -functions on F(X) we have a natural isomorphism

$$\operatorname{Hom}_F(F(X), F(Y)) \cong F(X \times Y)$$

as representations of  $G \times G$ , and thus as representations of  $\Delta G$ . Thus

 $\operatorname{Hom}_{G}(F(X), F(Y)) = \operatorname{Hom}_{F}(F(X), F(Y))^{\Delta G} \cong F(X \times Y)^{\Delta G} \cong F((X \times Y)/\Delta G),$ 

where  $(X \times Y)/\Delta G$  is the set of  $\Delta G$ -orbits. Thus the dimension is the number of orbits.  $\Box$ 

**Definition 3.6.** X is called double-transitive if  $\Delta G$  has 2 orbits on  $X \times X$ .

In other words, for any 2 pairs  $(x, y), (x', y') \in X \times X$  with  $x \neq y$  and  $x' \neq y'$  there exists  $g \in G$  s.t. gx = x' and gy = y'.

**Corollary 3.7.** If the action of G on X is double-transitive then  $F_0(X)$  is irreducible.

*Proof.* 
$$F(X) = F \oplus F_0(X)$$
, thus if  $\langle F(X), F(X) \rangle = 2$  then  $\langle F_0(X), F_0(X) \rangle = 1$ .

**Example 3.8.** Classification of  $Irr(S_2)$ .

 $S_2$  acts on  $\{1,2\}$ . We have decomposition to irreps  $F(\{1,2\}) = F \oplus F_0(\{1,2\})$ . Since  $S_2$  is of size 2, we found all irreps. In fact,  $F_0(\{1,2\})$  is the sign character.

**Example 3.9.** Classification of  $Irr(S_3)$ .

 $S_3$  acts double-transitively on  $\{1, 2, 3\}$ . Thus  $\rho := F_0(\{1, 2, 3\})$  is irreducible. We also have the trivial representation and the sign character. Since  $S_3$  has 3 conjugacy classes, this is all. We can also check this using dimensions:  $2^2 + 1^2 + 1^2 = 3!$ .

**Example 3.10.** Classification of  $Irr(S_4)$ .

 $S_4$  acts double-transitively on  $X := \{1, 2, 3, 4\}$ . Thus  $\rho := F_0(\{1, 2, 3, 4\})$  is irreducible. We also have the trivial representation and the sign character.  $S_4$  has 5 conjugacy classes, thus there are two more irreps. The sums of squares of their dimensions is  $4!-1^2-1^2-3^2 =$ 13. Thus the dimensions of the missing irreps are 2 and 3.

Let Y be the set of subsets of X of size 2. Then  $S_4$  has 3 orbits on  $Y \times Y$ : the diagonal orbit, pairs with one common element, and pairs without common elements. Geometrically, X can be viewed as vertices of a tetrahedron, and Y as its edges.

Thus, by the lemma, F(Y) is the sum of 3 non-isomorphic irreps.

One of them is the trivial rep. Is  $\rho$  inside? To see this we compute  $\langle F(X), F(Y) \rangle$ . Since  $S_4$  has has two orbits on  $X \times Y$ ,  $\langle F(X), F(Y) \rangle = 2$  and they have 2 irreps in common. Since  $F(X) = F \oplus \rho$ , we get that F(Y) includes  $\rho$  with multiplicity one.

Thus  $F(Y) = F \oplus \rho \oplus \tau$ , where  $\tau$  is a 2-dimensional irrep.

We miss a 3-dimensional irrep. I claim that it is sgn  $\cdot \rho$ . We just need to check that it is not isomorphic to  $\rho$ . We can check this on a simple permutation (12), using trace.

Indeed, the trace of the action of (12) on F(X) is 2, and thus  $\operatorname{Tr} \rho(12) = 1$ . On the other hand,  $\operatorname{Tr}(\operatorname{sgn}(12) \cdot \rho(12)) = -1$ , and thus these reps are not isomorphic.

Exercise 3.11.  $\tau \cong \operatorname{sgn} \cdot \tau$ 

## 4. ISOTYPIC COMPONENTS; CHARACTERS, SCHUR ORTHOGONALITY RELATIONS

## 4.1. Isotypic components.

**Definition 4.1.** A representation is called *isotypic* if it is a direct sum of isomorphic irreducible representations.

**Exercise 4.2.** The following are equivalent:

- (1)  $\pi$  is isotypic
- (2) All irreducible subrepresentations of  $\pi$  are isomorphic
- (3) If  $\pi \simeq \omega \oplus \tau$  with  $\langle \omega, \tau \rangle = 0$  then either  $\omega = 0$  or  $\tau = 0$ .

**Theorem 4.3.** Let  $(\pi, V) \in Rep(G)$ . Then there exists a unique set of subrepresentations  $V_i$  such that  $V = \bigoplus_{i=1}^k V_i$ ,  $V_i$  are isotypic, and  $\langle V_i, V_j \rangle = 0$ . Moreover, for any subrepresentation  $W \subset V$ , we have  $W = \bigoplus_{i=1}^k (W \cap V_i)$ .

Proof. By induction. Existence is easy. Uniqueness follows from the "moreover" part. To prove the "moreover" part, fix a decomposition  $V = \bigoplus V_i$ , let  $W \subset V$  and consider the decomposition  $W = \bigoplus W_i$  where  $W_i$  has the same type as  $V_i$ , or is zero. Then  $W \cap V_i \subset W_i$ . On the other hand,  $W_i$  has zero projection on  $V_j$ , for  $j \neq i$  and thus  $W_i \subset V_i$ . Thus  $W_i = V_i \cap W$ .

The  $V_i$  are called the isotypic components of  $\pi$ .

**Definition 4.4.** If all isotypic components of  $\pi$  are irreducible then  $\pi$  is called multiplicity free.

**Lemma 4.5** (Easy). Every intertwining operator  $L \in \text{Hom}_G(\pi, \pi)$  preserves each isotypic component. In particular, if  $\pi$  is multiplicity free then L is scalar on each  $V_i$ .

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**Exercise 4.6.** Barak has got a game for his birthday. In the game there was a cube with digits 1,...,6 on its faces, distributed somehow, not in the standard way. Each time he played with his friends and lost, he blamed the cube and modified it by replacing the number on every face by the average of the numbers written on the 4 neighbors of the face during the game round. What numbers will be written on the faces after 10 losses?

Solution. Let V denote the 6-dimensional space of functions on the set X of faces of the cube and L denote the "averaging on neighbors" operator. Of course, we can guess that the answer will be approximately the constant function 3.5. However, to know how precise this approximation is we will need to diagonalize L and representation theory will help us.

Let G denote the group of motions of the cube and consider V as its representation. Then G has 3 orbits on  $X \times X$ , thus  $\langle V, V \rangle = 3$  and thus V is a sum of 3 non-isomorphic irreducible representations. One is, of course, the 1-dimensional space  $V_1$  of constant functions. The other is the 2-dimensional space  $V_2$  of "symmetric" functions with zero sum, namely functions that have the same value on opposite faces (and zero sum). The third is the 3-dimensional space  $V_3$  of "anti-symmetric" functions.

The operator L commutes with the group action and thus acts by a scalar  $\lambda_i$  on each  $V_i$ . Taking convenient vectors from each  $V_i$  we get  $\lambda_1 = 1, \lambda_2 = -1/2, \lambda_3 = 0$ . Note that V has the natural form  $\langle f, g \rangle := \sum f(x)\overline{g(x)}$ , which is G-invariant and thus can be used to compute projections to  $V_i$ . Let  $\xi$  be the original function given by (1, 2, 3, 4, 5, 6). Then its projection  $\xi_1$  to  $V_1$  is the constant function 3.5. The length of the projection to  $V_2$  is at most  $\sqrt{2((3.5-1)^2 + (3.5-2)^2 + (3.5-3)^2)} = \sqrt{17.5}$  and thus  $|L^{10}(\xi) - \xi_1| \leq \sqrt{17.5}/2^{10} < 0,005$ .

**Exercise 4.7.** Classify all irreducible representations of the group G from the solution of the last exercise.

*Hint*. Use the action of G on faces, edges, vertices and main diagonals of the cube, and on regular tetrahedra inscribed in the cube.

## 4.2. Characters.

**Definition 4.8.** Let  $(\pi, V) \in Rep(G)$ . Define a function  $\chi_{\pi}$  on G by  $\chi_{\pi}(g) := Tr \pi(g)$ .

## Lemma 4.9.

(1) If 
$$\pi \simeq \tau$$
 then  $\chi_{\pi} = \chi_{\tau}$ .  
(2)  $\chi_{\pi}(hgh^{-1}) = \chi_{\pi}(g)$ , i.e.  $\chi_{\pi} \in Z(\mathcal{A}(G))$ .  
(3)  $\chi_{\pi \oplus \tau} = \chi_{\pi} + \chi_{\tau}$ .  
(4)  $\chi_{\pi \otimes \tau} = \chi_{\pi} \chi_{\tau}$ .  
(5)  $\chi_{\pi}(g^{-1}) = \chi_{\pi^*}(g)$ .

This lemma immediately follows from the corresponding properties of trace.

**Definition 4.10.** Define a bilinear form on  $\mathcal{A}(G)$  by

$$\langle f,h\rangle:=|G|^{-1}\sum_{g\in G}f(g)h(g^{-1})$$

**Exercise 4.11.** This form is bilinear, symmetric and non-degenerate.

### 4.3. Schur orthogonality relations.

Theorem 4.12 (Schur orthogonality relations).

$$\langle \chi_{\pi}, \chi_{\tau} \rangle = \langle \pi, \tau \rangle$$

*Proof.* Let us first prove for the case when  $\pi$  is the trivial representation. Then  $\operatorname{Hom}(\pi, \tau) = \tau^G$ . Define  $p : \tau \to \tau^G$  by  $p := 1/|G| \sum \tau(g)$ . Then  $\operatorname{Im} p = \tau^G$  and  $p|_{\tau^G} = Id$ , i.e. p is a projection on  $\tau^G$ . Thus,  $\dim \tau^G = \operatorname{Tr}(p)$ . On the other hand,

$$\operatorname{Tr}(p) = 1/|G| \sum \operatorname{Tr}(\tau(g)) = 1/|G| \sum_{g \in G} \chi_{\tau}(g) = 1/|G| \sum \chi_{\pi}(g^{-1})\chi_{\tau}(g) = \langle \chi_{\pi}, \chi_{\tau} \rangle$$

Now we will repeat the same argument for the general case, using the following exercise.

**Exercise 4.13.** Let L, V be finite-dimensional linear spaces and let  $X \in \text{End } V, Y \in$ End L. Define  $\Psi_{X,Y}$ : Hom $(L, V) \to$  Hom(L, V) by  $\Psi_{X,Y}(A) := XAY$ . Then  $\text{Tr } \Psi_{X,Y} =$ Tr X Tr Y.

Hint There are (at least) two ways to solve this:

1) There is a "free' proof with tensor calculus.

2) In coordinates,  $(YE_{ij}X)_{ij} = Y_{ii}X_{jj}$ .

Now, let V be the space of  $\pi$  and  $\tilde{L}$  be the space of  $\tau$ . Then  $\operatorname{Hom}_G(\pi, \tau) = \operatorname{Hom}(V, L)^G$ . For any  $g \in G$  define  $Q(g) : \operatorname{Hom}(V, L) \to \operatorname{Hom}(V, L)$  by  $Q(g)(A) := \tau(g)A\pi(g^{-1})$ . Then  $1/|G| \sum_{g \in G} Q(g)$  is a projector from  $\operatorname{Hom}(V, L)$  onto  $\operatorname{Hom}_G(\pi, \tau) = \operatorname{Hom}(V, L)^G$ . Thus

$$\langle \pi, \tau \rangle = \dim \operatorname{Hom}_{G}(\pi, \tau) = \operatorname{Tr}(1/|G| \sum_{g \in G} Q(g)) = 1/|G| \sum_{g \in G} \chi_{\tau}(g) \chi_{\pi}(g^{-1}) = \langle \chi_{\pi}, \chi_{\tau} \rangle$$

Corollary 4.14. The character is a full invariant of a representation.

*Proof.*  $\pi = \bigoplus_{\rho \in \operatorname{Irr} G} m_{\rho} \rho$ , and  $m_{\rho}$  are determined by  $m_{\rho} = \langle \pi, \rho \rangle = \langle \chi_{\pi}, \chi_{\rho} \rangle$ .

**Corollary 4.15.** Characters of irreducible representations form an orthonormal basis for  $Z(\mathcal{A}(G))$ .

*Proof.* By Lemma 4.9, characters of irreducible representations belong to  $Z(\mathcal{A}(G))$ . By the theorem and Schur's lemmas, they form an orthonormal set. By Corollary 3.3 their number is equal to dim  $Z(\mathcal{A}(G))$ . Thus, they form an orthonormal basis.

**Lemma 4.16.** If  $F = \mathbb{C}$  then  $\chi_{\pi}(g^{-1}) = \overline{\chi_{\pi}(g)}$ . Thus, on characters of representations, the form  $\langle , \rangle$  coincides with the scalar product defined by  $\langle f, h \rangle' = |G|^{-1} \sum_{g \in G} f(g) \overline{h(g)}$ .

*Proof.* As we showed some time ago,  $\pi$  has an invariant scalar product and thus  $\pi^* \simeq \overline{\pi}$ . Now,  $\chi_{\pi}(g^{-1}) = \chi_{\pi^*}(g) = \chi_{\overline{\pi}}(g) = \overline{\chi_{\pi}(g)}$ .

**Remark 4.17.** Characters of representations span  $Z(\mathcal{A}(G))$ . However, the forms  $\langle , \rangle$  and  $\langle , \rangle'$  cannot coincide on  $Z(\mathcal{A}(G))$  since one of them is bilinear, and the other is sesquilinear.

SUMMARY FOR THE COURSE "INTRODUCTION TO REPRESENTATION THEORY", FALL 2021-2022

## 4.4. Dimensions of irreps divide the order of the group.

**Proposition 4.18.** Let  $\rho \in \operatorname{Irr}(G)$  and let  $z_{\rho} = (\dim \rho/|G|) \sum_{g \in G} \chi_{\rho}(g^{-1}) \delta_g$ . Then  $\rho(z_{\rho}) = Id$  and  $\sigma(z_{\rho}) = 0$  for any  $\sigma \ncong \rho \in \operatorname{Irr}(G)$ .

