Workshop in Verification of Distributed Protocols

Mooly Sagiv, Oded Padon

08-March-2018

http://www.cs.tau.ac.il/~odedp/workshop18/ http://microsoft.github.io/ivy/

Administration

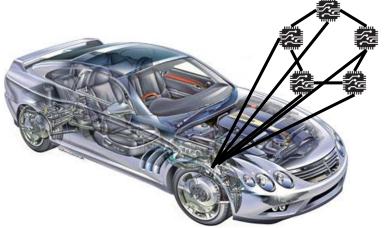
- Start-off meeting (today)
- Project teams:
 - 2-3 students
 - Each team will take different a project, and work independently during the semester
 - Meet with Oded / Mooly as needed
- If needed, we'll have more workshop meeting during the semester
- 14/6 project presentation meeting
 - Each team will present project
 - Project must be finished and approved by Oded / Mooly before

Possible Projects

- Use Ivy to verify any distributed / shared memory algorithm
- Paxos variants
 - Disk Paxos, Generalised Paxos, EPaxos (see http://paxos.systems/variants.html for ideas)
 - Prove reconfiguration / failure recovery / log truncation / liveness
- Mutual Exclusion Algorithms
 - Knuth's Algorithm, Lamport's Bakery, Patterson, ...
 - Prove safety and liveness
- Blockchain algorithms
 - Algorand, HoneyBadgerBFT, Bitcoin-NG, ...
- Improve Ivy
 - Experiment with other SMT solvers (e.g. iProver, CVC4, Vampire, SPASS)

Why verify distributed protocols?

- Distributed systems are everywhere
 - Safety-critical systems
 - Cloud infrastructure
 - Blockchain
- Distributed systems are notoriously hard to get right
 - Even small protocols can be tricky
 - Bugs occur on rare scenarios
 - Testing is costly and not sufficient



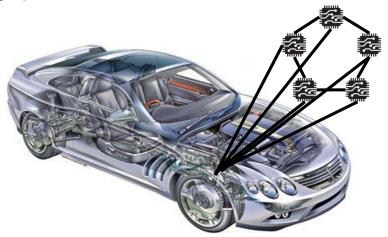


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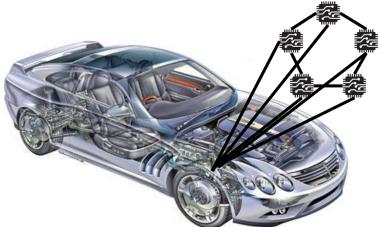
SIGCOMM'01
 Chord: A Scalable Peer-to-Peer Lookup Protocol for Internet Applications
 Ion Stoica, Robert Morris, David Liben-Nowell, David R. Karger, M. Frans Kaashoek, Frank Dabek, and Hari Balakrishnan, Member, IEEE
 Attractive features of Chord include its simplicity, provable correctness, and provable performance even in the face of concurrent node arrivals and departures. It continues to func-

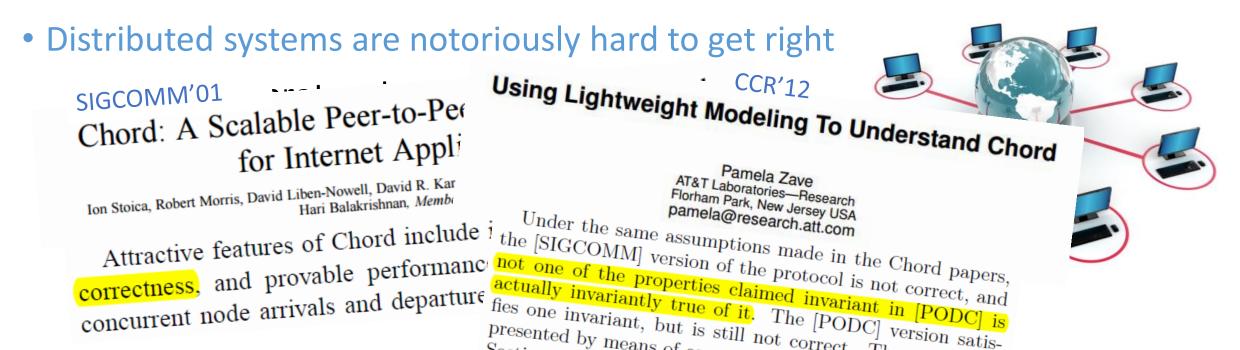




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Best Paper Award Zyzzyva: Speculative Byzantine Fault Tolerance SOSP'07 arXiv:1712.01367v1 [cs.DC] 4 Dec 2017 Ramakrishna Kotla, Lorenzo Alvisi, Mike Dahlin, Allen Clement, and Edmund Wong Revisiting Fast Practical Byzantine Fault Tolerance Zvzzyva is a state machine replication protocol based on rotocols: (1) agreement, (2) view change, and (3)Ittai Abraham, Guy Gueta, Dahlia Malkhi ment protocol orders requests for exe-VMware Research we change protocol coordinates CACM'08 ACM Transactions on Computer Systems '09 with: Lorenzo Alvisi (Cornell), Rama Kotla (Amazon), Zyzzyva: Speculative Byzantine Jean-Philippe Martin (Verily) We now proceed to demonstrate that the view-change mechanism in Zyzzyva does not guarantee safety. Fault Tolerance LORENZO ALVISI, MIKE DAHLIN, ALLEN CLEMENT, and EDMUND WONG RAMAKRISHNA KOTLA Microsoft Research, Silicon Valley The University of Texas at Austin

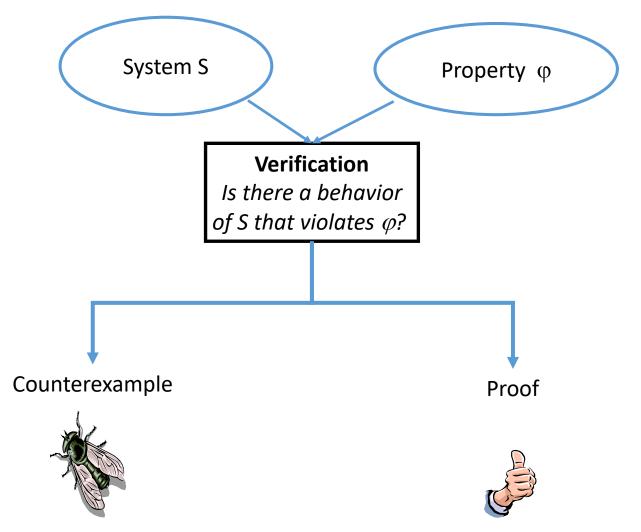
Proving distributed systems is hard

- Amazon [CACM'15] uses TLA+ for testing protocols, but no proofs
- IronFleet [SOSP'15] verification of Multi-Paxos in Dafny (3.7 person-years)
- Verdi [PLDI'15] verification of Raft in Coq (50,000 lines of proofs)

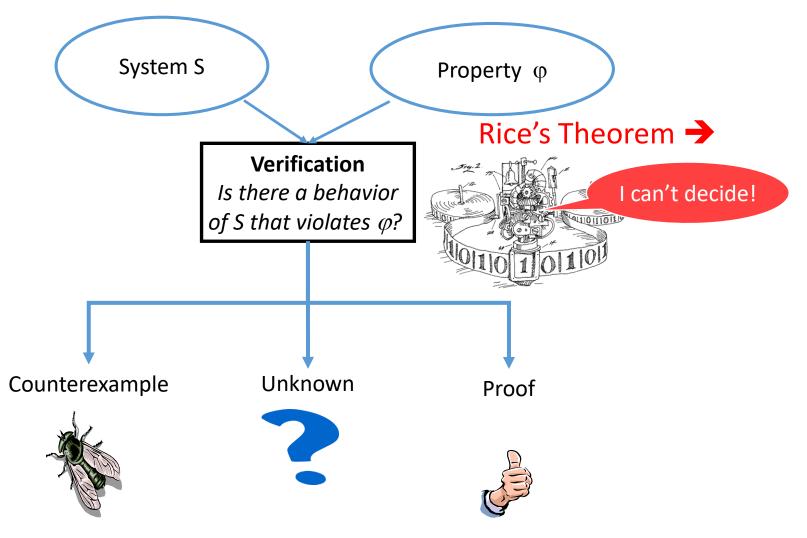
Our goal: reduce human effort while maintaining flexibility Our approach: decompose verification into decidable problems

[CACM'15] Newcombe et al. How Amazon Web Services Uses Formal Methods
 [SOSP'15] Hawblitzel et al. IronFleet: proving practical distributed systems correct
 [PLDI'15] Wilcox et al. Verdi: a framework for implementing and formally verifying distributed systems

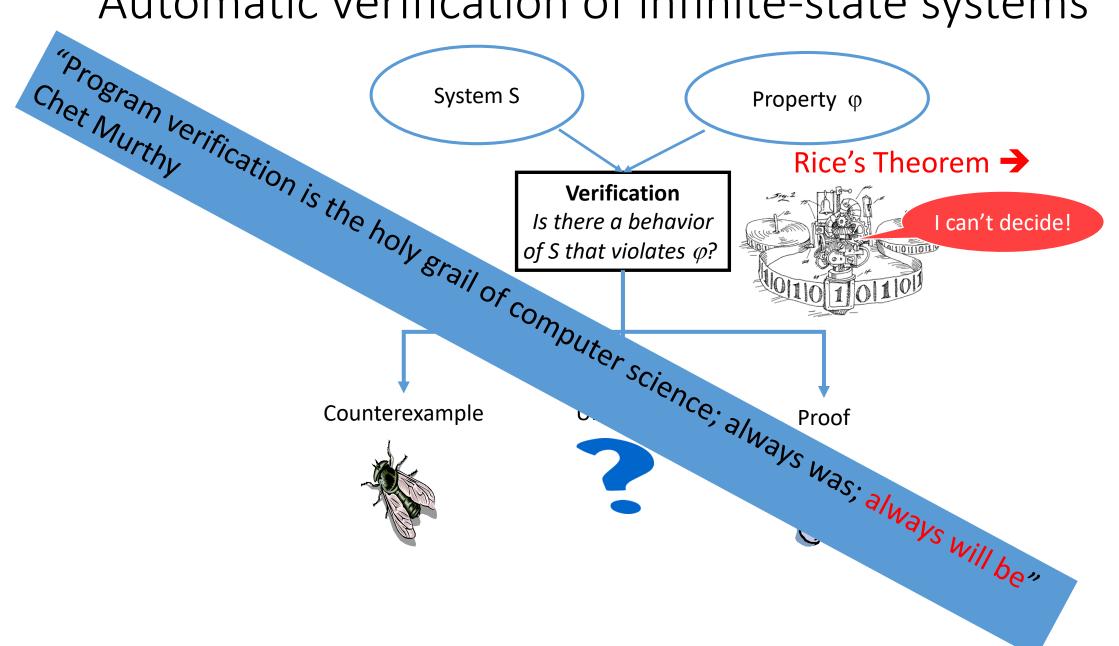
Automatic verification of infinite-state systems