*Proof.* Let  $\omega \in \operatorname{Irr}(G)$ . Then, by the second Schur's lemma,  $\omega(z_{\rho})$  is a scalar. Now,  $\operatorname{Tr} \omega(z_{\rho}) = \dim \rho / |G| \sum_{g \in G} \chi_{\rho}(g^{-1}) \chi_{\omega}(g) = \dim \rho \cdot \langle \rho, \omega \rangle$ . Thus,  $\omega(z_{\rho}) = Id$  if  $\rho \simeq \omega$  and  $\omega(z_{\rho}) = 0$  otherwise.

**Corollary 4.19.** The inverse of the map  $\mathcal{A}(G) \simeq \bigoplus_{\rho \in \operatorname{Irr}(G)} \operatorname{End}_F(\rho)$  is given on the coordinate  $\operatorname{End}_F(\rho)$  by  $T \mapsto f_T(g) = (\dim \rho/|G|) \operatorname{Tr}(T\rho(g^{-1})).$ 

**Corollary 4.20.**  $\forall \rho \in \operatorname{Irr}(G), \dim \rho \text{ divides } |G|.$ 

For the proof we will need

**Definition 4.21.** A *lattice* is an abelian group without torsion.

**Theorem 4.22** (from commutative algebra). Any finitely generated lattice L has a basis, i.e.  $L \simeq \mathbb{Z}^n$ . In other words,  $\exists l_1, ..., l_n \in L$  s.t.  $\forall l \in L, \exists ! \{a_i\}$  s.t.  $l = \sum a_i l_i, l_i \in \mathbb{Z}$ .

**Lemma 4.23.** Let V be a vector space over  $\mathbb{Q}$ , and let L < V be a finitely generated lattice. Let  $T: V \to V$  s.t.  $T(L) \subset L$ . Suppose that  $T^2 = qT$ . Then  $q \in \mathbb{Z}$ .

*Proof.* Fix a basis  $(l_1, ..., l_n)$  for L. Take  $x \in L$  and let y := Tx. Then Ty = qy and  $T^k y = q^k y \forall k \ge 1$ . Thus q is rational, and any power of the denominator of q divides all the coordinates of y. Thus  $q \in \mathbb{Z}$ .

We note that V can be infinite-dimensional.

Proof of Corollary 4.20. Apply the previous lemma to the following setting:  $V := \mathcal{A}(G)$ , vieweed as a vector space over  $\mathbb{Q}$ , T := convolution with  $\sum \chi_{\rho}(g^{-1})\delta_g$ ,  $q = |G|/\dim \rho$  and

L := lattice generated by  $\{\xi \delta_q : \xi \text{ is a root of unity of order } |G|\}.$ 

Let us show that the conditions of Lemma 4.23 are satisfied. We have  $T/q = z_{\rho}$ , and by Corollary 4.19,  $z_{\rho}^2 = z_{\rho}$ . Thus  $(T/q)^2 = T/q$  and  $T^2 = qT$ . Next, for any  $g \in G$ , we have  $\rho(g)^{|G|} = 1$ , and thus all the eigenvalues of  $\rho(g)$  are roots of unity of order |G|. Thus, for any  $g, \chi_{\rho}(g)$  is an integer combination of roots of unity, and thus  $z_{\rho} \in L$ . It is easy to see that L is closed under convolution, and thus  $T(L) \subset L$ .

5. Classification of representations of symmetric groups

Let X be a set of size n and  $G = \text{Sym}(X) = S_n$ .

**Lemma 5.1.** Conjugacy classes in  $S_n$  = partitions of n, i.e. sets  $(\alpha_1, ..., \alpha_k)$  of natural numbers s.t.  $\alpha_1 + ... + \alpha_k = n$  and  $\alpha_1 \ge ... \ge \alpha_k$ .

One can draw partitions using Young diagrams. That is, we draw a figure of boxes, in which raw *i* consists of  $\alpha_i$  boxes.



Let us now find an irreducible representation for each partition  $\alpha = (\alpha_1, ..., \alpha_k)$ . Denote by  $X_{\alpha}$  the set of all decompositions of the set X to subsets  $X_1, ..., X_k$  s.t.  $|X_i| = \alpha_i$ . Each such decomposition can be pictured by a Young tableau. A Young tableau is a distribution of numbers 1 ... n into a Young diagram such that the numbers in each row are increasing (some sources give different meaning to this word).

$$1 2 3$$
 $1 5 6$ 
 $4 7 8$ 
 $2 3 8$ 
 $5 6$ 
 $4 7$ 
 $6 7 8$ 
 $3 4 5$ 

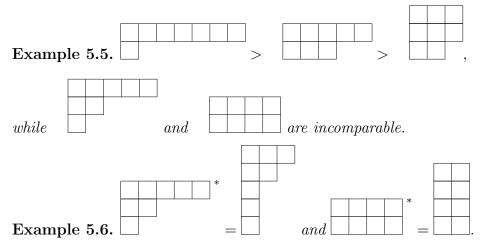
 Note that

Note that

$$|X_{\alpha}| = \frac{n!}{\alpha_1!\alpha_2!\dots\alpha_k!}$$

**Definition 5.4.**  $T_{\alpha} := F(X_{\alpha}), \quad T'_{\alpha} := sgn \cdot T_{\alpha}.$ 

Introduce a partial ordering on partitions by  $\lambda \leq \mu$  iff  $\sum_{i=1}^{j} \lambda_i \leq \sum_{i=1}^{j} \mu_i \forall 1 \leq j \leq n$ . Graphically, one obtains smaller partitions by moving boxes down.



**Definition 5.7.** Denote by  $\alpha^*$  the transposed partition given by  $\alpha_i^* := |\{j : \alpha_i \geq i\}|$ .

**Exercise 5.8.** (1)  $\alpha^*$  is a partition and  $(\alpha^*)^* = \alpha$ . (2)  $\alpha \leq \beta \Leftrightarrow \alpha^* \geq \beta^*$ .

Graphically, one obtains  $\alpha^*$  by exchanging the rows with the columns.

**Lemma 5.9** (Exc). If X, Y are finite G-sets and  $\chi$  is a character of G then the intertwining number  $\langle \pi_X, \chi \pi_Y \rangle$  equals to the number of G-orbits O under the diagonal action on the set  $X \times Y$  such that for any point  $z \in O$ , the restriction  $\chi|_{G_z}$  of  $\chi$  to the stabilizer  $G_z$  of z is trivial.

*Proof.* As in the case  $\chi = 1$  that was proved earlier,

 $\langle \pi_X, \chi \pi_Y \rangle = \dim \operatorname{Hom}_G(\pi_X, \pi_Y) = \dim F(X \times Y)^G,$ 

where the action of G is by  $f^g(x,y) = \chi(g^{-1})f(g^{-1}x,g^{-1}y)$ . Let  $z = (x,y) \in X \times Y$ . If  $\chi$  is not trivial on  $G_z$  then every  $f \in F(X \times Y)^G$  vanishes on z. If  $\chi$  is trivial on  $G_z$ ,

then there exists a unique function  $\delta_{Gz} \in F(X \times Y)^G$  that vanishes outside the orbit of z, and on the orbit of z is given by  $\delta_{Gz}(gz) = \chi(g^{-1})$ . These functions form a basis for  $F(X \times Y)^G$ , and thus the number of orbits with trivial restriction  $\chi|_{G_z}$  equals  $F(X \times Y)^G$  which in turn equals  $\langle \pi_X, \chi \pi_Y \rangle$ .

**Theorem 5.10.** Let  $\alpha$  and  $\beta$  be partitions of n. Then

$$\langle T_{\alpha}, T_{\beta}' \rangle = \begin{cases} 0, & \alpha \nleq \beta^{*}; \\ 1, & \alpha = \beta^{*}. \end{cases}$$

By the lemma, the theorem is equivalent to computation of the number of G-orbits on  $X_{\alpha} \times X_{\beta}$  such that the sgn is trivial on the centralizer of any point of the orbit. We leave this computation as a difficult combinatorial exercise.

The theorem implies the following corollary.

**Corollary 5.11.** Let  $\alpha$  and  $\beta$  be partitions of n. Then

- (i) The representations  $T_{\alpha}$  and  $T'_{\alpha^*}$  have a unique joint irreducible component, that we will denote by  $U_{\alpha}$ .
- (ii) If  $U_{\alpha} \simeq U_{\beta}$  then  $\alpha = \beta$ .

Proof. Part (i) follows from  $\langle T_{\alpha}, T'_{\beta} \rangle = 1$ . For pat (ii), if  $U_{\alpha} \simeq U_{\beta}$  then  $U_{\alpha}$  is a common irreducible component of  $T_{\alpha}$  and  $T'_{\beta^*}$ . Thus  $\langle T_{\alpha}, T'_{\beta^*} \rangle > 0$ , which by Theorem 5.10 implies  $\alpha \leq (\beta^*)^* = \beta$ . Also,  $U_{\alpha}$  is also a common irreducible component of  $T'_{\alpha^*}$  and  $T_{\beta}$ . Thus  $\langle T_{\beta}, T'_{\alpha^*} \rangle > 0$ , which by Theorem 5.10 implies  $\beta \leq (\alpha^*)^* = \alpha$ . Together we obtain  $\alpha = \beta$ .

**Corollary 5.12.** Every  $\sigma \in Irr(S_n)$  is isomorphic to  $U_{\alpha}$  for some unique  $\alpha$ .

*Proof.* Let P(n) denote the number of partitions of n. It also equals the number of conjugacy classes in  $S_n$  and thus equals  $|\operatorname{Irr}(S_n)|$ . Since  $U_{\alpha} \not\simeq U_{\beta}$  for  $\alpha \neq \beta$ , the  $U_{\alpha}$  constitute P(n) pairwise non-isomorphic irreducible representations, and thus exhaust all irreducible representations of  $S_n$ .

We have thus obtained a classification of all irreducible representations of  $S_n$ . This classification is not very satisfying, but a long and detailed study of the intertwining operator between  $T_{\alpha}$  and  $T'_{\alpha*}$  will lead to a (quite long) expression for the character of  $U_{\alpha}$ . We will give here a formula for dim  $U_{\alpha}$ , that we will prove later using Gelfand pairs:

$$\dim U_{\alpha} = \frac{n! \prod_{i < j} (l_i - l_j)}{l_1! \dots l_k!},$$

where  $l_i = \alpha_i + k - i, i = 1, ..., k$ .

## 6. Commutative groups: Fourier transform.

Let G be a finite commutative group. Then, by the second Schur's lemma all irreducible representations are 1-dimensional (characters). Their number is equal to |G|. Actually, the characters form a group:  $(\chi \cdot \psi)(g) := \chi(g)\psi(g)$ . It is called the (Pontryagin) dual group  $\widehat{G}$ . This group is not canonically isomorphic to G, but  $G \cong \widehat{\widehat{G}}$  canonically.

Now, we constructed an isomorphism  $\mathcal{A}(G) \cong \bigoplus \operatorname{End}(\sigma)$ . For commutative G it becomes  $\mathcal{F}: \mathcal{A}(G) \cong F(\widehat{G})$ , where the multiplication in  $F(\widehat{G})$  is pointwise. It is called Fourier transform. To see why let us write the explicit formula.

$$\mathcal{F}(f)(\chi) = \sum_{g \in G} f(g)\chi(g)$$

By Schur orthogonality relations, we know that the characters form an orthonormal basis for  $\mathcal{A}(G)$  and thus f can be reconstructed from  $\mathcal{F}(f)$  by

$$f(g) = |G|^{-1} \sum_{\chi \in \widehat{G}} \mathcal{F}(f)(\chi) \chi(g)^{-1}$$

since  $\mathcal{F}(f)(\chi)$  is exactly the  $\chi^{-1}$ -coordinate of f. This formula is called Fourier inversion formula. It also shows that  $\mathcal{F}(\mathcal{F}(f))(g) = |G|^{-1}f(g^{-1})$ , under the identification  $G \cong \widehat{\widehat{G}}$ . To make things more familiar, let take  $F = \mathbb{C}$ . Then we have  $\chi^{-1} = \overline{\chi}$ . Let us consider  $G = \mathbb{Z}/n\mathbb{Z}$  and choose a non-trivial character  $\psi$  by  $\psi(k) := \exp(\frac{2\pi i k}{n})$ . Then for  $c \in \mathbb{Z}/n\mathbb{Z}$  we have another character is given by  $a \mapsto \psi(ca)$ , and all characters of G are of this form. This gives an identification of G with  $\hat{G}$  and the familiar formulas for Fourier transform. The same thing happens for  $G = \mathbb{R}$ , but analysis comes in. For  $G = S^1$ ,  $\hat{G} = \mathbb{Z}$  and

Fourier transform becomes Fourier series. Application. Multiplication of numbers.

Remark. The isomorphism  $\mathcal{A}(G) \cong \bigoplus \operatorname{End}(\sigma)$  for non-commutative groups can be viewed as a generalization of Fourier transform.

## 7. Induction of representations

We are looking for a way of "lifting" representations of a subgroup H < G to representations of G. In other words, we are looking for a "functor"  $Ind_{H}^{G}: Rep(H) \to Rep(G).$ 

Let us first find the trace (character) of  $\operatorname{Ind}_{H}^{G}(\pi)$ . We have a natural map  $\operatorname{Res}_{H}^{G}$ :  $Z(\mathcal{A}(G)) \to Z(\mathcal{A}(H))$ . On both algebras we have a natural non-degenerate bilinear form. Let us define  $\operatorname{Ind}_{H}^{G}: Z(\mathcal{A}(H)) \to Z(\mathcal{A}(G))$  as the conjugate to  $\operatorname{Res}_{H}^{G}$  w.r. to these forms. For any  $g \in G$  let  $C_g$  denote the conjugacy class of g and  $\delta_{C_g}$  denote the function which equals  $|C_g|^{-1}$  on  $C_g$  and zero outside  $C_g$ . Then the functions of this form span  $Z(\mathcal{A}(G))$ . Let  $\chi \in Z(\mathcal{A}(H))$ . Since  $\operatorname{Ind}_{H}^{G}(\chi) := (\operatorname{Res}_{H}^{G})^{*}(\chi)$  is defined in terms on scalar product with other functions, we would like to express its value at every point using scalar product.

Since  $\operatorname{Ind}_{H}^{G}(\chi)$  is invariant to conjugation, we have

$$\operatorname{Ind}_{H}^{G}(\chi)(g) = \sum_{x \in C_{g}} \operatorname{Ind}_{H}^{G}(\chi)(x) \delta_{C_{g}}(x) = \sum_{x \in G} \operatorname{Ind}_{H}^{G}(\chi)(x) \delta_{C_{g}}(x) = |G| \langle \operatorname{Ind}_{H}^{G}(\chi), \delta_{C_{g^{-1}}} \rangle$$

Now, using  $\operatorname{Ind}_{H}^{G}(\chi) := (\operatorname{Res}_{H}^{G})^{*}(\chi)$  we obtain

$$\operatorname{Ind}_{H}^{G}(\chi)(g) = |G| \langle \operatorname{Ind}_{H}^{G}(\chi), \delta_{C_{g^{-1}}} \rangle_{G} = |G| \langle \chi, \delta_{C_{g^{-1}}}|_{H} \rangle_{H} = \frac{|G|}{|H||C_{g}|} \sum_{h \in C_{g} \cap H} \chi(h)$$

As we know, this defines  $\operatorname{Ind}_{H}^{G}(\pi)$  uniquely (up to isomorphism). One only has to show existence now. However, before doing this let us check the meaning of induction by

evaluating  $\operatorname{Ind}_{H}^{G}(\chi_{\pi})$  on another (generating) subset of  $Z(\mathcal{A}(G))$  - the one formed by characters of representations.

$$\langle \tau, \mathrm{Ind}_H^G(\pi) \rangle = \langle \chi_\tau, \mathrm{Ind}_H^G(\chi_\pi) \rangle_G = \langle \operatorname{Res}_H^G \chi_\tau, \chi_\pi \rangle_H = \langle \operatorname{Res}_H^G \tau, \pi \rangle$$

This very important formula is called Frobenius reciprocity. First of all, it shows that  $\operatorname{Ind}_{H}^{G}(\chi_{\pi})$  is the character of a representation. It also defines induction uniquely and in fact could be guessed without considering characters since in means that  $\operatorname{Ind}_{H}^{G}(\pi)$  is the "free representation of G generated by  $\pi$ ". Similar definitions work for the free group, free module etc.

Let us now construct  $\operatorname{Ind}_{H}^{G}(\pi)$ . First let us consider several examples

#### (1) $H = \{e\}, \operatorname{Ind}_{H}^{G}(F) = F(G).$ Example 7.1.

- (2) For any H,  $\operatorname{Ind}_{H}^{G}(F) = F(G/H)$ .
- (3) For any character  $\chi$  of H,  $\operatorname{Ind}_{H}^{G}(\chi) = \{f \in F(G) : f(gh) = \chi(h)^{-1}f(g)\}.$ (4) For any H-set X, the free G-set generated by X is the set of H-orbits in  $G \times X$ under the action  $h(q, x) := (qh^{-1}, hx)$ .

Based on these we define, for any  $(\pi, V) \in Rep(H)$ ,

$$\operatorname{Ind}_{H}^{G}(\pi) = \{ f \in F(G, V) : f(gh) = \pi(h^{-1})f(g) \},\$$

where F(G, V) denotes all the functions from G to V with the usual action of G, i.e.  $\operatorname{Ind}_{H}^{G}(\pi)(g)f(g') = f(g^{-1}g').$ 

Moreover, this construction is functorial. This means that for  $\pi_1, \pi_2 \in Rep(H)$  and  $\phi \in \operatorname{Hom}_{H}(\pi_{1}, \pi_{2})$  we define  $\operatorname{Ind}_{H}^{G}(\phi) : \operatorname{Ind}_{H}^{G}(\pi_{1}) \to \operatorname{Ind}_{H}^{G}(\pi_{2})$  by  $\operatorname{Ind}_{H}^{G}(\phi)(f)(g) = \phi(f(g))$ , and this preserves composition.

**Lemma 7.2.** The above construction satisfies Frobenius reciprocity. More precisely, for any  $\pi \in \operatorname{Rep}(H)$  and  $\tau \in \operatorname{Rep}(G)$  there is a canonical isomorphism

$$\operatorname{Hom}_G(\tau, Ind_H^G(\pi)) \simeq \operatorname{Hom}_H(\tau|_H, \pi)$$

*Proof.* To build the isomorphism let  $\phi : \tau \to Ind_H^G(\pi)$ . Then its image is given by  $\psi(w) = (\phi(w))(e)$ , where  $w \in \tau$ , and  $e \in G$  is the identity element. The inverse morphism maps  $\psi \in \operatorname{Hom}_H(\tau|_H, \pi)$  to  $\phi \in \operatorname{Hom}_G(\tau, \operatorname{Ind}_H^G(\pi))$  defined by  $\phi(w)(g) := \psi(g^{-1}w)$ . 

(1) For H < G and  $\pi_1, \pi_2 \in Rep(H)$ , Exercise 7.3.

$$\operatorname{Ind}_{H}^{G}(\pi_{1} \oplus \pi_{2}) = \operatorname{Ind}_{H}^{G}(\pi_{1}) \oplus \operatorname{Ind}_{H}^{G}(\pi_{2}).$$

(2) For  $H_1 < H_2 < G$  and  $\pi \in Rep(H)$ ,

 $\operatorname{Ind}_{H_2}^G \operatorname{Ind}_{H_1}^{H_2} \pi = \operatorname{Ind}_{H_1}^G \pi$ 

**Exercise 7.4.** Repeat Exercise 4.6 for a dodecahedron.

*Hint.* Let G be the symmetry group of the dodecahedron, and H be the stabilizer of a face. Then the set of faces is G/H, and we consider the space of functions F(G/H) as a representation of G. It is the induction of the trivial representation:  $F(G/H) = \operatorname{Ind}_{H}^{G} 1$ . As in the case of the cube, the averaging operator L is an intertwining operator. As in the cube again, one can show that F(G/H) is a multiplicity free representation, and thus L diagonalizes - it acts by a scalar on each irreducible subrepresentation of F(G/H). We

want to find those scalars. By Frobenius reciprocity, every irreducible subrepresentation has an H-invariant vector. Compute L on such vectors.

Induction can be best described using equivariant sheaves.

7.1. Induction and equivariant sheaves. In this section we will use two topological notions: vector bundles and equivariant sheaves. Since we consider only finite sets with discrete topology, in our case these notions become much simpler.

Intuitively, a sheaf is a continuous family of vector spaces, parameterized by points of a given topological space X. If we demand that all the spaces have the same dimension we will get a vector bundle. In our case, these are precisely the definitions, and we require the dimensions to be finite.

We will denote sheaves by Gothic letters, mainly  $\mathcal{F}$ . Let  $\mathcal{F}$  be a sheaf over X. The vector space corresponding to  $x \in X$  is called the **fiber** of  $\mathcal{F}$  at x and denoted  $\mathcal{F}_x$ . The disjoint union of all fibers is called the **total space** of  $\mathcal{F}$  and we denote it by  $T(\mathcal{F})$ . Note that we have a natural map  $T(\mathcal{F}) \to X$ , and that  $T(\mathcal{F})$ , together with the map  $T(\mathcal{F}) \to X$  defines  $\mathcal{F}$  uniquely.

A morphism of sheaves  $\phi : \mathcal{F} \to \mathcal{G}$  over the same space X is a collection of linear maps  $\phi_x : \mathcal{F}_x \to \mathcal{G}_x$ , one for each  $x \in X$ .

For any (open) subset  $U \subset X$ , we define  $\mathcal{F}(U) := \bigoplus_{x \in U} \mathcal{F}_x$ . This space is called the space of sections of  $\mathcal{F}$  on U since it is precisely the space of sections of  $T(\mathcal{F}) \to X$  on U. The space  $\mathcal{F}(X)$  is called the space of global sections and sometimes denoted  $\Gamma(\mathcal{F})$ .

Now, for a (continuous) map  $\nu: X \to Y$  define  $\nu_*: Sh(X) \to Sh(Y)$  and  $\nu^*: Sh(Y) \to Sh(X)$  by

$$\nu_*(\mathcal{F})(U) := \mathcal{F}(\nu^{-1}(U)) \quad \text{and}(\nu^*(\mathcal{G}))_x := \mathcal{G}_{\nu(x)},$$

where  $\mathcal{F} \in Sh(X)$  and  $\mathcal{G} \in Sh(Y)$ .

**Exercise 7.5.** Let  $\nu : X \to Y$  and let  $\mathcal{F}_1, \mathcal{F}_2 \in Sh(X), \mathcal{G}_1, \mathcal{G}_2 \in Sh(Y), \phi : \mathcal{F}_1 \to \mathcal{F}_2, \psi : \mathcal{G}_1 \to \mathcal{G}_2$ . Define natural maps  $\nu_*(\phi) : \nu_*(\mathcal{F}_1) \to \nu_*(\mathcal{F}_2)$  and  $\nu^*(\psi) : \nu^*(\mathcal{G}_1) \to \nu^*(\mathcal{G}_2)$ .

**Definition 7.6.** Let X be a G-set and  $\mathcal{F}$  be a sheaf over X. A G-equivariant structure on  $\mathcal{F}$  is a G-set structure on the total space  $T(\mathcal{F})$  such that the natural map  $T(\mathcal{F}) \to X$ is a morphism of G-sets, and for every  $g \in G$  and  $x \in X$ , the map  $\mathcal{F}_x \to \mathcal{F}_{gx}$  given by the action of g on  $T(\mathcal{F})$  is linear.

**Exercise 7.7.** The following structures on  $\mathcal{F}$  are equivalent:

- (1) An equivariant structure
- (2) For any  $x \in X$  and  $g \in G$  a linear map  $\pi(g)_x : \mathcal{F}_x \to \mathcal{F}_{gx}$  such that for  $g_1, g_2 \in G$ ,  $\pi(g_1g_2)_x = \pi(g_1)_{g_2x} \circ \pi(g_2)_x$ .
- (3) An isomorphism of sheaves  $\alpha : a^*(\mathcal{F}) \approx p_2^*(\mathcal{F})$ , where  $p_2, a : G \times X \to X$  are the projection to the second coordinate and the action respectively, that satisfies the following condition:

(\*) Consider the set  $Z = G \times G \times X$  and two morphisms  $q, b : Z \to X$ , defined by q(g, g', x) = x and b(g, g', x) = gg'x. The morphism  $\alpha$  induces two morphisms of sheaves  $\beta, \gamma : q^*(\mathcal{F}) \to b^*(\mathcal{F})$ . The condition on  $\alpha$  is that these two morphisms are equal. SUMMARY FOR THE COURSE "INTRODUCTION TO REPRESENTATION THEORY", FALL 2021-2022

**Definition 7.8.** Let  $\mathcal{F}, \mathcal{H} \in Sh_G(X)$ . Then a morphism of equivariant sheaves  $\mathcal{F} \to \mathcal{H}$  is a morphism of sheaves such that the corresponding map of total spaces  $T(\mathcal{F}) \to T(\mathcal{H})$  is a morphism of G-sets.

**Exercise 7.9.** Give the definition of a morphism of equivariant sheaves in two other realizations of equivariant sheaves.

We have the following obvious lemma.

**Lemma 7.10.** Let  $X = X_1 \prod X_2$  be a disjoint union of G-sets. Then

 $Sh_G(X) = Sh_G(X_1) \oplus Sh_G(X_2).$ 

**Definition 7.11.** For an equivariant sheaf  $\mathcal{F}$  on X, define the action of G on the space of global sections  $\mathcal{F}(X)$  by  $(gf)(x) := g(f(g^{-1}x))$ , where  $f : X \to T\mathcal{F}$  is a global section.

**Corollary 7.12.** If  $\mathcal{F} \in Sh_G(X)$  and  $\mathcal{F}(X)$  is irreducible then either  $\mathcal{F}(X_1) = 0$  or  $\mathcal{F}(X_2) = 0$ .

Let us now study sheaves over a transitive G-set, G/H.

**Lemma 7.13.** There is a natural equivalence  $Sh_G(G/H) \cong Rep(H)$ .

*Proof.* Given a sheaf on G/H, we take its fiber at the coset H. To a representation  $(\pi, V)$  of H, we put in correspondence the vector bundle  $\mathcal{I}nd(\pi)$  whose total space is the set of H-orbits in  $G \times V$  under the action  $h \cdot (g, v) := (gh^{-1}, \pi(h)v)$ . The action of G on the total space is given by left multiplication.

Let us show that these two functors are indeed inverse to each other. One direction is easy:  $\mathcal{I}nd(\pi)_H$  is identified with  $\pi$  by sending each equivalence class of pairs (h, v) to the unique representative of the form (1, v). Checking the action of H:  $h(1, v) = (h, v) \sim$  $(1, \pi(h)v)$ .

To the other direction, let  $\mathcal{F}$  be a *G*-equivariant sheaf on G/H, and let  $\pi := \mathcal{F}_H$ . Then we have a natural map  $\mathcal{I}nd(\pi) \to \mathcal{F}$  given on the total spaces by  $(g, v) \mapsto gv_1$ , where  $v_1$ denotes the image of v in the total space  $T\mathcal{F}$  (recall that  $T\mathcal{F}$  is a union of fibers, and therefore  $\pi = \mathcal{F}_H$  is naturally a subset). This map is well-defined, since  $(gh, \pi(h^{-1})v) \mapsto$  $ghh^{-1}v = gv$ . It is easy to see that the map commutes with the projections to G/H. It is left to check that the map is a bijection. It preserves fibers, is clearly a bijection on the fibers at H, therefore on the fibers at any other point, and therefore everywhere.  $\Box$ 

To describe the fibers of  $\mathcal{I}nd(\pi)$  at every point, choose a representative  $g_i$  for every coset and let  $\mathcal{I}nd(\pi)_{g_iH}$  be the representation  $(\pi^{g_i}, V)$  of  $g_iHg_i^{-1}$  given by  $\pi^{g_i}(g_ihg_i^{-1}) = \pi(h)$ . The map  $\mathcal{I}nd(\pi)_H \to \mathcal{I}nd(\pi)_{g_iH}$  given by  $g_i$  is the identity map, and all other maps are compositions of the above 2 types.

**Exercise 7.14.**  $\mathcal{I}nd(\pi)(G/H) = \operatorname{Ind}_{H}^{G}(\pi).$ 

Let  $x_i$  be a set of representatives of *G*-orbits on *X* and  $G_i$  be the stabilizers in *G* of  $x_i$ . Then the above discussion defines an equivalence  $Sh_G(X) \simeq \bigoplus_i Rep(G_i)$ .