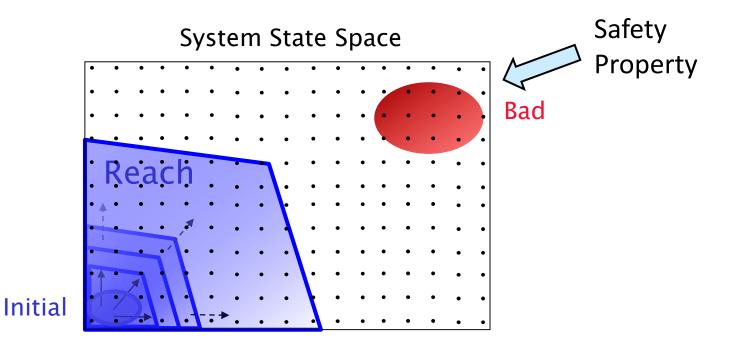
Automatic verification of infinite-state systems



Automatic verification of infinite-state systems

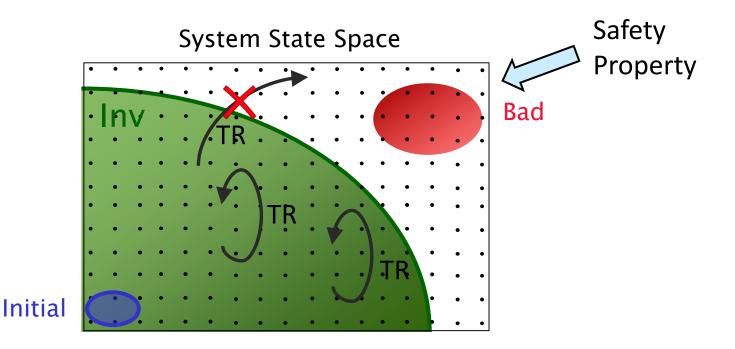


Inductive invariants



System S is **safe** if all the reachable states satisfy the property $P = \neg Bad$

Inductive invariants

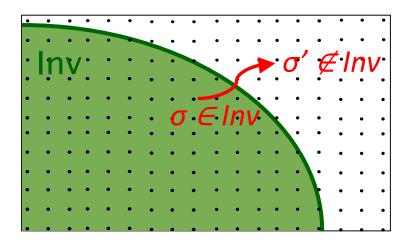


System S is **safe** if all the reachable states satisfy the property $P = \neg Bad$ System S is safe iff there exists an **inductive invariant** *Inv* :

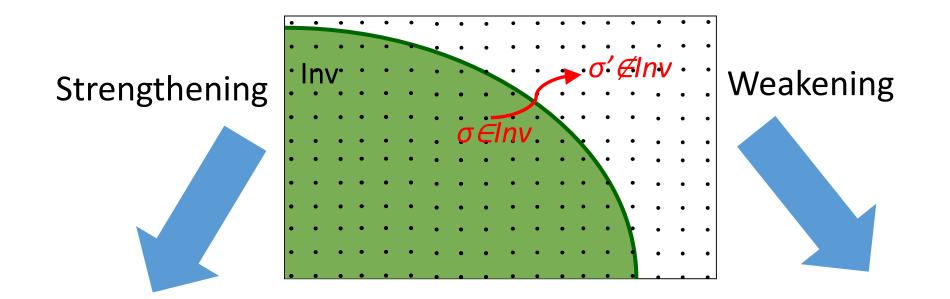
Inv \cap *Bad* = \emptyset (*Safety*) *Init* \subseteq *Inv* (*Initiation*) *if* $\sigma \in$ *Inv and* $\sigma \rightarrow \sigma$ *'then* $\sigma' \in$ *Inv* (*Consecution*)

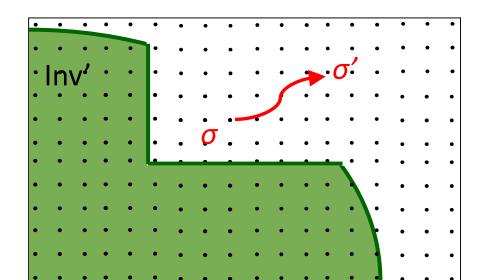
Counterexample To Induction (CTI)

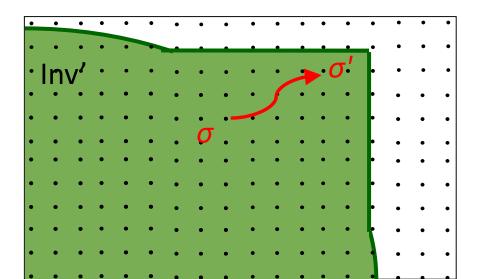
- States σ,σ' are a CTI of Inv if:
- $\sigma \in Inv$
- <mark>σ'</mark> ∉ Inv
- $\sigma \rightarrow \sigma'$
- A CTI may indicate:
 - A bug in the system
 - A bug in the safety property
 - A bug in the inductive invariant
 - Too weak
 - Too strong



Strengthening & weakening from CTI

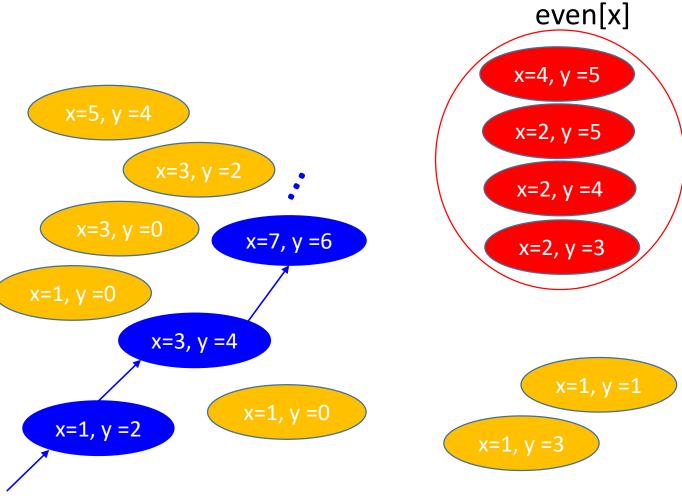


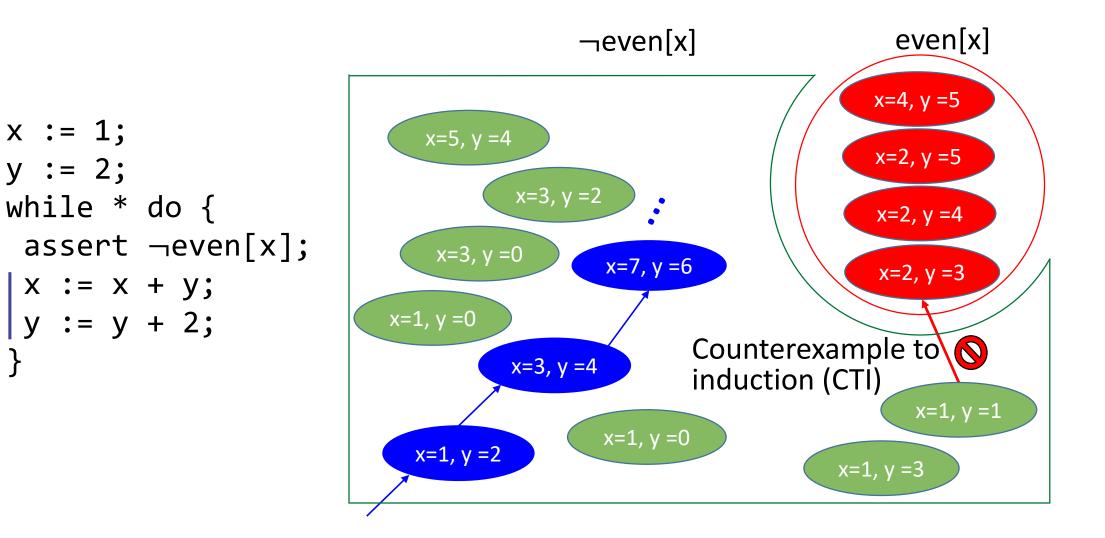




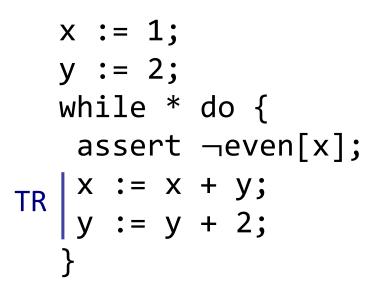
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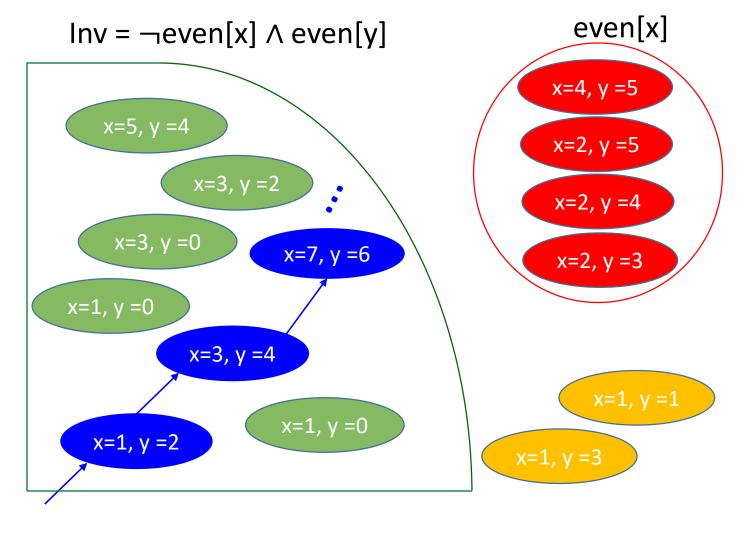
x := 1; y := 2; while * do { assert ¬even[x]; x := x + y;TR y := y + 2;