### 8. Mackey theory

Let N < G be a normal subgroup. Let  $\pi \in \operatorname{Rep}(G)$  and let  $\pi|_N = \bigoplus_{\sigma \in \operatorname{Irr}(N)} \pi_{\sigma}$  be the decomposition of  $\pi|_N$  to isotypic components. For any representation  $(\rho, V)$  of N, and  $g \in G$  we have a new representation  $(\rho^g, V)$  of N by  $\rho^g(n)v := \rho(gng^{-1})v$ . Note that  $gng^{-1} \in N$ . This defines an action of G on  $\operatorname{Irr}(N)$ .

The decomposition of  $\pi|_N$  to isotypic components defines an equivalence

$$Rep(G) \simeq Sh_G^{spec}(Irr(N)),$$

where by  $Sh_G^{spec}(\operatorname{Irr}(N))$  we mean the sheaves on  $\operatorname{Irr}(N)$  such that the fiber at each point  $\rho$  is an isotypic representation of N of type  $\rho$ . Let  $\sigma_i$  be a set of representatives of orbits of G on  $\operatorname{Irr}(N)$  and  $S_i$  be the stabilizers in G of  $\sigma_i$ . Then  $\operatorname{Rep}(G) \simeq \bigoplus_i \operatorname{Rep}^{\operatorname{spec}}(S_i)$ , where  $\operatorname{Rep}^{\operatorname{spec}}(S_i)$  denotes the category of representations whose restrictions to N are isotypic of type  $\sigma_i$ . In particular, if  $\sigma \in \operatorname{Irr}^{\operatorname{spec}}(S_i)$  then  $\operatorname{Ind}_{S_i}^G(\sigma) \in \operatorname{Irr}(G)$ , and any irreducible representation of G is obtained in this way.

**Corollary 8.1.** Let  $\pi \in \operatorname{Irr}(G)$ . Then either  $\pi|_N$  is isotypic of type  $(\rho, V)$  and  $\rho^g \approx \rho$  for all  $g \in G$ , or there exists a subgroup  $N < H \subsetneq G$  and an irreducible representation  $\tau$  of H such that  $\pi = \operatorname{Ind}_{H}^{G}(\tau)$ .

*Proof.* By the discussion above,  $\pi = \bigoplus_{i=1}^{k} \operatorname{Ind}_{S_{i}}^{G} \sigma_{i}$ . Since  $\pi$  is irreducible, k = 1. If  $S_{1} = G$  then for  $\rho = \sigma_{1}$  we have that  $\pi|_{N}$  is isotypic of type  $(\rho, V)$  and  $\rho^{g} \approx \rho$  for all  $g \in G$ . If  $S_{1} \neq G$  let  $H := S_{1}$  and  $\tau := \sigma_{1}$ . Then  $\pi = \operatorname{Ind}_{H}^{G}(\tau)$ .

Note that in the first case we get a projective representation of G on V, i.e. a group homomorphism  $G \to GL(V)/scalars$ . Indeed, for any  $g \in G$ ,  $\rho$  is isomorphic to  $\rho^g$ . This isomorphism is a linear operator on V. Denote it by  $\tau(g)$ . To be a representation,  $\tau(gh)$ should equal  $\tau(g)\tau(h)$ . We do not have this equality, but both are intertwining operators from  $\rho$  to  $\rho^{gh}$ . Thus, by the second Schur's lemma, their ratio is a scalar. Thus  $\tau$  defines a projective representation.

Now suppose that N is commutative and  $G = S \ltimes N$ .

**Exercise 8.2.** For any  $\pi \in Irr(G)$ , dim  $\pi \leq |S|$ .

Now, consider

$$P_2(\mathbb{F}_q) := \left\{ \left( \begin{array}{cc} a & b \\ 0 & 1 \end{array} \right) : a \in \mathbb{F}_q^{\times}, b \in \mathbb{F}_q \right\}$$

**Example 8.3.** Note that  $P_2 = \mathbb{F}_q^{\times} \ltimes \mathbb{F}_q$ . There are 2 orbits of  $\mathbb{F}_q^{\times}$  on the dual group  $\widehat{\mathbb{F}}_q$  (consisting of characters): the zero and the non-zero orbit. The stabilizers are  $\mathbb{F}_q^{\times}$  and the trivial group respectively. Fix a non-trivial character  $\psi$  of  $\mathbb{F}_q$ . Then there are q irreducible representations of  $P_2$ :  $\operatorname{Ind}_{\mathbb{F}_q}^{P_2}(\psi)$  and q-1 characters of  $\mathbb{F}_q^{\times}$ , continued trivially to  $P_2$ .

Let us now extend this example to  $P_n = \operatorname{GL}_{n-1}(\mathbb{F}_q) \ltimes \mathbb{F}_q^{n-1}$ . All the non-trivial characters of  $\mathbb{F}_q^{n-1}$  are conjugate under  $\operatorname{GL}_{n-1}(\mathbb{F}_q)$  to the character  $\psi_{n-1}$  defined by  $\psi_{n-1}(a_1,\ldots,a_{n-1}) = \psi(a_{n-1})$ . Furthermore, the stabilizer of  $\psi_{n-1}$  is  $P_{n-1}$ .

This enables to reduce the classification of the irreducible representations of  $P_n$  to the classifications of all irreducible representations of  $\operatorname{GL}_k(\mathbb{F}_q)$  for all k < n in the following way. Let  $\pi \in \operatorname{Irr}(P_n)$ . If  $\pi|_{\mathbb{F}_q^{n-1}}$  is isotypic then it is a multiple of the trivial character,

since the trivial character is the only invariant character. If this is indeed the case, then  $\pi|_{\mathrm{GL}_{n-1}(\mathbb{F}_q)}$  is irreducible.

If  $\pi|_{\mathbb{F}_q^{n-1}}$  is not isotypic then it includes all non-trivial characters, because they are all conjugate under  $\operatorname{GL}_{n-1}(\mathbb{F}_q)$ . Thus  $\pi \cong \operatorname{Ind}_{Q_n}^{P_n}(\rho \otimes \psi_{n-1})$ , where  $Q_n = P_{n-1} \ltimes \mathbb{F}_q^{n-1}$ , and  $\rho \in \operatorname{Irr}(P_{n-1})$ . To classify  $\rho$  we continue by induction: restrict it to  $\mathbb{F}_q^{n-2}$  and so on. Eventually we "drop" to the  $\operatorname{GL}_k$  side for some k < n.

To summarize: for any  $\pi \in \operatorname{Irr}(P_n)$  there exist  $0 \leq k < n$  and  $\rho \in \operatorname{Irr}(\operatorname{GL}_k(\mathbb{F}_q))$  s.t.

$$\pi \cong \operatorname{Ind}_{R_n^k}^{P_n}(\rho \otimes 1_k \otimes \psi_{k+1} \otimes \psi_{k+2} \otimes \cdots \otimes \psi_{n-1}),$$

where

$$R_n^k := \mathrm{GL}_k(\mathbb{F}_q) \ltimes (\mathbb{F}_q^k \oplus \mathbb{F}_q^{k+1} \oplus \mathbb{F}_q^{k+2} \oplus \cdots \oplus \mathbb{F}_q^{n-1})$$

For example,

$$R_5^2(\mathbb{F}_q) = \left\{ \begin{pmatrix} * & * & * & * & * \\ * & * & * & * & * \\ 0 & 0 & 1 & \underline{*} & * \\ 0 & 0 & 0 & 1 & \underline{*} \\ 0 & 0 & 0 & 0 & 1 \end{pmatrix} \right\}$$

Now, let G be a general finite group and K, H < G be two subgroups and  $\pi \in Rep(H)$ . Let us study  $(\operatorname{Ind}_{H}^{G}(\pi))|_{K}$  using equivariant sheaves. We know that  $\operatorname{Ind}_{H}^{G}(\pi)$  is the space of global sections of the equivariant sheaf  $\mathcal{I}nd_{H}^{G}(\pi)$  on G/H. Clearly, the orbits of K in G/H are the double-cosets  $K \setminus G/H$ . Note that

$$\mathcal{I}nd_{H}^{G}(\pi)(KgH) = \bigoplus_{k \in K/(K \cap gHg^{-1})} \pi^{kg} = \operatorname{Ind}_{K \cap gHg^{-1}}^{K}(\pi^{g})$$

Thus,

Theorem 8.4.

$$\operatorname{Ind}_{H}^{G}(\pi)|_{K} = \bigoplus_{KgH \in K \setminus G/H} \operatorname{Ind}_{K \cap gHg^{-1}}^{K}(\pi^{g})$$

**Corollary 8.5.** (i)  $\langle \operatorname{Ind}_{H}^{G}(\pi), \operatorname{Ind}_{K}^{G}(\tau) \rangle_{G} = \sum_{KgH \in K \setminus G/H} \langle \pi^{g}, \tau \rangle_{K \cap H^{g}}$ (ii)  $\operatorname{Ind}_{H}^{G}(\pi)$  is irreducible if and only if  $\pi$  is irreducible and  $\langle \pi, \pi^{g} \rangle_{H \cap H^{g}} = 0$  for any  $g \notin H$ .

*Proof.* (i)

$$\langle \operatorname{Ind}_{H}^{G}(\pi), \operatorname{Ind}_{K}^{G}(\tau) \rangle_{G} = \langle \operatorname{Ind}_{H}^{G}(\pi), \tau \rangle_{K} = \sum_{KgH \in K \setminus G/H} \langle \operatorname{Ind}_{K\cap H^{g}}^{K}(\pi^{g}), \tau \rangle_{K} = \sum_{KgH \in K \setminus G/H} \langle \pi^{g}, \tau \rangle_{K\cap H^{g}}(\pi^{g}), \tau \rangle_{K}$$

(ii)  $\operatorname{Ind}_{H}^{G}(\pi)$  is irreducible if and only if  $\langle \operatorname{Ind}_{H}^{G}(\pi), \operatorname{Ind}_{H}^{G}(\pi) \rangle = 1$ . By part (i),  $\langle \operatorname{Ind}_{H}^{G}(\pi), \operatorname{Ind}_{H}^{G}(\pi) \rangle_{G} = \sum \langle \pi^{g}, \pi \rangle_{H \cap H^{g}}$ 

$$\operatorname{Ind}_{H}^{G}(\pi), \operatorname{Ind}_{H}^{G}(\pi)\rangle_{G} = \sum_{HgH \in H \setminus G/H} \langle \pi^{g}, \pi \rangle_{H \cap H^{g}}$$

Now, for g = 1,  $\langle \pi^g, \pi \rangle_{H \cap H^g} = \langle \pi, \pi \rangle_H \ge 1$ . Thus,  $\operatorname{Ind}_H^G(\pi)$  is irreducible if and only if  $\langle \pi, \pi \rangle_H = 1$  and  $\langle \pi, \pi^g \rangle_{H \cap H^g} = 0$  for any  $g \notin H$ .

9. MONOMIAL REPRESENTATIONS, HEISENBERG GROUP, WEIL REPRESENTATION

We have seen in the last lecture that induction enables to construct many irreducible representations. Today we will see an extreme case of that: any irreducible representation of a nilpotent group is induced from a character.

We will use a lemma from last time:

**Lemma 9.1.** Let  $N \triangleleft G$  be a normal subgroup. Let  $\pi \in \operatorname{Irr}(G)$ . Then either  $\pi|_N$  is isotypic of some type  $(\rho, V)$  and  $\rho^g \approx \rho$  for all  $g \in G$ , or there exists a subgroup  $N < H \subsetneq G$  and an irreducible representation  $\tau$  of H such that  $\pi = \operatorname{Ind}_H^G(\tau)$ .

Note that in the first case we get a projective representation of G on V, i.e. a group homomorphism  $G \to GL(V)/scalars$ .

**Definition 9.2.** A representation induced from a (1-dimensional) character of a subgroup is called monomial.

**Definition 9.3.** Let us call a group G c-solvable(which means cyclicly solvable) if there exists a sequence of normal subgroups  $N_0 < N_1 < ... < N_k = G$  starting with the trivial subgroup  $N_0$  such that each quotient group  $N_i/N_{i-1}$  is cyclic.

**Exercise 9.4.** Show that any subgroup and quotient group of a c-solvable group is c-solvable. Show that any finite nilpotent group is c-solvable.

**Theorem 9.5.** Let G be a c-solvable finite group. Then any irreducible representation  $\pi$  of G is monomial.

*Proof.* We prove the theorem by induction on the order of G. If the group is commutative the theorem is clear.

Suppose that the group is not commutative. We may also suppose that the representation  $\pi$  is faithful, i.e. no group element acts trivially. Now, let Z < G denote the center. Choose a normal cyclic subgroup C < G/Z and lift it to a normal commutative subgroup N < G. Since N is not central, there exist  $a \in N$  and  $b \in G$  such that  $a \neq bab^{-1}$ , thus  $\pi(a) \neq \pi(bab^{-1})$ .

By Lemma 9.1, either  $\pi|_N$  is isotypic and isomorphic to  $\pi^b|_N$ , or  $\pi$  is induced from some proper subgroup of G. Since N is commutative, if  $\pi|_N$  is isotypic then all elements of Nact on  $\pi$  by scalars. But  $\pi(a) \neq \pi(bab^{-1})$  and thus  $\pi|_N$  is not isomorphic to  $\pi^b|_N$ . Thus  $\pi$  is induced from some subgroup. By the induction hypotheses the representation of the subgroup is monomial, and by transitivity of induction  $\pi$  is monomial.  $\Box$ 

**Exercise 9.6.** Suppose we know that a group G has a commutative normal subgroup N such that the group G/N is c-solvable. Show that any irreducible representation  $\sigma$  of G is monomial.

**Definition 9.7.** The Heisenberg group is the group of upper uni-triangular 3 by 3 matrices (over some field which we will take to be  $\mathbb{F}_q$ ).

$$\begin{pmatrix} 1 & x & z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & x' & z' \\ 0 & 1 & y' \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & x + x' & z + z' + xy' \\ 0 & 1 & y + y' \\ 0 & 0 & 1 \end{pmatrix}$$

Let us classify all irreps of H. First of all, on every irrep the center  $Z \cong \mathbb{F}_q$  acts by some character. If the character is trivial, we get an irreducible representation of V there are  $q^2$  such representations and they are all 1-dimensional. Now, suppose the central character is  $\chi \neq 1$ .

**Theorem 9.8.** There exists a unique irreducible representation  $\rho_{\chi}$  of H with central character  $\chi$ , and it has dimension q.

*Proof.* Define a normal commutative subgroup  $D = \{(x, y, z) \in H : x = 0\}$ . Extend  $\chi$  trivially to D and define  $\rho_{\chi} := Ind_D^H(\chi)$ . The irreducibility and uniqueness follow from the above Mackey analysis.

Indeed, for irreducibility we use Corollary 8.5. Let  $g = (x, y, z) \in G$  with  $x \neq 0$ . Then, for any  $(0, y', z') \in D$ ,  $\chi^g((0, y', z') = \chi(z')\chi(xy')$ . Since  $x \neq 0$  and  $\chi \neq 1$ , there exists y' such that  $\chi(xy') \neq 1$  and thus  $\langle \chi, \chi^g \rangle_D = 0$ .

To show uniqueness, let  $\sigma \in \operatorname{Irr}(H)$  and consider  $\sigma|_D$ . By Lemma 9.1, either  $\sigma|_D$  is isotypic, or  $\sigma$  is induced from some proper subgroup which includes D. In the first case, D acts on  $\sigma$  by scalars, and (0, y', z') and (0, y', z' + xy') act by the same scalar for any  $x, y', z' \in \mathbb{F}_q$ . However, this implies  $\chi(xy') = 1$  for all  $x, y' \in \mathbb{F}_q$  which contradicts  $\chi \neq 1$ . Thus  $\sigma$  is induced from a representation  $\tau$  of some proper subgroup which includes D. If this subgroup is bigger than D we apply the same argument to show that  $\tau$  is induced from a smaller subgroup. Eventually, we get that  $\sigma = \operatorname{Ind}_D^H \chi'$  where  $\chi'|_Z = \chi$ . Since Hconjugates any such character  $\chi'$  to  $\chi$ , we obtain  $\sigma \simeq \rho_{\chi}$ .

Another option is to deduce irreducibility directly from the construction of induction, and uniqueness will follow from the dimension count (sum of squares of dimensions).

Explicit construction of  $\rho_{\chi}$ : (x, y, z) acts on  $F(\mathbb{F}_q)$  by

$$(x, y, z)f(x') = \chi(z)\chi(x'y)f(x'-x).$$

Here is another description of the Heisenberg group. The center of H is $\mathbb{F}_q$ (the corner
of the matrix). The other two entries $(x, y)$ form a 2-dimensional vector space V over
$\mathbb{F}_q$ , and on this vector space we define a form $\omega((x_1, y_1), (x_2, y_2)) := x_1y_2 - x_2y_1$ . It is
anti-symmetric and non-degenerate. Now, H is isomorphic to $\{v, z : v \in V, z \in \mathbb{F}_q\}$ with
group law given by

$$(v,z)(v',z') = (v+v',z+z'+\frac{1}{2}\omega(v,v'))$$

The isomorphism is given by

$$(x, y, z) \mapsto ((x, y), z + 2^{-1/2}xy).$$

Now, note that the group  $\operatorname{SL}_2(\mathbb{F}_q)$  of 2 by 2 matrices with determinant 1 acts on H by automorphisms through its action on  $V = \mathbb{F}_q^2$  and trivial action on Z. Thus, it maps  $\rho_{\chi}$  to itself. This defines a projective representation of  $SL_2(\mathbb{F}_q)$  on  $F(\mathbb{F}_q)$ . In fact, this representation can be lifted to an honest representation in the following way:

$$\begin{split} \rho_{\chi} \left( \begin{array}{cc} a & 0 \\ 0 & a^{-1} \end{array} \right) f(x) &= \left( \begin{array}{c} a \\ p \end{array} \right) f(a^{-1}x); \qquad \rho_{\chi} \left( \begin{array}{cc} 1 & 0 \\ b & 1 \end{array} \right) f(x) &= \chi \left( \begin{array}{c} 1 \\ 2 b x^2 \end{array} \right) f(x); \\ \rho_{\chi} \left( \begin{array}{c} 0 & 1 \\ -1 & 0 \end{array} \right) f &= -\frac{i^{(q-1)/2}}{\sqrt{q}} FT(f), \end{split}$$

where  $q = p^n$ ,  $p \neq 2$ , and  $\left(\frac{a}{p}\right)$  denotes the Legendre symbol, and FT denotes the Fourier transform.

Theorem 9.8 generalizes to representations of higher Heisenberg groups

$$H_n := (\mathbb{F}_q^n \times \mathbb{F}_q^n) \ltimes \mathbb{F}_q.$$

The Weil representation in this case is a projective representation representation of the symplectic group  $Sp_{2n}(\mathbb{F}_q)$ .

An analogous theory holds over the reals (instead of  $\mathbb{F}_q$ ), but the Weil representation stays a projective representation even for n = 2 and does not lift to an "honest" representation. The analog of Theorem 9.8 for  $H_n(\mathbb{R})$  is called the Stone-von-Neumann theorem.

# 10. Gelfand Pairs with applications to representations of symmetric groups

Let H < G be finite groups.

**Definition 10.1.** (G, H) is called a Gelfand pair if for every  $\pi \in Irr(G)$ , dim  $\pi^H \leq 1$ .

**Exercise 10.2.** (i)  $(G \times G, \Delta G)$  is a Gelfand pair.

(ii) If G is commutative then (G, H) is a Gelfand pair for any subgroup  $H \subset G$ .

(iii) If  $H \subset G$  is normal then (G, H) is a Gelfand pair if and only if G/H is commutative. (iv)  $(S_3, S_2)$  is a Gelfand pair.

**Lemma 10.3.** (G, H) is a Gelfand pair if and only if F(G/H) is a multiplicity free representation of G.

*Proof.* Note that  $F(G/H) = \operatorname{Ind}_{H}^{G}(F)$  and that  $\operatorname{Hom}_{H}(F, \pi) = \pi^{H}$ . Using the Frobenius reciprocity we have

$$\dim \pi^{H} = \langle F, \pi \rangle_{H} = \langle \operatorname{Ind}_{H}^{G}(F), \pi \rangle_{G}$$

**Corollary 10.4.**  $(S_{n+1}, S_n)$  is a Gelfand pair.

**Theorem 10.5.** (G, H) is a Gelfand pair if and only if the convolution algebra  $\mathcal{A}(G)^{H \times H}$  of functions on G that are constant on H double cosets is commutative.

For the proof we will need the following lemma.

**Lemma 10.6.** For any  $\pi \in Rep(G)$ ,  $End_F(\pi)^{H \times H} \cong End_F(\pi^H)$ .

*Proof.* Define  $e_H \in \mathcal{A}(G)^{H \times H}$  by

$$e_H(g) := \begin{cases} |H|^{-1} & g \in H\\ 0 & g \notin H \end{cases}$$

Then  $\pi(e_H)$  is a projector  $\pi \to \pi^H$ , and

$$\operatorname{End}_F(\pi)^{H \times H} = \{T \in \operatorname{End}_F(\pi) | e_H T = T = T e_H\}$$

Thus, any  $T \in \operatorname{End}_F(\pi)$  is determined by its restriction to  $\pi^H$  by

$$\pi \xrightarrow{\pi(e_H)} \pi^H \to \pi^H \subset \pi,$$

and any linear operator on  $\pi^H$  defines  $T \in \operatorname{End}_F(\pi)$  in this way.

*Proof of Theorem 10.5.* We have an isomorphism of algebras and of representations of  $G \times G$ :

$$\mathcal{A}(G) \cong \bigoplus_{\pi \in \operatorname{Irr}(G)} \operatorname{End}_F(\pi)$$

Passing to  $H \times H$ -fixed vectors we obtain

$$\mathcal{A}(G)^{H \times H} \cong \bigoplus_{\pi \in \operatorname{Irr}(G)} \operatorname{End}_F(\pi)^{H \times H} \cong \bigoplus_{\pi \in \operatorname{Irr}(G)} \operatorname{End}_F(\pi^H),$$

where the last equality is by the previous lemma.

Since  $\operatorname{End}_F(\pi^H)$  is commutative if and only if  $\pi^H$  is one-dimensional, the theorem follows.

**Remark 10.7.** This topic belongs to "relative representation theory", namely harmonic analysis on G/H (while the usual representation theory is harmonic analysis on G). We see that Gelfand property replaces Schur's lemma for relative representation theory. This explains why it is important.

That theorem is great, since this reduces a statement on representations that we maybe do not know yet to an explicit statement on commutativity of algebras, that we can check by a direct computation. However, Gelfand and (independently) Selberg invented a trick that allows to avoid even that computation.

**Lemma 10.8.** Suppose we have a bijection  $\sigma : G \to G$  s.t.  $\sigma(gh) = \sigma(h)\sigma(g)$ . Suppose also that  $\sigma$  preserves all H double-cosets. Then  $\mathcal{A}(G)^{H \times H}$  is commutative and thus (G, H) is a Gelfand pair.

*Proof.*  $\sigma$  acts as identity on  $\mathcal{A}(G)^{H \times H}$ , but changes order of multiplication. Thus, this algebra is commutative.

This lemma is obvious but very useful.

**Exercise 10.9.** Prove  $(S_{n+2}, S_n \times S_2)$  is a Gelfand pair.

One can formulate a stronger property.

**Definition 10.10.** (G, H) is called a strong Gelfand pair if for every  $\pi \in Irr(G)$  and  $\tau \in Irr(H), \langle \pi|_H, \tau \rangle \leq 1$ .

**Theorem 10.11.** The following are equivalent:

- (1) (G, H) is a strong Gelfand pair
- (2)  $(G \times H, \Delta H)$  is a Gelfand pair.
- (3) For any  $\tau \in \operatorname{Irr}(H)$ ,  $\operatorname{Ind}_{H}^{G}(\tau)$  is a multiplicity free representation of G.

(4) The convolution algebra  $\mathcal{A}(G)^{Ad(H)}$  of functions on G that are constant on Hconjugacy classes is commutative

*Proof.* (1)  $\Leftrightarrow$  (3) by Frobenius reciprocity. For (1)  $\Leftrightarrow$  (2) note that every irreducible representation of  $G \times H$  has the form  $\pi^* \otimes \tau \cong \operatorname{Hom}_F(\pi, \tau)$  where  $\pi \in \operatorname{Irr}(G)$  and  $\tau \in \operatorname{Irr}(H)$ . For (1)  $\Leftrightarrow$  (4) note that

$$\mathcal{A}(G \times H)^{\Delta H \times \Delta H} \cong \mathcal{A}(G)^{Ad(H)}.$$

Indeed, this isomorphism is restriction to  $G \times \{1\}$ . To see the invariance, let f' be the restriction of f. Then  $f'(hgh^{-1}) = f(hgh^{-1}, 1) = f(hg, h) = f(g, 1)$ . The equivalence (1)  $\Leftrightarrow (4)$  follows now from Theorem 10.5.

This theorem gives the following version of Gelfand - Selberg trick for strong Gelfand pairs:

**Lemma 10.12.** Suppose we have a bijection  $\sigma : G \to G$  that satisfies  $\sigma(gh) = \sigma(h)\sigma(g)$ and preserves all *H*-conjugacy classes, i.e. that  $\forall g \in G \exists h \in H \text{ s.t. } \sigma(g) = hgh^{-1}$ . Then  $\mathcal{A}(G)^{Ad(H)}$  is commutative and thus (G, H) is a strong Gelfand pair.

**Corollary 10.13.**  $(S_{n+1}, S_n)$  is a strong Gelfand pair.

Proof. Let  $\sigma(g) := g^{-1}$ . By the lemma, it is enough to show that every permutation is conjugate under  $S_n$  to its inverse. Conjugation under  $S_n$  is equivalent to reenumeration of the elements  $1, \ldots n$ . To invert the permutation, we decompose it into a product of cycles, and re-enumerate within each cycle to go backwards. One of the cycles will include the number n + 1 which we are not allowed to touch, but we can fix it and re-enumerate the rest.

Now we see that  $(S_n, S_{n-1})$  is actually a strong Gelfand pair. We use this in the following way. Take  $\pi \in \operatorname{Irr}(S_n)$ . Then  $\pi|_{S_{n-1}}$  is multiplicity free and thus has a canonical decomposition to a direct sum of irreducible subrepresentations. Take each of those subrepresentations, restrict it to  $S_{n-2}$  and so on. At the end, we get a decomposition of  $\pi$  to a direct sum of lines, i.e. a canonical bases up to multiplication by constants. It is very nice to have a canonical basis.

## 11. BRAUER INDUCTION THEOREM

Fix a finite group G.

**Definition 11.1.** Let  $C(G) \subset F(G)$  denote the subalgebra of conjugation-invariant functions, and  $R(G) \subset C(G)$  denote the subring generated by characters of representations.

For a subgroup  $E \subset G$  denote by  $\operatorname{Ind}_E^G : C(E) \to C(G)$  the linear map adjoint to restriction  $\operatorname{Res}_E^G : C(G) \to C(E)$  (see §7).

Note that for  $\tau \in Rep(E)$  we have  $\operatorname{Ind}_{E}^{G}(\chi_{\tau}) = \chi_{\operatorname{Ind}_{E}^{G}\tau}$ . Note also that  $\chi_{\pi\oplus\tau} = \chi_{\pi} + \chi_{\tau}$ and  $\chi_{\pi\otimes\tau} = \chi_{\pi} \cdot \chi_{\tau}$ . Thus one can view R(G) as the ring generated by the semi-ring of all representations of G.

**Exercise 11.2.** (1) R(G) is generated (over  $\mathbb{Z}$ ) by characters of irreducible representations.

(2) For any  $f \in R(G)$ , there exists representations  $\pi$  and  $\tau$  such that  $f = \chi_{\pi} - \chi_{\tau}$ .

**Definition 11.3.** Let p be a prime number. A finite group E is called p-elementary if  $E = C_m \times S$ , where  $C_m$  is a cyclic group of order m prime to p, and S is a p-group. E is called elementary if it is p-elementary for some p.

Our goal in this section is to prove

**Theorem 11.4** (Brauer Induction Theorem). The (additive) group R(G) is spanned by functions of the form  $\operatorname{Ind}_{E}^{G}(\chi)$ , where  $E \subset G$  is an elementary subgroup and  $\chi$  is a one-dimensional representation of E.