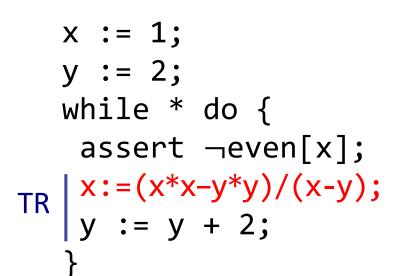


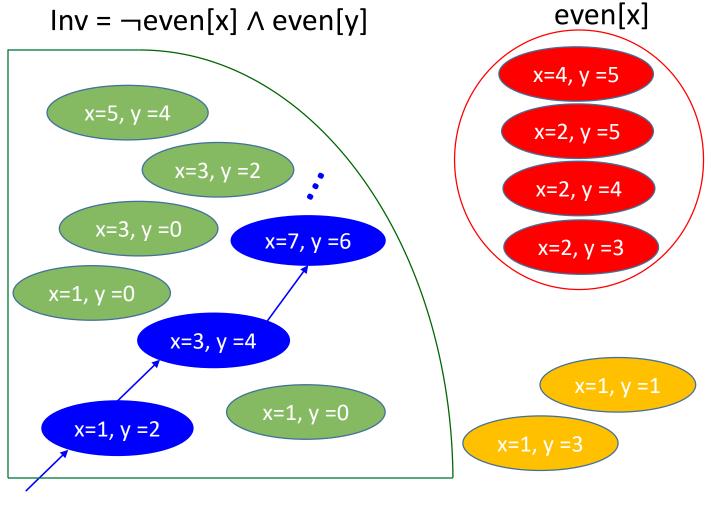


TR





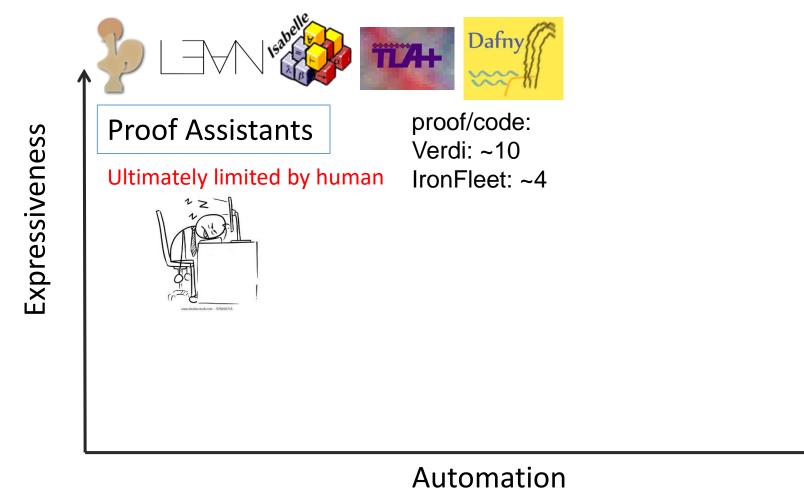




Challenges in Deductive Verification

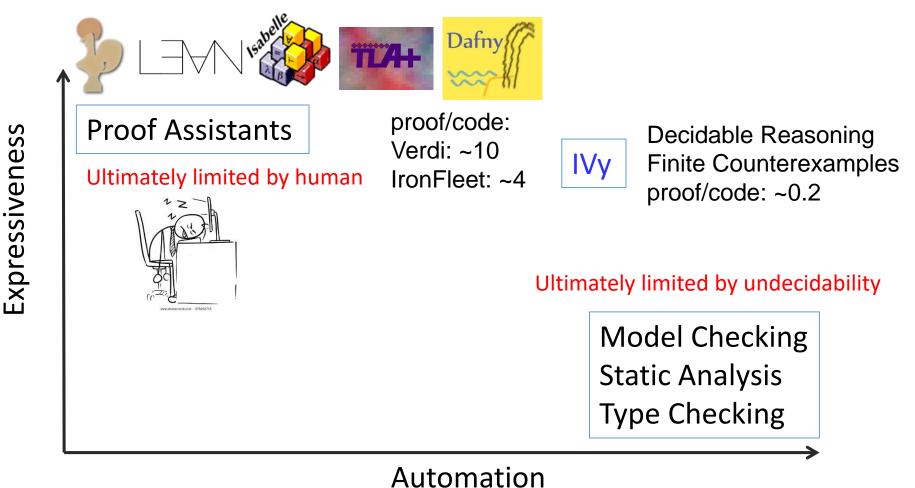
- 1. Formal specification: formalizing infinite-state systems
 - Modeling the system and property (TR, Init, Bad)
- 2. Deduction: checking inductiveness
 - Undecidability of implication checking
 - Unbounded state (threads, messages), arithmetic, quantifier alternation
- 3. Inference: inferring inductive invariants (Inv)
 - Hard to specify
 - Hard to infer
 - Undecidable even when deduction is decidable

State of the art in formal verification



"the proofs consisted of about 5000 lines and assumed several nontrivial invariants of the Raft protocol. This paper discusses the verification of Raft as a whole, including all the invariants from the original Raft paper [32]. These new proofs consist of about 45000 additional lines" [Verdi, CPP'16]

State of the art in formal verification



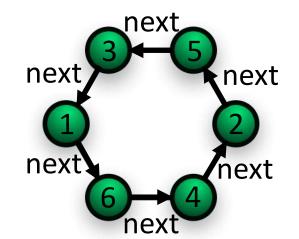
"but our input language cannot compete in generality with mechanized proof methods that rely heavily on human expertise, e.g., IVY [55], Verdi [68], IronFleet [38], TLAPS [16]" [Konnov et al, POPL'17]

IVy's Principles

- Specify systems and properties in decidable fragment of first-order logic (EPR)
 - Allows quantifiers to reason about unbounded sets
 - Decidable to check inductiveness
 - Finite counterexamples to induction, display graphically
 - Logic is mostly hidden
- Interact with the user to find inductive invariants
- Challenge: use restricted logic to verify interesting systems
 - Paxos, Reconfiguration, Byzantine Fault Tolerance
 - Liveness and Temporal Properties

Example: Leader Election in a Ring

- Nodes are organized in a ring
- Each node has a unique numeric id
- Protocol:
 - Each node sends its id to the next



- A node that receives a message passes it (to the next) if the id in the message is higher than the node's own id
- A node that receives its own id becomes the leader
- Theorem:
 - The protocol selects at most one leader

[CACM'79] E. Chang and R. Roberts. An improved algorithm for decentralized extrema-finding in circular configurations of processes

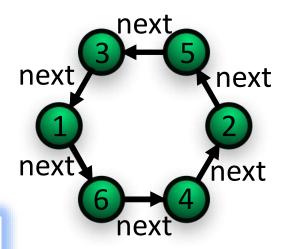
Example: Leader Election in a Ring

- Nodes are organized in a ring
- Each node has a unique numeric id
- Protocol:

Proposition: This algorithm detects one and only one

- Eachighest number.
- A no *Argument:* By the circular nature of the configuration if the id in the mes and the consistent direction of messages, any message must meet all other processes before it comes back to its
- A ncinitiator. Only one message, that with the highest num-
- Theore ber, will not encounter a higher number on its way
 - around. Thus, the only process getting its own message
 - The back is the one with the highest number.

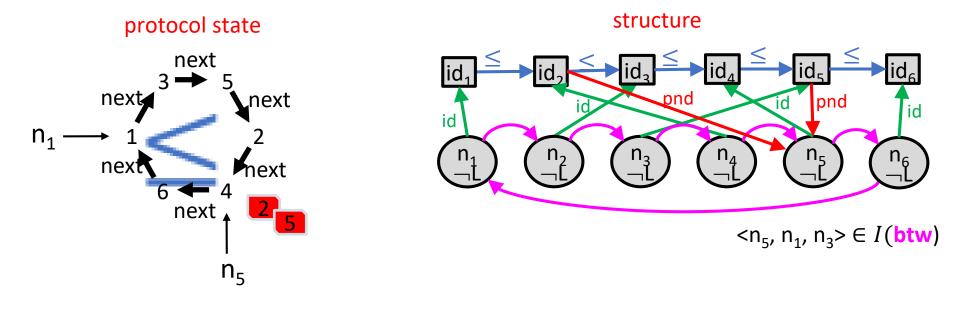
[CACM'79] E. Chang and R. Roberts. An improved algorithm for decentralized extrema-finding in circular configurations of processes



Leader Election Protocol (IVy)

- ≤ (ID, ID) total order on node id's
- btw (Node, Node, Node) the ring topology
- id: Node \rightarrow ID relate a node to its unique id
- **pending**(ID, Node) pending messages
- **leader**(Node) leader(n) means n is the leader

- Axiomatized in
- first-order logic



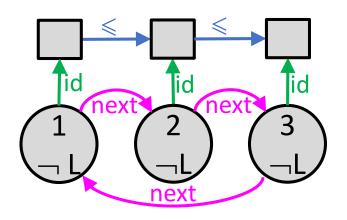
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action send(n: Node) = {
 "s := next(n)";
 pending(id(n),s) := true
}

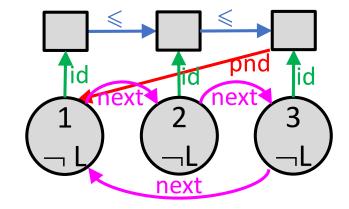
```
action receive(n: Node, m: ID) = {
  requires pending(m, n);
  if id(n) = m then
    // found Leader
    leader(n) := true
  else if id(n) ≤ m then
    // pass message
    "s := next(n)";
    pending(m, s) := true
}
```

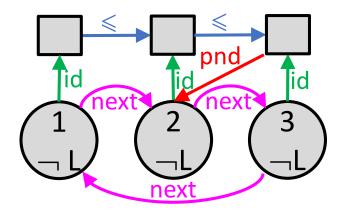
∃n,s: Node. "s := next(n)" ∧ ∀x:ID,y:Node. pending'(x,y)↔(pending(x,y)∨(x=id(n)∧y=s))
protocol = (send | receive)*
assert I0 = ∀ x,y: Node. leader(x)∧leader(y) → x = y