We will now make several reductions. First of all, define

**Exercise 11.5.** Demonstrate the Brower Induction Theorem for  $S_3$ . Namely, show how the character of each of the irreducible representations from the classification in section 3 can be expressed as an integer linear combination of inductions of characters of cyclic subgroups (in this case all elementary subgroups are cyclic).

$$I(G) := \sum_{\text{elementary } E} \operatorname{Ind}_{E}^{G}(R(E)) \subset R(G).$$

**Lemma 11.6.** The subset I(G) is an ideal and if  $1 \in I(G)$  then Theorem 11.4 holds.

*Proof.* To see that I(G) is an ideal let  $\pi \in Rep(G)$  and  $\sigma, \rho \in Rep(E)$ . Then

 $\mathrm{Ind}_E^G(\sigma \oplus \rho) = \mathrm{Ind}_E^G(\sigma) \oplus \mathrm{Ind}_E^G(\rho) \text{ and } \pi \otimes \mathrm{Ind}_E^G(\sigma) = \mathrm{Ind}_E^G(\pi|_E \otimes \sigma).$ 

Now, if  $1 \in I(G)$  then I(G) = R(G). On the other hand, every elementary E is nilpotent, thus (by Theorem 9.5), every representation of E is induced from a character of some subgroup  $E' \subset E$ . Thus R(G) is spanned by functions of the form  $\operatorname{Ind}_{E'}^G \chi$ .  $\Box$ 

**Definition 11.7.** A character system Q is a correspondence which assigns to every finite group H a subring Q(H) of the algebra C(H) such that for any pair H < H' we have

$$\operatorname{Ind}_{H}^{H'}(Q(H)) \subset Q(H'), \quad \operatorname{Res}_{H}^{H'}(Q(H')) \subset Q(H)$$

**Notation 11.9.** Let n be the order of G, and  $\mu_n \subset F$  be the group of n-th roots of 1. Let  $\Lambda$  denote the subring of F generated by  $\mu_n$ . Define a character system  $R_{\Lambda}$  by

$$R_{\Lambda}(H) := \Lambda \cdot R(H) \subset C(H).$$

Denote also

$$I_{\Lambda}(G) := \sum_{elementary \ E} \operatorname{Ind}_{E}^{G}(R_{\Lambda}(E)) \subset R_{\Lambda}(G).$$

**Lemma 11.10.** If  $1 \in I_{\Lambda}(G)$  then Theorem 11.4 holds.

For the proof we will need the following exercise.

**Exercise 11.11.** There exists a homomorphism of groups  $\nu : \Lambda \to \mathbb{Z}$  with  $\nu(1) = 1$ .

Proof of Lemma 11.10. Let  $\nu$  be as in the exercise. Notice that for any group H there exists a unique morphism of groups  $\nu_H : R_{\Lambda}(H) \to R(H)$  such that  $\nu(\lambda r) = \nu(\lambda)r, \forall \lambda \in \Lambda, r \in R(H)$ . This is true since R(H) has a basis  $\rho_1, \ldots, \rho_r$  of irreps, which stays a basis in C(H). Clearly the system of morphisms  $\nu_H$  is compatible with restriction and induction. In particular,  $\nu(I_{\Lambda}(G)) \subset I(G)$ . Thus, if  $1 \in I_{\Lambda}(G)$  then  $1 \in I(G)$  and Theorem 11.4 holds by Lemma 11.6.

Consider the character system  $Q(H) = R_{\Lambda}(H) \cap C_{\mathbb{Z}}(H)$  and define

$$J := \sum_{\text{elementary } E} \operatorname{Ind}_{E}^{G}(Q(E)) \subset I_{\Lambda}(G).$$

By Lemma 11.10 it is enough to show that  $1 \in J$ . To prove this we will use the following exercise.

**Exercise 11.12.** Let  $L \simeq \mathbb{Z}^r$  be a lattice, and A < B < L be subgroups. Suppose that  $A + p^N L = B + p^N L$  for all primes p and all positive integers N. Then A = B.

**Lemma 11.13.** Suppose that for every prime p there exists a function  $f \in J$  such that for every  $g \in G$ , f(g) is prime to p. Then Theorem 11.4 holds.

Proof. Since  $J \subset I_{\Lambda}(G)$ , Lemma 11.10 implies that if  $1 \in J$  then Theorem 11.4 holds. Let  $A := J, L := C_{\mathbb{Z}}(G)$  and B be the subgroup of L generated by A and 1. We have to show that A = B. Fix a prime number p and a positive integer N. Fix a function  $f \in J$  such that for every  $g \in G, f(g)$  is prime to p. Then  $p^N|(f^{p^{N-1}(p-1)} - 1)$ . Thus  $1 \in A + p^N L$  for every N and p, thus A = B and  $1 \in J$ .

From now on we fix a prime number p. To construct f as in Lemma 11.13 we will need the following definition and (difficult) exercise.

**Definition 11.14.** An element  $g \in G$  is called p-regular if ord(g) is prime to p and p-singular if ord(g) is a power of p.

**Exercise 11.15** (Jordan decomposition). Every element of G can be uniquely written as  $g = g_r g_s = g_s g_r$ , where  $g_r$  is p-regular and  $g_s$  is p-singular.

Note that the uniqueness of Jordan decomposition implies that the maps  $g \mapsto g_r$  and  $g \mapsto g_s$  are compatible with morphisms of groups. In particular, they map conjugacy classes into conjugacy classes.

**Lemma 11.16.** Suppose that for any p-regular element  $a \in G$  there exists a function  $f_a \in J$  such that for any  $x \in G$  with  $x_r$  conjugate to a,  $f_a(x)$  is prime to p, and for any  $x \in G$  with  $x_r$  not conjugate to a,  $f_a(x)$  is 0. Then there exists a function  $f \in J$  such that for every  $g \in G$ , f(g) is prime to p.

*Proof.* Take f to be the sum of the functions  $f_a$ , when a runs over a system of representatives of p-regular conjugacy classes.

Now fix a *p*-regular  $a \in G$ , set m := ord(a) and let D be the cyclic subgroup generated by a. Denote by Z(a) the centralizer of a, fix a *p*-Sylov subgroup S of Z(a) and set  $E = D \times S \subset Z(a)$ . It is easy to see that E is an elementary subgroup and the projection  $pr: E \to D$  coincides with the map  $x \mapsto x_r$ . Define a function  $\varphi \in C(E)$  by

$$\varphi(x) = 0$$
 if  $pr(x) \neq a$  and  $\varphi(x) = m$  if  $pr(x) = a$ .

**Lemma 11.17.** The function  $\varphi$  lies in Q(E).

*Proof.* First of all,  $\varphi$  takes integer values. Also, we can write it in the form

$$\varphi = m \sum_{\chi} \chi(a^{-1}) \chi',$$

where the sum is taken over all characters  $\chi$  of the group D and  $\chi'$  is the character of E defined by  $\chi' = \chi(pr(x))$ . Since the coefficients  $\chi(a^{-1})$  lie in  $\Lambda$  we see that  $\varphi \in R_{\Lambda}(E)$ , and thus  $\varphi \in Q(E)$ .

**Proposition 11.18.** The induction  $f_a := \operatorname{Ind}_E^G(\varphi)$  satisfies the conditions of Lemma 11.16.

Theorem 11.4 follows now from Lemma 11.17, Proposition 11.18, Lemma 11.16 and Lemma 11.13.

For the proof of Proposition 11.18 we will need one more exercise.

**Exercise 11.19.** Let Y be a finite set, t be a p-singular element in the group Sym(Y) of bijections of Y onto itself, and X be the set of fixed points of t. Then p divides |Y| - |X|.

Proof of Proposition 11.18. Let  $\varphi_1$  denote the extension of  $\varphi$  to G by 0. Then by the definition of  $\operatorname{Ind}_E^G : C(E) \to C(G)$  we have

(1) 
$$f_a(x) = \sum_{g \in G/E} \varphi_!(g^{-1}xg).$$

Let  $x \in G$ . If  $x_r$  is not conjugate to a then all the terms in the sum are 0 by definition of  $\varphi$ . Assume now that  $x_r$  is conjugate to a. Conjugating x we can assume  $x_r = a$ . It is clear that in the sum (1) above, non-zero contribution is given only by terms g with  $(g^{-1}xg)_r = a$ . Since  $(g^{-1}xg)_r = g^{-1}x_rg = g^{-1}ag$ , this implies  $g \in Z(a)$ . Thus

(2) 
$$f_a(x) = \sum_{g \in Z(a)/E} \varphi_!(g^{-1}xg).$$

Denote Y := Z(a)/E,  $X := \{g \in Y | g^{-1}x_s g \in S\}$ , where  $x_s$  is the singular part of x. From (2) we have  $f_a(x) = m|X|$ . It is left to show that |X| is prime to p. Note that an element  $g \in Y$  belongs to X if and only if  $x_s g \in gE$ . In other words, X is the fixed point set of the left action of  $x_s$  on Y. Since |Y| is prime to p, we get that so is |X|, by Exercise 11.19.

## 12. Representations of topological groups - basic notions

**Definition 12.1.** A topological group is a topological space which is also a group such that the multiplication map  $G \times G \to G$  and the inversion map  $G \to G$  are continuous.

We will consider only locally compact Hausdorff topological groups. Mostly just compact groups.

Examples of compact groups:

- (1) A finite group with discrete topology.
- (2) A circle. More generally:  $SO(n, \mathbb{R})$  or  $O(n, \mathbb{R})$ .

Examples of non-compact locally compact groups:  $\mathbb{R}$ ,  $\mathbb{C}$ ,  $\mathrm{SL}(2,\mathbb{R})$ ,  $\mathrm{GL}(n,\mathbb{R})$ ,  $O(n,\mathbb{C})$ . From now on we fix the ground field F to be  $\mathbb{C}$ .

**Definition 12.2.** A continuous representation of G is a linear representation of G in a Banach space V over  $\mathbb{C}$  such that the natural map  $G \times V \to V$  is continuous. A morphism of continuous representation is a bounded operator between the corresponding Banach spaces that commutes with the group action.

**Example 12.3.** The regular representation of any compact group K in the Banach space C(K) of continuous functions on K with the maximum norm.

We can also consider a representation in square-integrable functions, but for that we need a measure.

**Theorem 12.4** (Haar). There exists a unique, up to multiplicative constant, measure on G which is invariant under left shifts.

This measure is called the Haar measure and denoted by dg.

- **Corollary 12.5.** (1) There exists a character  $\Delta_G$  of G, called the modular character, such that  $R_g dg = \Delta_G(g) dg$ , where  $R_g$  denotes the right shift.
  - (2) If G is compact,  $\Delta_G$  is trivial.

Now we can define another regular representation:  $L^2(G)$ .

**Definition 12.6.** A representation is called irreducible if it has no continuous subrepresentations. In other words, every non-zero G-invariant subspace is dense.

For finite-dimensional continuous representations Schur's lemmas still hold, with the same proofs.

**Definition 12.7.** A unitary representation is a representation of G in a Hilbert space H with G-invariant scalar product. A representation is called unitarizable if it is isomorphic to a unitary representation.

**Example 12.8.**  $L^2(G)$  is a unitary representation, with the invariant scalar product

$$\langle f,h\rangle = \int_{g\in G} f(g)\overline{h(g)}dg$$

As in the finite group case, we have:

**Lemma 12.9.** Unitary representations are completely reducible.

From now on, let K be a compact group.

**Lemma 12.10.** For any representation  $(\pi, V)$  of K in a Banach space V we have a natural projection  $V \to V^K$  - by averaging.

**Lemma 12.11.** Any representation of K in a Hilbert space is unitarizable. In particular, every finite-dimensional representation is unitarizable.

However, C(K) (continuous functions with maximal norm) is not unitarizable.  $L^2(K)$  is unitarizable.

All the statements about finite-dimensional representations of finite groups that we had carry over to the compact case, except, of course, those involving the order of the group. Note that every finite-dimensional vector space has a unique structure of a Hilbert space.

## 13. The Peter-Weyl theorem and its corollaries

Let  $\operatorname{Irr}_f(K)$  denote the set of finite-dimensional irreducible representations. We will later show that these are all the irreducible representations.

The analog of the statement about the decomposition of the regular representation is the Peter-Weyl theorem.

Theorem 13.1 (Peter-Weyl).

$$L^2(K) \simeq \widehat{\bigoplus}_{\rho \in \operatorname{Irr}_f(K)} \operatorname{End}_{\mathbb{C}}(\rho)$$

The map in one direction is defined by matrix coefficients:  $M_{\rho,A}(g) = \text{Tr}(A\rho(g^{-1}))$ . The action map, in the other direction, is defined only on C(K):

$$\rho(f)v:=\int_G f(g)\rho(g)vdg$$

To define the action map, we do not need  $\rho$  to be finite-dimensional. I am not sure we will have time to prove this theorem.

In particular, characters of non-isomorphic irreducible representations are orthogonal.

**Corollary 13.2.**  $\bigoplus_{\rho \in \operatorname{Irr}_f(K)} \operatorname{End}(\rho)$  is dense in C(K).

This follows from the Stone-Weierstrass theorem:

**Theorem 13.3** (Stone-Weierstrass). Let K be a compact (Hausdorff) topological space and A < C(K) be a subalgebra with 1 that separates points and is closed under complex conjugation. Then A is dense in C(K).

This implies the previous corollary since matrix coefficients form an algebra: sum is given by direct sum, and product by tensor product.

**Definition 13.4.** Let  $(\pi, V)$  be a continuous representation of K and  $\rho$  be an irreducible finite-dimensional representation. Define a Banach space  $M_{\rho}(\pi) := \operatorname{Hom}_{K}(\rho, \pi)$  and a continuous representation  $\pi_{\rho} := \rho \otimes \operatorname{Hom}_{K}(\rho, \pi)$ . Note that  $\pi_{\rho}$  has a natural embedding to  $\pi$ .

The  $\pi_{\rho}$  could be zero.

**Exercise 13.5.** Let  $\rho \in \operatorname{Irr}_f(K)$  and embed  $\operatorname{End}_{\mathbb{C}}(\rho)$  into C(K) using the matrix coefficient map. Let  $\pi$  be any continuous representation of K. Then  $\pi_{\rho}$  is the image of  $\operatorname{End}_{\mathbb{C}}(\rho) \otimes \pi$  under the action map  $C(K) \otimes \pi \to \pi$  given by  $f \otimes v \to \pi(f)v$ .