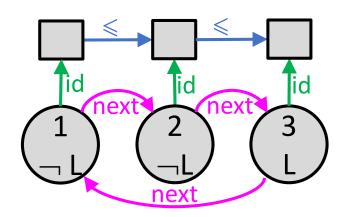


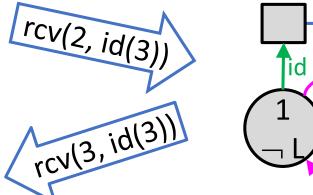


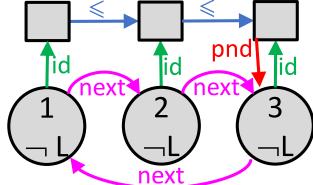


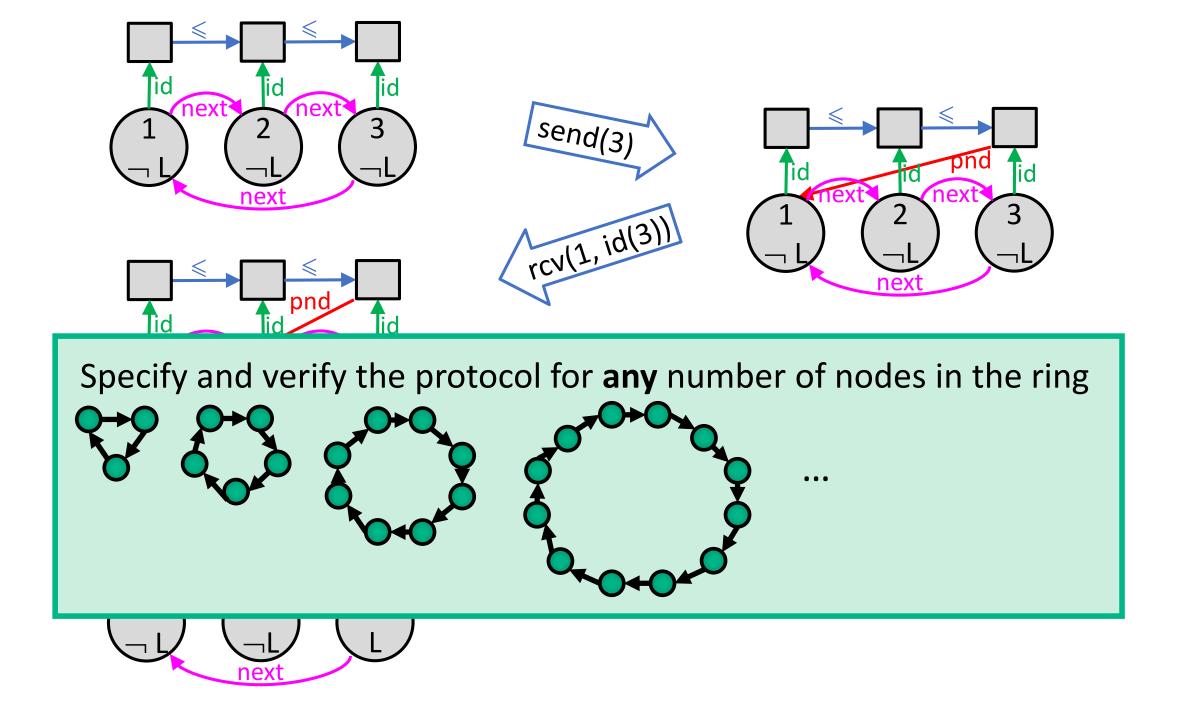












Inductive Invariant for Leader Election

- ≤ (ID, ID) total order on node id's
- **btw** (Node, Node, Node) the ring topology
- id: Node \rightarrow ID relate a node to its id
- **pending**(ID, Node) pending messages
- leader(Node) leader(n) means n is the leader

Safety property: I₀

 $I_0 = \forall x, y: Node. leader(x) \land leader(y) \Rightarrow x = y$ Inductive invariant: Inv = $I_0 \land I_1 \land I_2 \land I_3$

$$I_1 = \forall n_1, n_2$$
: Node. leader(n_2) $\Rightarrow id[n_1] \leq id[n_2]$

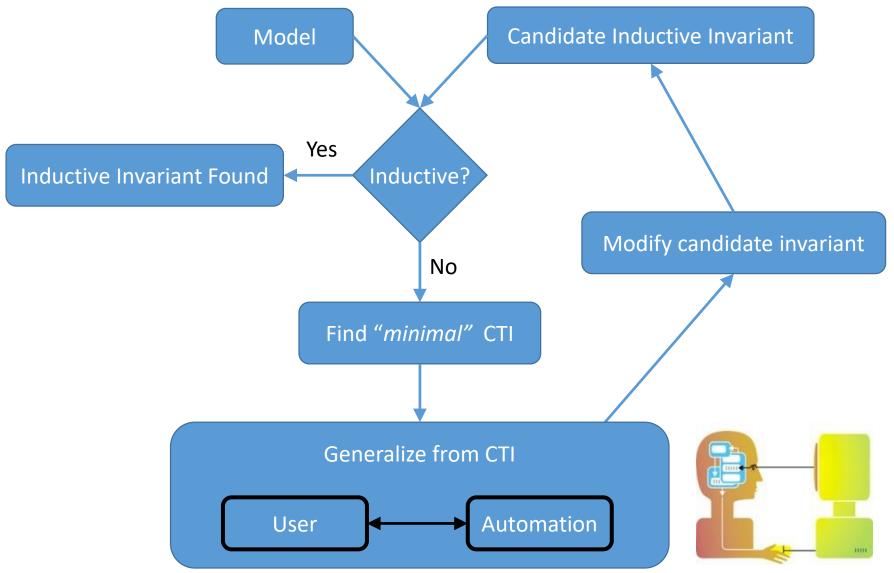
 $I_2 = \forall n_1, n_2: \text{ Node. pending(id[n_2], n_2)} \Rightarrow \\ id[n_1] \leq id[n_2]$

The leader has the highest ID

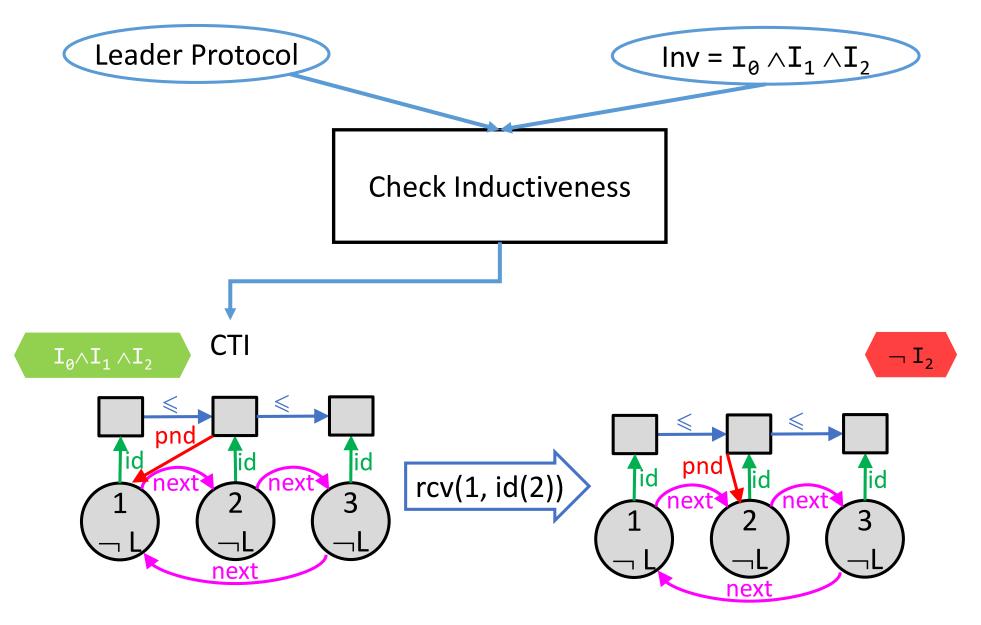
Only the leader can be self-pending

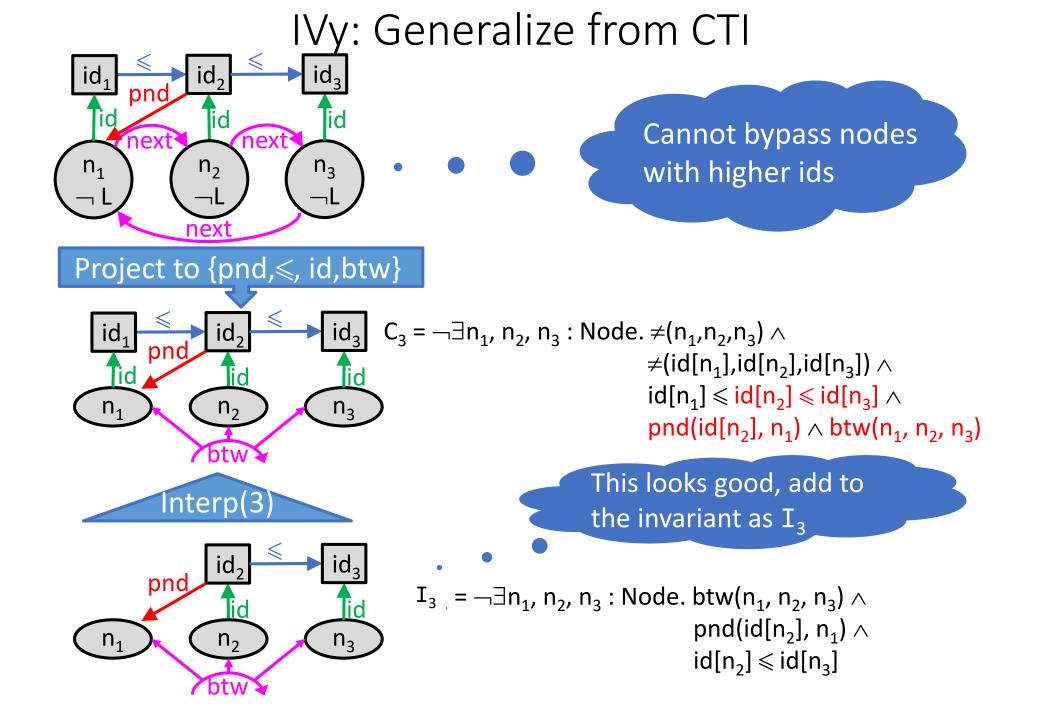
How can we find an inductive invariant without knowing it?