From the last Corollary we obtain

**Corollary 13.6.**  $\bigoplus_{\rho \in \operatorname{Irr}_f(K)} \pi_{\rho}$  is dense in  $\pi$ .

Proof. We can assume that  $\pi$  is generated by one vector. Now, approximate the deltafunction by continuous functions, and act on them on this vector. More precisely, we first choose a  $\delta$ -sequence, *i.e.* a sequence  $f_n \in C(K)$  with  $\int f_n = 1$  for any n and  $\operatorname{Supp} f_n$ shrinking to  $\{1\} \subset K$ . Next, one can show that for any vector  $v, \pi(f_n)v \to v$ . This shows that the map  $C(K) \otimes \pi \to \pi$  given by  $f \otimes v \mapsto \pi(f)v$  has dense image. By the previous corollary, its restriction to  $(\bigoplus_{\rho \in \operatorname{Irr}_f(K)} \operatorname{End}_{\mathbb{C}}(\rho)) \otimes \pi$  still has a dense image. By the previous exercise, this image is  $\bigoplus_{\rho \in \operatorname{Irr}_f(K)} \pi_{\rho}$ .

**Corollary 13.7.** All irreducible representations of K are finite-dimensional.

Proof. Let  $\pi$  be an irrep of K. By the previous corollary,  $\pi_{\rho} \neq 0$  for some  $\rho \in \operatorname{Irr}_{f}(K)$ . Thus the multiplicity space  $M_{\rho}(\pi) := \operatorname{Hom}_{G}(\rho, \pi)$  does not vanish. Thus  $\rho$  embeds into  $\pi$ . Since  $\pi$  is irreducible, this embedding has a dense image. Since finite-dimensional subspaces of Banach spaces are closed, this implies that  $\pi \cong \rho$ , and thus  $\pi$  is finite-dimensional.

**Corollary 13.8.** For any  $\pi$  and irreducible  $\rho$ , we have a natural projection  $\pi \rightarrow \pi_{\rho}$ , given by  $\pi(\chi_{\rho})$ .

Thus, we have  $\bigoplus_{\rho \in \operatorname{Irr}(K)} \pi_{\rho} \subset \pi \subset \prod_{\rho \in \operatorname{Irr}(K)} \pi_{\rho}$ . This implies

**Corollary 13.9.** If  $(\pi, H)$  is a unitary representation then  $\pi = \widehat{\bigoplus}_{\rho \in \operatorname{Irr}(K)} \pi_{\rho}$ .

However, for Banach space representations we do not have such a decomposition, even for  $C(S^1)$ .

One can define induction  $\operatorname{Ind}_{H}^{G}(\pi)$  in a similar to the finite group case: consider *H*-equivariant continuous functions from *G* to  $\pi$ . If  $\pi$  is unitary, one can also consider a "unitary induction": square-integrable functions from *G* to  $\pi$ . This will be a unitary representation. The proper notion of equivariant sheaf is missing in general, but Mackey theory holds for unitary inductions of unitary representations.

If G/H is not compact, one can also consider a "small induction": continuous functions from G to  $\pi$  with compact support modulo H. This case is quite difficult to study, so people prefer to consider co-compact subgroups, for example the subgroup of uppertriangular matrices in  $GL(n, \mathbb{R})$ .

If H is compact, one has a nice theory of Gelfand pairs. If not, one can also say something, but it becomes very delicate. I have several results in this case.

# 14. HARMONIC ANALYSIS ON THE SPHERE AND AN APPLICATION TO INTEGRAL GEOMETRY

Let  $S^{n-1} \subset \mathbb{R}^n$  denote the sphere of radius 1 and center at the origin. The group SO(n) of rotations in  $\mathbb{R}^n$  acts transitively on  $S^{n-1}$ , and the stabilizer of a point is SO(n-1). Harmonic analysis on  $S^{n-1}$  means the study of  $L^2(S^{n-1})$  as a representation of SO(n). The case n = 2 is the Fourier analysis, and in this section we generalize it. We will find the decomposition of  $L^2(S^{n-1})$  to irreducible representations and use it to prove the following theorem.

**Theorem 14.1.** Every closed convex central-symmetric body in  $\mathbb{R}^n$  is uniquely determined by the areas of its projections on all hyperplanes.

This theorem is equivalent to the following one:

**Theorem 14.2.** Every closed convex central-symmetric body in  $\mathbb{R}^n$  is uniquely determined by the areas of its intersections with all hyperplanes (passing through the origin).

Let us show their equivalence.

**Definition 14.3.** Call two closed convex central-symmetric bodies  $K, K' \subset \mathbb{R}^n$  dual if

$$\sup_{y \in K'} \langle x, y \rangle \le 1 \Leftrightarrow x \in K$$

where  $\langle x, y \rangle$  denotes the standard scalar product in  $\mathbb{R}^n$ . Note this condition is equivalent to the condition

$$\sup_{x \in K} \langle x, y \rangle \le 1 \Leftrightarrow y \in K'$$

The equivalence now follows from the following exercise.

**Exercise 14.4.** Let  $\mathbb{R}^{n-1} \subset \mathbb{R}^n$  be a hyperplane and p denote the projection to  $\mathbb{R}^{n-1}$ . Show that if K is dual to K' in  $\mathbb{R}^n$  then  $\mathbb{R}^{n-1} \cap K$  is dual to p(K') in  $\mathbb{R}^{n-1}$ .

Let us now prove Theorem 14.2. For simplicity, take n = 3 and denote  $S := S^2 \subset \mathbb{R}^3$ . For any convex central-symmetric body K, define a function  $f_K$  on S by  $f_K(x) = 1/2r_x^2$ , where r is the length of the segment which is the intersection of K with the line passing through the origin and x. Note that  $f_K$  is an even function which completely determines K.

**Exercise 14.5.** Let  $P \subset \mathbb{R}^3$  be a plane. Then

$$Area(K \cap P) = \int_{S \cap P} f_K(x) dx$$

Thus, Theorem 14.2 follows from the statement that an even function on the sphere is uniquely determined by its integrals on all the big circles. Denote by  $L_+(S)$  the subrepresentation consisting of even functions, and by J the morphism  $L^2(S) \to L^2_+(S)$  given by

$$Jf(x) := \int_{C_x} f(y) dy,$$

where  $C_x$  denotes the big circle with epicenter in x. By the Peter-Weyl theorem and Schur's lemmas, we know that  $L_+(S)$  is a completed direct sum or irreducible representations and J is scalar on each summand. Let us find this decomposition.

Denote by  $P_n$  the space of all functions on S that are restrictions of homogeneous polynomials of degree n in  $\mathbb{R}^3$ , with the addition of the zero function.

**Exercise 14.6.**  $P_n \subset P_{n+2}$  and dim  $P_n = (n+1)(n+2)/2$ .

Let  $H_n$  denote the orthogonal complement to  $P_{n-2}$  in  $P_n$  (under the natural scalar product in  $L^2(S)$ ).

**Remark 14.7.** One can identify  $H_n$  with the space of homogeneous harmonic polynomials of degree n in  $\mathbb{R}^3$ . Harmonic means that the vanish under the Laplace operator

$$\Delta = \frac{\partial^2}{\partial x_1^2} + \frac{\partial^2}{\partial x_2^2} + \frac{\partial^2}{\partial x_3^2}$$

Thus, the functions in  $H_n$  are called 'spherical harmonics'.

However, we will not use this identification.

Lemma 14.8.

$$L^{2}(S) = \widehat{\bigoplus}_{n=0}^{\infty} H_{n}, \quad L^{2}_{+}(S) = \widehat{\bigoplus}_{n=0}^{\infty} H_{2n}$$

*Proof.* Clearly,  $H_n$  are invariant, orthogonal and their sum is the union of all  $P_n$ . This union separates points of S, thus, by the Stone-Weierstrass theorem, is dense in C(S) and thus in  $L^2(S)$ . Clearly,  $H_n \subset L_+(S)$  if and only if n is even.

Let us now show that  $H_n$  is irreducible. Let  $SO(2) \subset SO(3)$  denote the subgroup of rotations with respect to the z axis and identify S = SO(3)/SO(2).

**Exercise 14.9.** Show that  $\dim(P_n)^{SO(2)} = [n/2] + 1$ 

*Hint.* Show that  $(P_n)^{SO(2)}$  is spanned by  $z^n$ ,  $z^{n-2}(x^2+y^2), ..., z^{n-2[n/2]}(x^2+y^2)^{[n/2]}$ .  $\Box$ 

Now, note that by Frobenius reciprocity every irreducible subrepresentation of  $L^2(S)$  has an SO(2)-invariant vector. This proves

**Lemma 14.10.**  $H_n$  are irreducible.

This finishes the harmonic analysis problem. To prove the integral geometry theorem, it is left to compute the eigenvalues of J. For this we can pick any function in each  $H_n$ that is convenient to us. We choose the SO(2)-invariant function, which is also called the *n*-th Legandre polynomial:

$$L_n(z) = \frac{d^n}{dz^n}((z^2 - 1)^n).$$

Exercise 14.11.  $L_n \in H_n$ .

*Hint.* Show that for any SO(2)-invariant function f on S we have  $\int_S f(x)dx = \int_{-1}^1 2\pi z f(z)dz$ , deduce that  $\langle f_1, f_2 \rangle = \int_{-1}^1 2\pi z f_1(z)\overline{f_2(z)}dz$  and use integration by parts to show that  $L_n(z)$  is orthogonal to all polynomials in z of degree smaller than n.  $\Box$ 

Now, let  $\lambda_n$  be the eigenvalue of J on  $H_n$ . Then  $JL_n = \lambda_n L_n$  and in particular  $JL_n(1) = \lambda_n L_n(1)$ . In addition, from the definitions of J and of  $L_n$  we see that  $JL_n(1) = 2\pi L_n(0)$ . Altogether, we get

$$\lambda_n L_n(1) = 2\pi L_n(0).$$

The values  $L_n(1)$  and  $L_n(0)$  are easy to compute:

$$L_n(1) = -\frac{d^n}{dz^n} ((z-1)^n (z+1)^n)|_{z=1} = n! 2^n,$$
  
$$L_{2k+1}(0) = 0, \quad L_{2k}(0) = (2k)! \binom{2k}{k}.$$

Thus,

$$\lambda_{2k+1} = 0$$
 and  $\lambda_{2k} = 2\pi \frac{(2k-1)!!}{(2k)!!}$ .

This gives an explicit formula for the inverse of J on  $L_{+}(S)$  and proves Theorem 14.2.

**Remark 14.12.** Theorem 14.2 and the proof we discussed generalizes to higher dimensions. However, for  $S^2 \subset \mathbb{R}^3$  there is one special property: every irreducible representation of SO(3) is isomorphic to one of the  $H_n$ . Thus we have a classification of all irreducible representations of SO(3). Also, we get that  $L^2(S^2)$  includes each irreducible representation exactly one time (unlike  $L^2(SO(3)$  which includes each  $\pi \dim \pi$  times). Such representations are called "models". 15. Proof of the Peter-Weyl Theorem

Recall that the theorem states

$$L^{2}(K) \simeq \bigoplus_{\rho \in \operatorname{Irr}_{f}(K)} \operatorname{End}_{\mathbb{C}}(\rho)$$

The map in one direction is defined by matrix coefficients:  $M_{\rho,A}(g) = \text{Tr}(A\rho(g^{-1}))$ . The action map, in the other direction, is defined only on C(K):

$$\rho(f)v := \int_K f(g)\rho(g)vdg$$

Let  $(\rho, V)$  be a finite-dimensional continuous irreducible representation of K and let  $C_{\rho} \subset L^2(K)$  denote the image of the matrix coefficients map  $M_{\rho} : \operatorname{End}_{\mathbb{C}}(V) \to C(K)$ . Note that  $\operatorname{End}_{\mathbb{C}}(V)$  is an irreducible representation of  $K \times K$ , thus  $M_{\rho}$  has no kernel and thus defines an isomorphism  $\operatorname{End}_{\mathbb{C}}(V) \simeq C_{\rho}$ . Note also that as a representation of  $K, C_{\rho}$  is isotypic of type  $\rho$  and thus  $C_{\rho}$  and  $C_{\sigma}$  are orthogonal for  $\rho \ncong \sigma$ . Up to some analytic details that we will not deal with in this course, it is left to show that  $\bigoplus_{\rho \in \operatorname{Irr}_f(K)} C_{\rho}$  is dense in  $L^2(K)$ . We do that in several steps.

# **Lemma 15.1.** Every subrepresentation $W \subset L^2(K)$ isomorphic to $\rho$ lies inside $C_{\rho}$ .

*Proof.* We can suppose that all the functions in W have value at  $1 \in G$ . Indeed, every  $L^2$  function has a value almost everywhere. Choose a basis for W. It will be finite, and thus there will be a point  $k \in K$  at which all the basis elements have a value. Acting by  $k^{-1}$  we obtain another basis, consisting of functions that have values at 1. Thus, so do all their linear combinations, *i.e.* all the vectors in W.

Now, evaluation at 1 defines a linear functional  $\delta_1 \in W^*$ . Consider  $f \otimes \delta_1 \in \text{End}_{\mathbb{C}}(W) \simeq$ End<sub> $\mathbb{C}$ </sub>( $\rho$ ). Explicitly, it is given by  $(f \otimes \delta_1)(h) := h(1)f \in W$ . Note that  $f = M_{f \otimes \delta}$ . Indeed,

$$M_{f\otimes\delta_1}(g) = \operatorname{Tr}(f\otimes\delta_1\rho(g^{-1})) = \langle\delta_1,\rho(g^{-1})f\rangle = (\rho(g^{-1})f)(1) = f(g)$$

Thus  $f \in C_{\rho}$ .

**Lemma 15.2.** Every closed non-zero subspace of  $L \subset L^2(K)$  which is invariant under  $K \times K$ , has a non-zero finite-dimensional subspace which is invariant under the left action of K.

Those two lemmas imply that  $\bigoplus_{\rho \in \operatorname{Irr}_f(K)} C_{\rho}$  is dense in  $L^2(K)$ . Indeed, suppose it is not dense. Then it has a non-zero orthogonal complement L'. By Lemma 15.2, L' has a finite-dimensional subrepresentation W. Then W has a subrepresentation  $(\rho, V)$  for some  $\rho \in \operatorname{Irr}_f(K)$ . By Lemma 15.1,  $V \subset C_{\rho}$ , but by definition V is orthogonal to  $C_{\rho}$  contradiction. This completes the proof of the theorem.