Invariant Inference in IVy

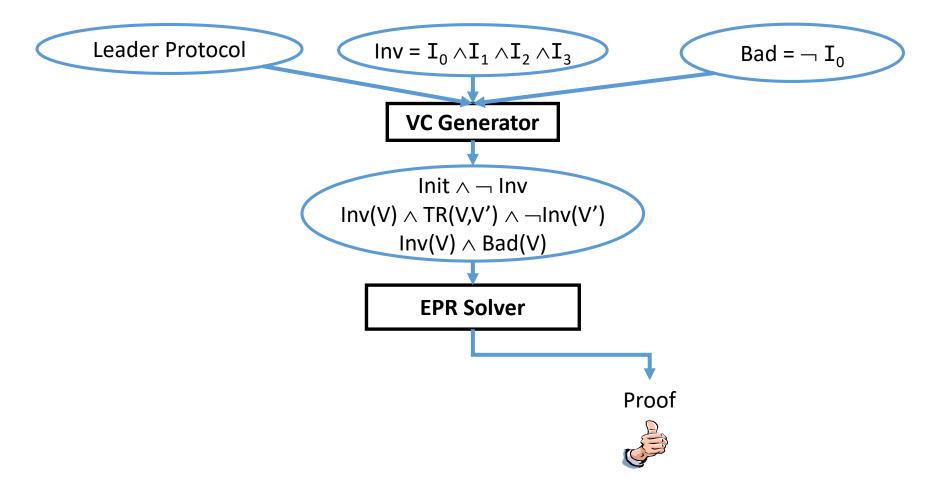


IVy: Check Inductiveness

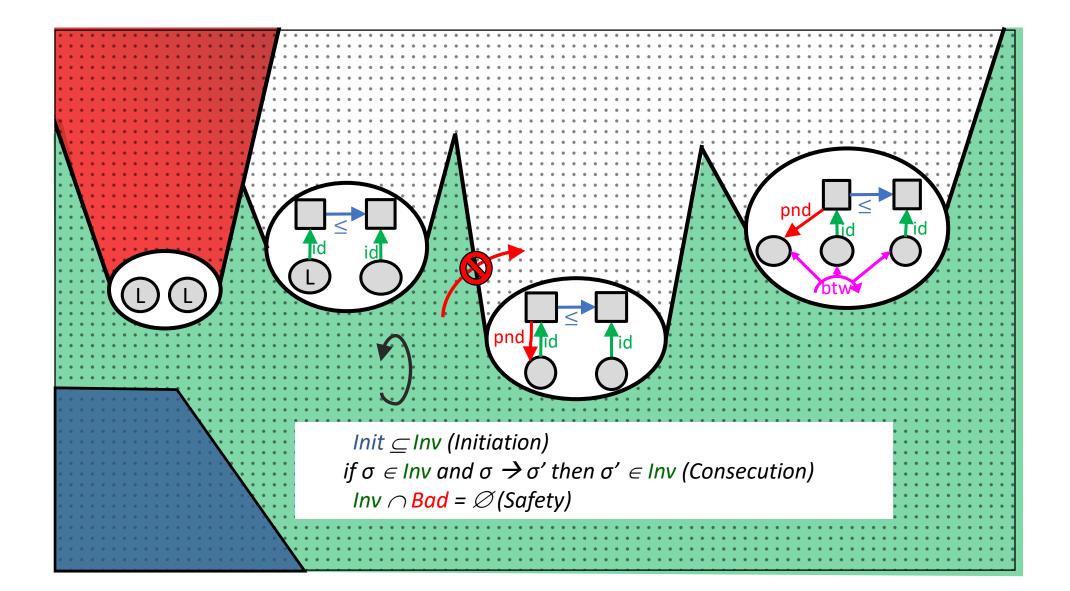




IVy: Check Inductiveness



 $I_0 \wedge I_1 \wedge I_2 \wedge I_3$ is an inductive invariant for the leader protocol, which proves the protocol is safe



Leader Election Protocol (axioms)

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- **btw** (a: Node, b: Node, c: Node) the ring topology
- id: Node \rightarrow ID relate a node to its unique id
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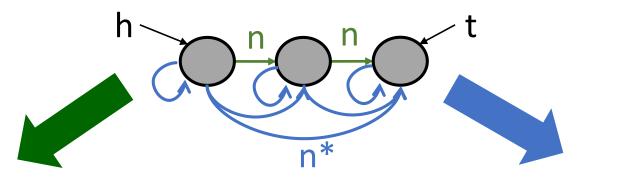
	Natural Interpretation	EPR Modeling
Node ID's	Integers	$ \begin{array}{l} \forall i: \text{ID. } i \leqslant i \text{ Reflexive} \\ \forall i, j, k: \text{ID. } i \leqslant j \land j \leqslant k \Rightarrow i \leqslant k \text{ Transitive} \\ \forall i, j: \text{ID. } i \leqslant j \land j \leqslant i \Rightarrow i = j \text{ Anti-Symmetric} \\ \forall i, j: \text{ID. } i \leqslant j \lor j \leqslant i \text{ Total} \\ \forall x, y: \text{ Node. } id(x) = id(y) \Rightarrow x = y \text{ Injective} \end{array} $
Ring Topology	Next edges + Transitive closure	$\forall x, y, z: Node. btw(x, y, z) \Rightarrow btw(y, z, x) Circular shifts$ $\forall x, y, z, w: Node. btw(w, x, y) \land btw(w, y, z) \Rightarrow btw(w, x, z) Transitive$ $\forall x, y, w: Node. btw(w, x, y) \Rightarrow \neg btw(w, y, x) A-Symmetric$ $\forall x, y, z, w: Node. distinct(x, y, z) \Rightarrow btw(w, x, y) \lor btw(w, y, x)$
		"next(a)=b" = $\forall x: Node.X = a \lor X = b \lor btw(a,b,x)$

Challenge: How to use restricted first-order logic to verify interesting systems?

- Expressing transitive closure
 - Linked lists
 - Ring protocols
- Expressing Consensus
 - Paxos, Multi-Paxos
 - Reconfiguration
 - Byzantine Fault Tolerance
- Liveness and temporal Properties

Key idea: representing deterministic paths

[Itzhaky SIGPLAN Dissertation Award 2016]



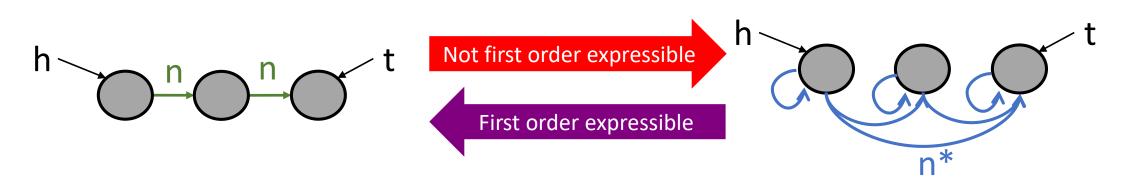
n* ≈ btw

Alternative 1: maintain n

- n^{*} defined by transitive closure of n
- not definable in first-order logic

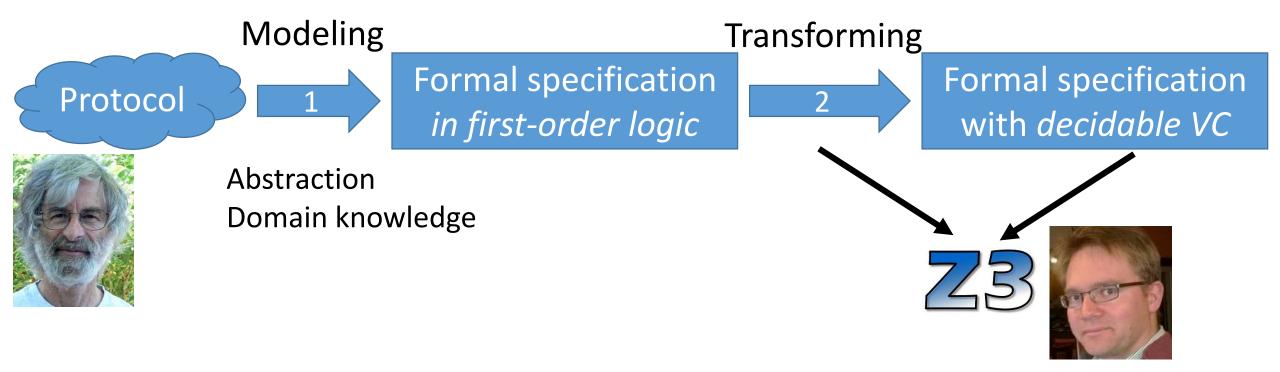
Alternative 2: maintain n^{*}

- n defined by transitive reduction of n^{*}
- Unique due to outdegree ≤ 1
- Definable in first order logic (for roots)
 - $n^+(a,b) \equiv n^*(a, b) \land a \neq b$
 - $n(a, b) \equiv n^+(a,b) \land \forall z: n^+(a, z) \rightarrow n^*(b, z)$



Paxos made EPR

Methodology for decidable verification of infinite-state systems



Paxos



• Single decree Paxos – consensus

lets nodes make a common decision despite node crashes and packet loss

- Paxos family of protocols state machine replication variants for different tradeoffs, e.g., Fast Paxos is optimized for low contention, Vertical Paxos is reconfigurable, etc.
- Pervasive approach to fault-tolerant distributed computing
 - Google Chubby
 - VMware NSX
 - AWS
 - Many more...

Challenge: reasoning about Paxos in FOL

- Consensus algorithms use set cardinalities
 - Wait for messages from more than N / 2 nodes
- Insight: set cardinalities are used to get a simple effect

Can be modeled in first-order logic!

Solution: axiomatize quorums in first-order logic
 sort quorum

relation member (node, quorum)

- set membership (2nd-order logic in first-order)

axiom $\forall q_1, q_2$: quorum. $\exists n$: node. member(n, q_1) \land member(n, q_2)

action propose(r:round) { requires ">N/2 join msg's" ... action propose(r:round) { requires $\exists q. \forall n. member(n,q) \rightarrow$ ∃r',v'.join_msg(n,r,r',v') ...





Formal specification in first-order logic

Concept	Intention	First-order abstraction		
Quorums	Majority sets	relation member (node, quorum) axiom $\forall q_1, q_2, quorum \exists n: node. member(n, q_1) \land member(n, q_2)$		
Rounds	Natural numbersrelation \leq (round,round) axiom \forall x:round. $x \leq x$ reflexive axiom \forall x,y,z:round. $x \leq y \land y \leq z \rightarrow x \leq z$ transitive 			
Messages	Network with: dropping duplication reordering	<pre>relation start_msg(round) relation join_msg(node,round,round,value) relation propose_msg(round,value) relation vote_msg(node,round,value)</pre>		