We still need to prove Lemma 15.2. This is the hardest lemma in this section, and it uses spectral theory for compact self-adjoint operators on Hilbert spaces. That is, we will use the following definition and theorems.

**Definition 15.3.** Let B be a Banach space, and  $A : B \to B$  be a continuous linear operator. A is called compact if the image of the unit ball under A is compact.

**Definition 15.4.** Let K be a compact topological space, and  $F \in C(K \times K)$ . Then F defines an continuous operator  $A_F : L^2(K) \to L^2(K)$  by  $A_F(h)(k) := \int_{x \in K} F(k, x)h(x)dx$ . We say that the operator  $A_F$  is given by the kernel F.

**Theorem 15.5.** For any kernel F, the operator  $A_F$  is compact.

- **Theorem 15.6** (Fredholm). (i) For any compact operator on a Banach space, all eigenspaces corresponding to non-zero eigenvalues are finite-dimensional.
- (ii) Any compact self-adjoint operator on a Hilbert space H is diagonalizable. That is, the space decomposes to a completed direct sum of eigenspaces.

The reason for (i) is that on infinite-dimensional spaces, unit balls are not compact, and thus non-zero scalar operators are not compact operators. Recall that the invariant scalar product on  $L^2(K)$  is given by

$$\langle f,h\rangle = \int_{g\in K} f(g)\overline{h(g)}dg$$

Proof of Lemma 15.2. Consider the right action of K on  $L^2(K)$ . Since the left and the right actions commute, this defines an intertwining operator  $R(f) : L^2(K) \to L^2(K)$  for any  $f \in C(K)$ . Explicitly:

$$(R(f)h)(x) = \int_{g \in K} f(g)h(xg)dg = \int_{g \in K} f(x^{-1}g)h(g)dg$$

This operator is compact, since it is given by a compact kernel  $F(x,g) = f(x^{-1}g)$ . The adjoint operator is  $R(f^*)$  where  $f^*(g) = \overline{f(g^{-1})}$ . Since L is right K-invariant, it is also invariant under R(f). Now, for any  $v \in L$  we can find  $f \in C(K)$  such that  $f = f^*$  and  $R(f)v \neq 0$ . Then R(f) is compact and self-adjoint and thus L can be decomposed to a completed direct sum of eigenspaces of R(f), and all eigenspaces except the kernel are finite-dimensional. Since R(f) is non-zero, there exists a non-zero finite-dimensional eigenspace. It is invariant under the left action of K, since this action commutes with R(f).

16. Representations of  $\mathrm{SL}_2(\mathbb{F}_q)$ ,  $\mathrm{GL}_2(\mathbb{F}_q)$ , and  $\mathrm{GL}_n(\mathbb{F}_q)$ 

## 16.1. Principal series representations - Solutions of Exercise 9.3.

**Exercise 16.1.** Let  $G := \operatorname{SL}(2, \mathbb{F}_q)$  be the group of  $2 \times 2$  matrices with entries in  $\mathbb{F}_q$  and determinant 1. Let  $X := \mathbb{F}_q^2 \setminus 0$ . Consider the representation of G on F(X), where F is some algebraically closed field of characteristic zero (e.g.  $F = \mathbb{C}$ ). For any character  $\chi$  of the multiplicative group  $\mathbb{F}_q^{\times}$ , let

$$V_{\chi} := \{ f \in F(X) \ s.t. \ f(\lambda x) = \chi(\lambda) f(x) \}$$

Let  $\Delta$  denote the standard anti-symmetric bilinear form on  $F^2$ . Define a linear operator  $T: F(X) \to F(X)$  by

$$Tf(x) := \sum_{\{y \ s.t. \ \Delta(x,y)=1\}} f(y).$$

Show that

(a)  $V_{\chi}$  is a subrepresentation of F(X) and  $F(X) = \bigoplus_{\chi} V_{\chi}$ 

- (b) T is an intertwining operator (=morphism of representations) and T maps  $V_{\chi}$  into  $V_{\gamma^{-1}}$ .
- (c)  $\langle F(X), F(X) \rangle = 2q 2.$
- (d)  $V_{\chi}$  is irreducible if  $\chi \neq \chi^{-1}$  and is a sum of 2 non-isomorphic irreducible components if  $\chi = \chi^{-1}$ . Find the 2 components. Note that if q is odd there are only two  $\chi$  with  $\chi = \chi^{-1}$ , and if q is even there is only one:  $\chi = 1$ .
- (e)  $V_{\chi} \simeq V_{\psi}$  if and only if  $\chi = \psi^{\pm 1}$ .
- (f) T is invertible
- (q) The sum of squares of the dimensions of the irreducible representations we found is q(q-1)(q+3)/2, which is approximately |G|/2.

The  $V_{\chi}$  are called principal series representations and T is called the standard intertwining operator.

Solution sketch. (a) This is the decomposition to eigenspaces of the scaling operator. Since this operator commutes with the action of G, the eigenspaces are invariant.

- (b) a direct computation.
- (c) By Lemma 3.5,  $\langle F(X), F(X) \rangle = \#$  of G-orbits on  $X \times X$ . Since G preserves the anti-symmetric form  $\langle , \rangle$  by definition, this form is constant on every orbit. For every  $c \in \mathbb{F}_q$ , let  $X_c = \{(x, y) \in X \times X | \langle x, y \rangle = c\}$ . It is easy to check that for  $c \neq 0$  it is a single orbit, while  $X_0 = \{(x, dx) \in X \times X \mid d \in \mathbb{F}_q^{\times}\}$ , and thus consists of q-1 orbits. Altogether we get 2q - 2 orbits.
- (d) We have  $\langle V_{\chi}, V_{\chi} \rangle \geq 1 \,\forall \chi$ . It is easy to see that T does not vanish on any  $V_{\chi}$  and that  $T \neq \text{Id if } \chi = \chi^{-1}$ . Thus for such  $\chi$  we have  $\langle V_{\chi}, V_{\chi} \rangle \geq 2$ , and for other  $\chi$  we have  $\langle V_{\chi}, V_{\chi^{-1}} \rangle \geq 1$ . Since we have

$$\langle F(X), F(X) \rangle = \bigoplus_{\chi, \psi} \langle V_{\chi}, V_{\psi} \rangle = 2(q-1),$$
$$\begin{cases} 1 \quad \chi \neq \chi^{-1}, \psi \in \{\chi, \chi^{-1}\} \end{cases}$$

necessarily  $\langle V_{\chi}, V_{\psi} \rangle = \begin{cases} 2 & \psi = \chi = \chi^{-1} \\ 0 & \psi \neq \chi^{\pm 1} \end{cases}$ 

- (e) Again, if we would have  $\langle V_{\chi}, V_{\psi} \rangle > 0$  for  $\chi \neq \psi^{\pm 1}$ ,  $\langle F(X), F(X) \rangle$  would be bigger. (f) We check this separately on each  $V_{\chi}$ . If  $\chi \neq \chi^{-1}$  this follows from Schur's lemma. If  $\chi = \chi^{-1}$ , we compute that  $T^2 = q\chi(-1)$ .
- (g) We have dim  $V_{\chi} = (q^2 1)/(q 1) = q + 1$  for every  $\chi$ . If  $\chi \neq \chi^{-1}$  this representation is irreducible. If  $\chi = 1$  then  $V_{\chi} = F \oplus V_{\chi}^0$ . Otherwise, from  $T^2 = q\chi(-1)$  we obtain that Tr(T) = 0, and thus  $V_{\chi}$  decomposes into two irreducible representations of equal dimensions. Summing all the squares we obtain q(q-1)(q+3)/2 (there was a typo in the assignment).

Let us note that X = G/U, where  $U = \left\{ \begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix} \right\}$ , and thus  $F(X) \cong \operatorname{Ind}_U^G F$ . Furthermore,  $V_{\chi} \cong \operatorname{Ind}_{B}^{G} \chi$ , where  $B = \left\{ \begin{pmatrix} t & * \\ 0 & t^{-1} \end{pmatrix} \right\}$ , and  $\chi$  defines a character of B by applying it to t.

The remaining irreducible representations cannot be imbedded into  $F(X) \cong \operatorname{Ind}_U^G F$  and thus, by Frobenius reciprocity, satisfy  $\pi^U = 0$ . Such representations are called cuspidal.

Let us describe them we switch in the similar case  $G = GL_2(\mathbb{F}_q)$ .

16.2. On representations of  $\operatorname{GL}_2(\mathbb{F}_q)$ . In this case  $B = \left\{ \begin{pmatrix} t & * \\ 0 & s \end{pmatrix} \right\}$ , while U is the same. The principal series representations are now described by characters  $\chi$  of B that in turn are given by pairs of characters of  $\mathbb{F}_q^{\times}$ . If the two characters in the pair are not the same then  $V_{\chi} := \operatorname{Ind}_B^G \chi$  is irreducible, and otherwise it is the sum of a 1-dimensional representation and another one called the Steinberg representation. All other irreducible representations are again called cuspidal.

From an exercise similar to 9.3 we get

**Theorem 16.2.** The irreducible representations of G are:

(1) The q-1 1-dim rep-s  $\psi \circ \det, \ \psi : \mathbb{F}_q^{\times} \to F^{\times}$ 

(2) The q-1 q-dim rep-s  $St \otimes \psi \circ \det, \ \psi : \mathbb{F}_q^{\times} \to F^{\times}$ 

- (3) the (q-1)(q-2)/2 principal series representations, each of dimension (q+1).
- (4) The  $(q^2 q)/2$  irreducible cuspidal representations, each of dimension q 1.

The fact that cuspidal representations have dimension q - 1 follows from the next lemma.

**Lemma 16.3.** The restriction to B of any irreducible cuspidal representation is isomorphic to  $\operatorname{Ind}_{UZ}^B \psi \chi$ , where Z is the center of G (scalar matrices),  $\chi$  is a character of Z, and  $\psi$  is a non-trivial character of U.

This follows from the next exercise.

**Exercise 16.4.** The following is a complete classification of the irreducible representations of B:

- (a)  $(q-1)^2$  one-dimensional representations.
- (b) q-1 representations of dimension q-1 of the form  $\operatorname{Ind}_{UZ}^{B} \psi \chi$  one for each character  $\chi$  of Z.

*Hint.* B is c-solvable.

**Corollary 16.5.** All the cuspidal representations lie in  $\operatorname{Ind}_{U}^{G} \psi$ .

The representation  $\operatorname{Ind}_U^G \psi$  is called Gelfand-Graev model. For *p*-adic groups it is called Whittaker model. One can show that it is multiplicity free using a slight modification of the Gelfand pairs technique. But it includes not only cuspidal representations. It also includes all the Steinberg representations, and all the irreducible principal series representations.

Let us describe the cuspidals further. Identify  $V \cong \mathbb{F}_q^2$  with  $\mathbb{F}_{q^2}$ . Let  $T := \mathbb{F}_q^2 \setminus \{0\}$ . Then multiplication by any  $t \in T$  is a linear operator on V. This defines an embedding of T into G. Let  $\psi$  be a non-trivial character of U, and let  $\phi$  be a character of T with  $\phi \neq \overline{\phi}$ , where  $\overline{\phi}$  is its Galois image. Let Z denote the center of G.

**Theorem 16.6.** (1)  $\operatorname{Ind}_{ZU}^G(\phi|_Z)\psi \cong \operatorname{Ind}_T^G \phi \oplus \pi_{\phi}$  for an irreducible q-1-dimensional cuspidal representation  $\pi_{\phi}$  of G.

(2)  $\pi_{\phi} \cong \pi_{\phi'} \iff \phi' \in \{\phi, \overline{\phi}\}$ 

(3) The  $\{\pi_{\phi}\}$  are all the irreducible cuspidal representations.

*Proof.* (3) follows from (1) and (2). To prove them let  $\chi_{\phi}$  denote the difference of characters of  $\operatorname{Ind}_{ZU}^G \phi|_Z \psi$  and  $\operatorname{Ind}_T^G \phi$ . A direct computation shows that  $\langle \chi_{\phi}, \chi_{\phi} \rangle = 1$ ,  $\chi_{\phi}(1) = q-1$ , and  $\langle \chi_{\phi}, \chi_{\phi'} \rangle = 1 \iff \phi' \in \{\phi, \overline{\phi}\}$ .

16.3. On irreducible representations of  $\operatorname{GL}_n(\mathbb{F}_q)$ . For  $G = \operatorname{GL}_n(\mathbb{F}_q)$ , one defines standard parabolic subgroups to be the subgroups consisting of block upper-triangular matrices for blocks of given size. The subgroup of just upper-triangular matrices is called B. It decomposes B = HU, where H =diagonal matrices, and U=matrices with 1 on the diagonal. A representation is called cuspidal if it cannot be embedded into  $\operatorname{Ind}_P^G \pi$  where P is standard parabolic, and  $\pi$  is a representation of P on which the subgroup  $N \subset P$ , consisting of matrices with Id in the blocks, acts trivially.

All irreducible representations are described by "cuspidal data": Let P = MN, where M = block-diagonal matrices. Then  $M = \operatorname{GL}_{n_1} \times \cdots \times \operatorname{GL}_{n_k}$ . Cuspidal data is this M plus irreducible cuspidal representations  $\pi_i$  of  $\operatorname{GL}_{n_i}$ .

**Exercise 16.7.** Let  $P_n = \operatorname{GL}_{n-1}(\mathbb{F}_q) \ltimes \mathbb{F}_q^{n-1}$ , and let  $\pi$  be an irreducible cuspidal representation of G. Then  $\pi|_{P_n} \cong \operatorname{Ind}_U^{P_n} \psi$ , where  $\psi$  is defined by summing the elements just above the diagonal.

I explained this orally using this picture:

$$\left(\begin{array}{ccccc} * & * & * & * & * \\ * & * & * & * & * \\ 0 & 0 & 1 & \underline{*} & * \\ 0 & 0 & 0 & 1 & \underline{*} \\ 0 & 0 & 0 & 0 & 1 \end{array}\right)$$

and the fact that  $\pi_N = 0$  for any parabolic subgroup P = MN.

**Corollary 16.8.** Any irreducible cuspidal  $\pi$  lies in  $\operatorname{Ind}_{U}^{G} \psi$ 

The representation  $\operatorname{Ind}_U^G \psi$  is called the Whittaker model. It is multiplicity free. This can be shown using the technique of Gelfand pairs, slightly modified to take are of the character  $\psi$ . This character helps - we need the fact that its centralizer in H is only Z.

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