Paxos in first-order logic

	21	41 # voting, and the corresponding vote.			
1 sort node, quorum, round, value	22 action start_round(r : round) {	42 # v is arbitrary if the nodes reported not voting.			
2	23 assume $r \neq \bot$	43 local maxr, v := max { (r', v') $\exists n. member(n, q)$			
3 relation ≤ : round, round	<pre>24 start_round_msg(r) := true</pre>	$ \land join_ack_msg(n, r, r', v') \land r' \neq \bot $			
4 axiom total_order(≤)	25 }	<pre>45 propose_msg(r, v) := true # propose value v</pre>			
5 constant ⊥ : round	<pre>26 action JOIN_ROUND(n : node, r : round) {</pre>	46 }			
6	27 assume $r \neq \bot$	47 action vore(n : node, r : round, v : value) {			
7 relation member : node, quorum	28 assume start_round_msg(r)	48 assume $r \neq \perp$ 49 assume propose_msg(r, v)			
8 axiom $\forall q_1, q_2$: quorum. $\exists n$: node. member $(n, q_1) \land$ member (n, q_2)	29 assume $\neg \exists r', r'', v. r' > r \land join_ack_msg(n, r', r'', v)$				
9	30 # find maximal round in which n voted, and the corresponding vote.	50 assume $\neg \exists r', r'', v, r' > r \land join_ack_msg(n, r', r'', v)$			
10 relation start_round_msg : round	31 # maxr = ⊥ and v is arbitrary when n never voted.	51 vote_msg(n, r, v) := true			
11 relation join_ack_msg : node, round, round, value	32 local maxr, $v := \max \{(r', v') \mid vote_msg(n, r', v') \land r' < r\}$	52 } 53 action LEARN(n : node, r : round, v : value, q : quorum) {			
12 relation propose_msg : round, value	33 join_ack_msg(n, r, maxr, v) := true				
13 relation vote_msg : node, round, value	34 }	54 assume r ≠ ⊥			
14 relation decision : node, round, value	35 action PROPOSE(r : round, q : quorum) {	55 # 2b from quorum q			
15	36 assume $r \neq \bot$	56 assume $\forall n. member(n, q) \rightarrow vote_msg(n, r, v)$			
16 init ∀r. ¬start_round_msg(r)	37 assume $\forall v. \neg propose_msg(r, v)$	57 decision(n, r, v) := true			
17 init $\forall n, r_1, r_2, v. \neg Join_ack_msg(n, r_1, r_2, v)$	38 # 1b from quorum q	58 }			
18 init $\forall r, v. \neg propose_msg(r, v)$	39 assume $\forall n$. member $(n, q) \rightarrow \exists r', \upsilon$. join_ack_msg (n, r, r', υ)	,			
19 init $\forall n, r, v. \neg vote_msg(n, r, v)$	# find the maximal round in which a node in the quorum reported				
20 init $\forall n, r, v, \neg decision(n, r, v)$					

 $\begin{array}{l} \forall n_1, n_2 : \operatorname{node}, r_1, r_2 : \operatorname{round}, v_1, v_2 : \operatorname{value}. \ decision(n_1, r_1, v_1) \land \ decision(n_2, r_2, v_2) \rightarrow v_1 = v_2 \\ \forall r : \operatorname{round}, v_1, v_2 : \operatorname{value}. \ propose_msg(r, v_1) \land \ propose_msg(r, v_2) \rightarrow v_1 = v_2 \\ \forall n : \operatorname{node}, r : \operatorname{round}, v : \operatorname{value}. \ vote_msg(n, r, v) \rightarrow \ propose_msg(r, v) \\ \forall r : \operatorname{round}, v : \operatorname{value}. (\exists n : \operatorname{node}. \ decision(n, r, v)) \rightarrow \exists q : \operatorname{quorum}. \forall n : \operatorname{node}. \ member(n, q) \rightarrow \ vote_msg(n, r, v) \\ \forall n : \operatorname{node}, r, r' : \operatorname{round}, v, v' : \operatorname{value}. \ join_ack_msg(n, r, \bot, v) \land r' < r \rightarrow \neg vote_msg(n, r', v') \\ \forall n : \operatorname{node}, r, r' : \operatorname{round}, v : \operatorname{value}. \ join_ack_msg(n, r, r', v) \land r' \neq \bot \rightarrow r' < r \land \ vote_msg(n, r', v) \\ \forall n : \operatorname{node}, r, r', r'' : \operatorname{round}, v, v' : \operatorname{value}. \ join_ack_msg(n, r, r', v) \land r' \neq \bot \land r' < r'' < r \rightarrow \neg vote_msg(n, r'', v') \\ \forall n : \operatorname{node}, v : \operatorname{value}. \neg vote_msg(n, \bot, v) \\ \forall n : \operatorname{node}, v : \operatorname{value}. \neg vote_msg(n, \bot, v) \\ \exists n : \operatorname{node}, v : \operatorname{value}. \neg vote_msg(n, \bot, v) \\ \forall n : \operatorname{node}, v : \operatorname{value}. \neg vote_msg(n, \bot, v) \\ \exists n : \operatorname{node}, v : \operatorname{value}. \neg vote_msg(n, \bot, v) \\ \forall n : \operatorname{node}, v : \operatorname{value}. \neg vote_msg(n, \bot, v) \\ \forall n : \operatorname{node}, v : \operatorname{value}. \neg vote_msg(n, \bot, v) \\ \forall n : \operatorname{node}, v : \operatorname{value}. \neg vote_msg(n, \bot, v) \\ \forall n : \operatorname{node}, v : \operatorname{value}. \neg vote_msg(n, \bot, v) \\ \forall n : \operatorname{node}, v : \operatorname{value}. \neg vote_msg(n, \bot, v) \\ \forall n : \operatorname{node}, v : \operatorname{value}. \neg vote_msg(n, \bot, v) \\ \forall n : \operatorname{node}, v : \operatorname{value}. \neg vote_msg(n, \bot, v) \\ \forall n : \operatorname{node}, v : \operatorname{value}. \neg vote_msg(n, \bot, v) \\ \forall n : \operatorname{node}, v : \operatorname{value}. \neg vote_msg(n, \bot, v) \\ \forall n : \operatorname{node}, v : \operatorname{value}. \neg vote_msg(n, \neg, v) \\ \forall n : \operatorname{node}, v : \operatorname{value}. \neg vote_msg(n, \bot, v) \\ \forall n : \operatorname{node}, v : \operatorname{value}. \neg vote_msg(n, \neg, v) \\ \forall n : \operatorname{node}, v : \operatorname{value}. \neg vote_msg(n, \neg, v) \\ \forall n : \operatorname{node}, v : \operatorname{value}. \neg vote_msg(n, \neg, v) \\ \forall n : \operatorname{node}, v : \operatorname{value}. \neg vote_msg(n, \neg, v) \\ \forall n : \operatorname{node}, v : \operatorname{value}. \neg vote_msg(n, \neg, v) \\ \forall n : \operatorname{node}, v : \operatorname{value}. \neg vote_msg(n, \neg, v) \\ \forall n : \operatorname{node}. \neg vote_msg(n, \neg, v) \\ \forall n : \operatorname{node}. \neg vote_msg(n, \neg, v) \\ \forall n : \operatorname{node}. \neg vot$

VC's in first-order logic

Step 2: Obtaining decidable VC's

Challenge : quantifier alternation cycles

• Axiom

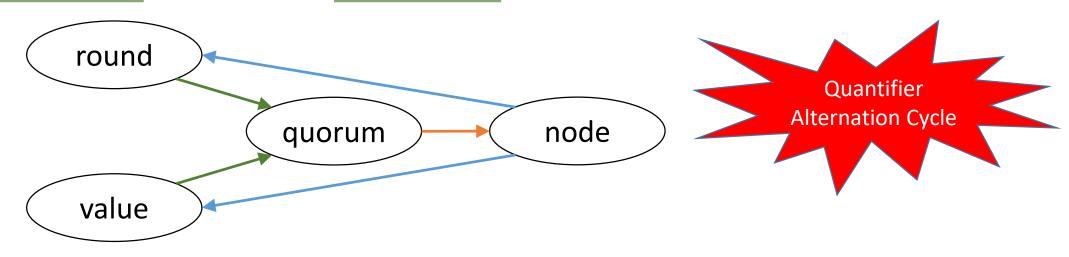
 $\forall q1, q2: quorum. \exists n: node. member(n, q1) \land member(n, q2)$

• Propose action precondition

 \exists q:quorum. \forall n:node. member(n,q) $\rightarrow \exists$ r':round,v':value. join_msg(n,r,r',v')

Inductive invariant

 \forall r:round,v:value. decision(r,v) $\rightarrow \exists$ q:quorum. \forall n:node. member(n,q) \rightarrow vote_msg(n,r,v)



Solution: derived relations and rewrites

 \exists q:quorum. \forall n:node. member(n,q) $\rightarrow \exists$ r':round,v':value. join_msg(n,r,r',v')

Solution: derived relations and rewrites

 \exists q:quorum. \forall n:node. member(n,q) $\rightarrow \exists$ r':round,v':value. join_msg(n,r,r',v')

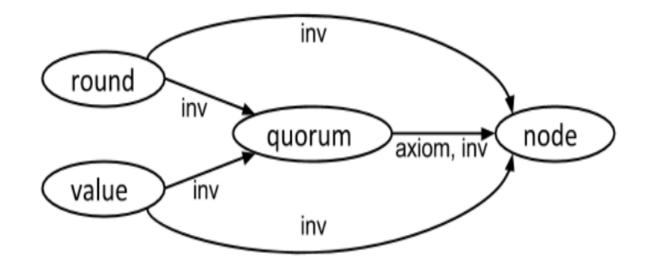
new relation: joined(n:node,r:round) = ∃r':round,v':value. join_msg(n,r,r',v')
update code:
 action join(n:node, r:round) {
 requires start_round_msg(r)
 let maxr,v := ...
 join_msg(n,r,maxr,v) := true
 joined(n,r) := true
}

 \exists q:quorum. \forall n:node. member(n,q) \rightarrow joined(n,r)

Solution: derived relations and rewrites

joined(n:node,r:round) = ∃r':round,v':value.join_msg(n,r,r',v')

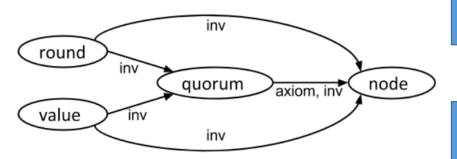
left(n:node,r:round) = $\exists r',r''$:round,v':value.join_msg(n,r',r'',v') $\land r' > r$



VC's are decidable!

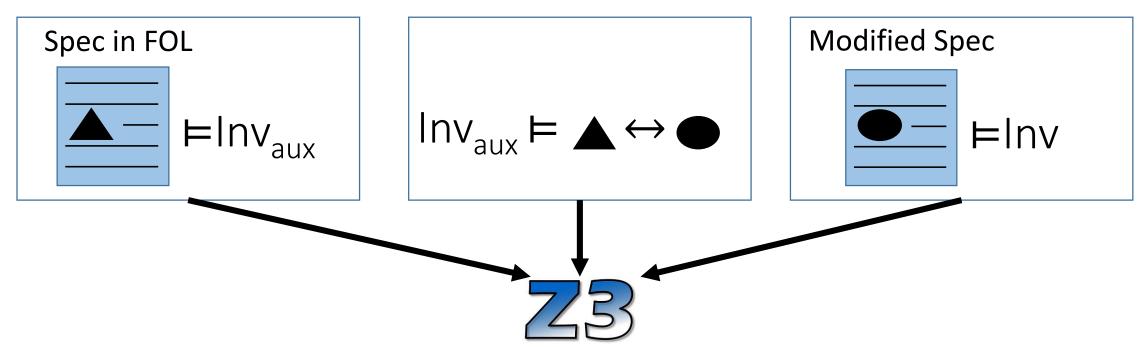
Principle: decomposing into decidable checks

- User defines:
 - Derived relations
 - Rewrites
 - Inductive invariants
- Decidable checks:



Formal specification in first-order logic

Formal specification with *decidable VC*



Inductive Invariant of Paxos

safety property

```
conjecture decision(N1,R1,V1) & decision(N2,R2,V2) -> V1 = V2
```

proposals are unique per round

```
conjecture proposal(R,V1) & proposal(R,V2) -> V1 = V2
```

only vote for proposed values

```
conjecture vote(N,R,V) -> proposal(R,V)
```

```
# decisions come from quorums of votes:
```

conjecture forall R, V. (exists N. decision(N,R,V)) -> exists Q. forall N. member(N, Q) -> vote(N,R,V)

properties of one_b_max_vote

conjecture ~le(R2,R1) & proposal(R2,V2) & V1 ~= V2 -> exists N. member(N,Q) & left_rnd(N,R1) & ~vote(N,R1,V1)
property of one_b, Left_rnd

conjecture one_b(N,R2) & ~le(R2,R1) -> left_rnd(N,R1)

Experimental Evaluation

Protocol	Model	Invariant	EPR [sec]		RW
riotocor	[LOC]	[Conjectures]	μ	σ	[sec]
Paxos	85	11	1.0	0.1	1.2
Multi-Paxos	98	12	1.2	0.1	1.4
Vertical Paxos*	123	18	2.2	0.2	-
Fast Paxos*	117	17	4.7	1.6	1.5
Flexible Paxos	88	11	1.0	0	1.2
Stoppable Paxos*	132	16	3.8	0.9	1.6

*first mechanized verification Transformation to EPR reusable across all variants!

Appendix: The Proof of Correctness

We now prove that Stoppable Paxos satisfies its safety and liveness p these for clarity and conciseness, we write simple temporal logic for with two temporal operators: \Box meaning *always*, and \Diamond meaning *e ally* [13]. We use a linear-time logic, so \Diamond can be defined by $\Diamond F \triangleq$ for any formula F. For a state predicate P, the formula $\Box P$ assert P is an invariant, nearing that it is true for every reachable stati-temporal formula $\bigcirc \square P$ asserts that at some point in the execution, i from that point onward. We define a predicate P to be stable iff it satisfies the following com-

if P is true in any reachable state s, then P is true in any state rea from s by any action of the algorithm. We let stable P be the assertio state predicate P is stable. It is clear that a stable predicate is invarit is true in the initial state. Because stability is an assertion only reachable states s, we can assume that all invariants of the algorith true in state s when proving stability.

Our proofs are informal, but careful. The two complicated, mult proofs are written with a hierarchical numbering scheme in which (the number of the y^{th} step of the current level-x proof [9]. Although appear intimidating, this kind of proof is easy to check and helps to

A.1 The Proof of Safety

We now prove that Consistency and Stopping are invariants of Stop Paxos. First, we define:

easy.

 $NotChoosable(i, b, v) \triangleq$ $(\exists Q : \forall a \in Q : (bal[a] > b) \land (vote_{\mathfrak{s}}[a][b] \neq$ $\forall (\exists j < i, w \in StopCmd : Done2a(j, b, w))$

 \forall (($v \in StopCmd$) \land ($\exists i > i, w : Done2a(i, b)$ We next prove a number of simple invariance and sta

algorithm

Lemma 1 1. $\forall i, b, v : \Box (Chosen(i, b, v) \rightarrow Done2a(i, b, v)).$ $2. \forall i, b, v, w : \Box ((Done2a(i, b, v) \land Done2a(i, b, v)))$ $3. \forall i, b, a, v : \Box ((vote_i[a]|b] = v) \Rightarrow Done2a(i, b, b)$

some more definitions, culminating in the key invariant **Stoppable Paxos** $\stackrel{a}{=} \forall c < b, w \neq v : NotChoosable(i, c, w)$ $\pi(i, b) \stackrel{\Delta}{=}$ b. $w \in StopCmd$: NotChoosable(i, c, w) $fter(i, b, v) \stackrel{a}{=}$ $md) \Rightarrow \forall j > i, c < b, w : NotChoosable(j, c, w)$ \triangleq Done2a(i, b, v) \Rightarrow SafeAt(i, b, v) Dahlia Malkhi Leslie Lamport Lidong Zhou safety proof is the following proof that PropIne is invariant. i, b, v : PropInv(i, b, v)) $\begin{array}{l} PropIne(i, k, v) \text{ is true in the initial state because } Done2a(\ldots) \\ PropIne(i, k, v) \text{ is true in the initial state because } Done2a(\ldots) \\ We therefore need only show that it is stable. We do this by strue in state a and proving it is true in state f. We are state f be its value in state s and f' be its value in state t. \end{array}$ April 28, 2008 $\forall j, c, w : PropInv(j, c, w) \\ i$ is an instance number, b a ballot number, v a command, and Q a quorum. $s \rightarrow t$ is a Phase2a(i, b, v, Q) step. E1(b, Q)SafeAt(s, b, v)' NoReconfigBefore(i, b) тт \circ have been chosen as the j^{th} command for some j < i. Although the basic idea of the algorithm is not complicated, getting the details right was not

PROOF: Assume $mbal2a(j, b, Q) \neq -\infty$. By definition of mbal2a, this implies val2a(j, b, Q) is a command (and not \top). Since E1(b, Q) holds by assump-tion (1)1.4, the definitions of mbal2a and val2a imply that some acceptor a in Q has sent a ("1b", a, b, (mbal2a(j, b, Q), val2a(j, b, Q)))_j message, which implies $votc_j[a](mbd2a(j, b, Q)) = val2a(j, b, Q)$ when the message was sent. Lemma 1.3 then implies Done2a(j, b, Q), val2a(j, b, Q)) was true when the message was sent, and is still true because Done2a(...) is stable. (1)3. $\forall j, c < b, w$: $(c \le mbal2a(j, b, Q)) \land (w \ne val2a(j, b, Q)) \Rightarrow$ NotChoosable(1, c, w) PROOF: We assume $e \le \operatorname{schull construct}(q, e, w)$ PROOF: We assume $e \le \operatorname{schull construct}(q, e, w)$ as $e = \operatorname{schull construct}(q, e, w)$. Since $-\infty < e \le \operatorname{schull construct}(q, e, e, e)$ (2): implies $\operatorname{Jonethan}(q)$, $\operatorname{schull construct}(q)$, conjecture(), monator(), is q_{ij} (variantly (i.e. q_{ij}) main import intro-monomoup(), is q_{ij} . (j. (j. 4, v_{ij} , $c \in k$ is $(mat2a_{ij}), k_Q = T = > NGChoosable(j, c, w)$ Parson: We assume c < b and $sent2a_{(j, b, Q)} = T$ and prove NotChoosable(j, c, w). We explit the proof into two cases. (2)1. Case: mbd2a_{(j, b, Q)} = $-\infty$ 2)1. CASE. INSERTIC, i, Q) = −0. PROOF: The case assumption implies mbd2a(j, b, Q) < c, so assumption (1)1.4 and Lemma 3 imply NotChoosable(j, c, w).

(2)2. CASE: $mbal2a(j, b, Q) \neq -\infty$ (3) Contact moment(), u(q) p = 0.0 PROOP: Since < b, we can split the proof into the following three cases. (3)1. CASE: mbal2a(j, b, Q) < c < b PROOP: By assumption (1)1.4, the case assumption and Lemma 3 imply NetChoosenble(j, c, w).

(3)2. CASE: $c \le mbal2a(j, b, Q)$ and $w \ne val2a(j, b, Q)$ PROOF: By (1)3.

PROOF: BY (13. (3). CASE: $\epsilon \ge mhol2a(j, b, Q)$ and w = val2a(j, b, Q)(4).1. $val2a(j, b, Q) \in SopCmd$ and wc can choose k > j such that $mhol2a(k, b, Q) \ge mhol2a(j, b, Q)$. PROOF: We deduce that $val2a(j, b, Q) \in StopCmd$ and such a k exists. by the (2)2 case assumption, the assumption $sval2a(i, b, Q) = \top$, and the definition of soul2a. (4)2. Done2a(k, mbal2a(k, b, O), val2a(k, b, O))

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 $c \leq mbal2a(k, b, Q)$; and (4)1 and case assumption (3)3 imply $w \in StopCmd$. Therefore, NoReconfigBefore(k, mbal2a(k, b, Q)) implies NotChoosable(j, c, w).(1)5. SafeAt(i, b, v)' PROOF: We assume c < b and $w \neq v$ and prove NotChooseMe(i, c, w)'. By Lemma 1.7, it suffices to prove NotChooseMe(i, c, w). We split the proof into two (2)1 Cast: mal2a(i + O) - TPROOF: (1)4 (substituting $j \leftarrow i$) implies NotChoosable(i, c, w) 1959 Case: mal?a(i h O) + T 22. CASE: $sinu 2n(i, b, Q) \neq i$ PROOF: Since c < b, we can break the proof into two sub-cases. (3)1. CASE: mbd2a(i, b, Q) < c < bPROOF: Assumption (1)1.4 and Lemma 3 imply NotChooseble(i, c, w) $\begin{array}{l} (2) = C_{cont} = e^{-i\pi k k t} (k,k,k,q) \\ Parcore A sumption (k,k,q) \\ Parcore A sumption (k),k,q) \\ Parcore A sumption (k),k \\ Parcore A sumption ($ (1)6. NoReconfigBefore(i, b)ⁱ (1)o, Noncompany (n)(i, o) PROOF: We assume j < i, w ∈ StopCmd, and c ≤ b and we prove NotChoosable(j, c, w). By Lemma 1.7, it suffices to prove NotChoosable(j, c, w). Since c ≤ b, we need consider only the following two cases. (2)1. CASE: b = c PROOF: Assumption (1)1.3 implies $Done2a(i, b, v)^i$. Since i > j and $w \in StopCmd$, this implies the third disjunct of NotChoosable(j, b, w)² (sub-stituting i and v for the existentially quantified variables), which by the case assumption proves NotChoosable(j, c, w)². (2)2. CASE: c < b (2) CASH: c < b PROOF: We consider two sub-cases. (3) I. CASH: sna22c(f, b, Q) = T PROOF: (1) And case assumption (2)2 imply NotCheosoble(f, c, w). (5): C CASH: sna22c(f, b, Q) ≠ T PROOF: By case assumption (2)2, we have the following two sub-cases. (4)1. CASE: meal2a(j, b, Q) < c < b PROOF: Assumption (1)1.4, the case assumption, and Lemma 3 imply NotCheosable(j, c, w). Net(*Hosenble(j*, c, w), (§)2. CAN: c: smol2c(*j*, *k*, *Q*)) PROOF. Assumption (1)1.3 implies *E0*(*i*, *k*, *Q*). The (3)2 case assumption, the assumption j < i, and E0(i, k, Q) imply sul2c(*j*, *k*, *Q*) \notin *StopCode*. The assumption are \notin *StopCode* then in-plies $w \neq i = si2(i, k, Q)$. Whe (3)2 case submption and the defi-ption $\psi = i = si2(i, k, Q)$. By the (3)2 case submption and the defi-

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nition of sval2a, we then have $w \neq val2a(j, b, Q)$. The (4)2 case as-sumption (which implies $mval2a(j, b, Q) \neq -\infty$) and (1)3 then imply NotChoosable(i, c, w). (1)7. NoneChoosableAfter(i, b, v)' PROOF: We assume $v \in StopCond$, j > i, c < b, and w any command and we prove NotChoosable(j, c, w)'. By Lemma 1.7, it suffices to prove NotChoosable(j, c, w). We split the proof into two cases. we spin the proof min to cause. (2): LOAN: scalar scalar (b, b, q) = TPritor: Assumption (1):13 implies E4(i, b, q, v), so the assumption $v \in Step-Grin Implies <math>E4b(i, b, q, v)$. The case assumption, the assumption j > i, and E4b(i, b, q, v) imply scalar(j, b, q) = τ . The assumption e < band step (i) then imply NaChoosele(j, c, v). and step (1)4 then imply soft-messions(x, w). (2)2. Cossi: scalar(i, b, Q) = v(3)1. sud2a(i, b, Q) = v wd2a(i, b, Q) = vPROOF. Assumption (1)13.3 implies E3(i, b, Q, v), which implies sud2a(i, b, Q) = v. The case assumption and the definition of sval2a then implies ud2a(i, b, Q) = v. mppose rescale, i.e, Q = v. $(g_{2}, Dorek2(u, mbi2a(i, \xi_{2}, Q), v)$ Pncore; (3), assumption (1)1.4, and the definition of val2a imply $vort_{i}(a)[mbi2a(i, \xi_{2}, Q)] = v$ for some acceptor v in Q, which by Lemma I.3 implies $Done2a(i, mbi2a(i, \xi_{2}, Q), v)$. By the assumption $v \in A$ i, it suffices to consider the following two cases. By the summption < k, it influes to consider the biologing two cases. (b) Close: < c and k < k, k and k < k, (4)2. NotChoosable(j, c, w) (4) PROOF: (4)1 and case assumption (3)4 imply mbal2a(j, b, Q) < c < b By assumption (1)1.4, Lemma 3 implies NotChoosable(j, c, w). Theorem 1 Consistency PROOF: By definition of Consistency, it suffices to assume Chosen(i, b, v) and Chosen(i, c, w) and to prove v = w. Without loss of generality, we can assume $b \leq c$. We then have two eases. PROOF: We assume $\pi \neq w$ and obtain a contradiction. Lemma 1.1 and Chosen(i, c, w) imply Done2a(i, c, w). By Lemma 4, this implies 20

SafeAt(i, c, w). The assumptions b < c, an $v \neq w$ then im-ply NotChoosable(i, b, v). By Lemma 2, this contradicts the assumption Chosen(i, b, v). 2. Case: b = c

ter(i, b, v)'

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 \land NoReconfigBefore(i, b)

 \land NoneChoosableAfter(i, b, v)

Inv(i, b, v))', it suffices to prove it for a partic-emma 1.7 (the stability of NotCheosable(...))

hat can possibly make PropInv(i, b, v)hat can possibly make PropInv(i, b, v) false i true. We can therefore assume $s \to t$ is a puorum Q. Formula E1(b, Q) holds because it ase2a(i, b, v, Q) action.

ROVE" clause of $\langle 1\rangle 1$ are proved as steps $\langle 1\rangle 5,$

 $\Rightarrow Done2a(j, mbal2a(j, b, Q), val2a(j, b, Q))$

e steps are used in their proofs.

 $efore(i, b) \land NoneChoosableAfter(i, b, v)$

PROOF: Lemma 1.1 implies $Done2a(i, b, v) \wedge Done2a(i, c, w)$, which by Lemma 1.2 implies b = c.

Theorem 2 D Stopping PROOF: By definition of Stopping, it suffices to assume Chosen(i, b, v), Chosen(j, c, w), $v \in StopCmd$, and j > i and to obtain a contradiction. We split

the proof into two cases. 1. CASE: $c \in A$ PIGOD: *Chosen(i, b, v)* and Lemma 1.1 imply *Denc2a(i, b, v)*. This and Lemma 1 imply *NeucChoosableAfter(i, b, v)*, which by the case assumption and the assumption *Chosen(j, c, v)* and Lemma 2 then provide the required contradiction. 2. CASE: $c \ge b$

vide the required contradiction

A.2 The Proof of Progress.

Theorem 3 $\forall b, Q$: Progress(b, Q)PROOF: We assume P1(b, Q), P2(b, Q) and P3(b) and we must prove that then exists a v such that either \Diamond Chosen(i, b, v) or $(v \in StopCmd) \land \Diamond$ Chosen(j, b, v), for some i < i

(1)1. ○□E1(b, Q) (11) COLLAIS, (2) (11) COLLAIS, (2) (12) COLLAIS, (2) (12) (12) COLLAIS, (2) COL (1)2. $\forall i = m : \square(Dame2a(i, h, w) \Rightarrow \bigcirc(Dame1(i, h, w))$

1/2: $\forall i, v : \Box(Dmc2a(i, b, w)) \Rightarrow OCassen(i, b, w)$ Photor: Dmc2a(i, b, w) mass that a $Plant^2a(i, b, w)$ ration has been executed sending $a^{-}(2a^*, b, w)$, message to every acceptor a. If a is in G, then assemption Pl(b, G) implies that it eventually receives that the message. Assumptione Pl(b, G)implies $bra(a) \leq b$, so Pl(b, G) implies that every a in G eventually executes Pare2b(i, a, b), setting va(a) (G)[b] to w. Hence, eventually Casen(i, b, w)

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(1)7. NoneChoosableAfter(i, b, v)'

PROOF: We assume $v \in StopCmd$, j > i, c < b, and w any command and we prove NotChoosable(j, c, w)'. By Lemma 1.7, it suffices to prove NotChoosable(j, c, w). We split the proof into two cases.

(2)1. Case: $sval2a(i, b, Q) = \top$

PROOF: Assumption $\langle 1 \rangle 1.3$ implies E4(i, b, Q, v), so the assumption $v \in StopCmd$ implies E4b(i, b, Q, v). The case assumption, the assumption j > i, and E4b(i, b, Q, v) imply $sval2a(j, b, Q) = \top$. The assumption c < b and step $\langle 1 \rangle 4$ then imply NotChoosable(j, c, w).

 $\langle 2 \rangle 2$. CASE: $sval2a(i, b, Q) \neq \top$

 $\langle 3 \rangle 1. \ sval2a(i, b, Q) = val2a(i, b, Q) = v$

PROOF: Assumption $\langle 1 \rangle 1.3$ implies E3(i, b, Q, v), which implies sval2a(i, b, Q) = v. The case assumption and the definition of sval2a then implies val2a(i, b, Q) = v.

 $\langle 3 \rangle 2.$ Done2a(i, mbal2a(i, b, Q), v)

PROOF: $\langle 3 \rangle 1$, assumption $\langle 1 \rangle 1.4$, and the definition of val2a imply $vote_i[a][mbal2a(i, b, Q)] = v$ for some acceptor a in Q, which by Lemma 1.3 implies Done2a(i, mbal2a(i, b, Q), v).

By the assumption c < b, it suffices to consider the following two cases.

 $\langle 3 \rangle$ 3. CASE: c < mbal2a(i, b, Q)

PROOF: Step $\langle 3 \rangle 2$ and assumption $\langle 1 \rangle 1.1$ imply NoneChoosableAfter(i, mbal2a(i, b, Q), v). By the case assumption and the assumptions $v \in StopCmd$ and j > i, this implies NotChoosable(j, c, w).

(3)4. CASE: $mbal2a(i, b, Q) \le c < b$

 $\langle 4 \rangle$ 1. mbal2a(j, b, Q) < mbal2a(i, b, Q)

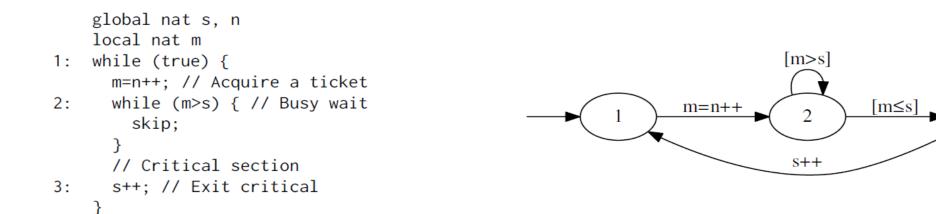
PROOF: The assumption $v \in StopCmd$ and $\langle 3 \rangle 1$ imply $sval2a(i, b, Q) \in StopCmd$. Case assumption $\langle 2 \rangle 2$ and the definition of sval2a then imply mbal2a(k, b, Q) < mbal2a(i, b, Q) for all k > i.

(4)2. NotChoosable(j, c, w)

PROOF: $\langle 4 \rangle 1$ and case assumption $\langle 3 \rangle 4$ imply mbal2a(j, b, Q) < c < b. By assumption $\langle 1 \rangle 1.4$, Lemma 3 implies NotChoosable(j, c, w).

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Verification of Temporal Properties



Liveness Property	$\forall x : \text{thread.} \Box \left(pc_2(x) \to \diamond pc_3(x) \right)$
Fairness Assumption	$\forall x : \text{thread.} \Box \diamond scheduled(x)$
Temporal Spec. (spec)	$(\forall x : \text{thread.} \Box \diamond scheduled(x)) \rightarrow \forall x : \text{thread.} \Box (pc_2(x) \rightarrow \diamond pc_3(x))$

Possible Projects

- Verify any distributed / shared memory algorithm
- Paxos variants
 - Disk Paxos, Generalised Paxos, EPaxos (see http://paxos.systems/variants.html for ideas)
 - Prove reconfiguration / failure recovery / log truncation / liveness
- Mutual Exclusion Algorithms
 - Knuth's Algorithm, Lamport's Bakery, Patterson, ...
 - Prove safety and liveness
- Blockchain algorithms
 - Algorand, HoneyBadgerBFT, Bitcoin-NG, ...
- Improve Ivy
 - Experiment with other SMT solvers (e.g. iProver, CVC4, Vampire, SPASS)