## Handout on vertex separators and low tree-width k-partition

January 12 and 19, 2012

Given a graph G(V, E) and a set of vertices  $S \subset V$ , an *S*-flap is the set of vertices in a connected component of the graph induced on  $V \setminus S$ . A set *S* is a vertex separator if no *S*-flap has more than n/2 vertices. Lipton and Tarjan showed that every planar graph has a separator of size  $O(\sqrt{n})$ . This was generalized by Alon, Seymour and Thomas to any family of graphs that excludes some fixed (arbitrary) subgraph *H* as a minor.

**Theorem 1** There a polynomial time algorithm that given a parameter h and an n vertex graph G(V, E) either outputs a  $K_h$  minor, or outputs a vertex separator of size at most  $h\sqrt{hn}$ .

**Corollary 2** Let G(V, E) be an arbitrary graph with no  $K_h$  minor, and let  $W \subset V$ . Then one can find in polynomial time a set S of at most  $h\sqrt{hn}$  vertices such that every S-flap contains at most |W|/2 vertices from W.

**Proof:** The proof given in class for Theorem 1 easily extends to this setting.  $\Box$ 

**Corollary 3** Every graph with no  $K_h$  as a minor has treewidth  $O(h\sqrt{hn})$ . Moreover, a tree decomposition with this treewidth can be found in polynomial time.

**Proof:** We have seen an algorithm that given a graph of treewidth p constructs a tree decomposition of treewidth 8p. Using Corollary 2, that algorithm can be modified to give a tree decomposition of treewidth  $8h\sqrt{hn}$  in our case, and do so in polynomial time. (The reader is advised to verify this claim.)  $\Box$ 

We remark that we have seen in previous lectures that graphs of treewidth p have separators of size at most p + 1. Corollary 3 is an approximate reverse implication.

The following corollary is useful in designing polynomial time approximation schemes (PTAS).

**Corollary 4** In every n-vertex graph with no  $K_h$ -minor and for every k, one can find in polynomial time a set S of vertices with  $|S| \leq O(hn\sqrt{h/k})$  such that no S-flap contains more than k vertices.

Here is one such PTAS.

**Corollary 5** For every fixed h there is a polynomial time algorithm that given any graph G on n vertices with no  $K_h$  minor finds an independent set of size  $(1 - O(1/\log n))\alpha(G)$ , where  $\alpha(G)$  is the size of the maximum independent set in G.

A related algorithmic paradigm is based on the following theorem of DeVos, Ding, Oporowski, Sanders, Reed, Seymour and Vertigan.

**Theorem 6** For every graph H and every k, there is an integer p such that the vertex set of every graph G(V, E) that does not contain H as a minor can be partitioned into k sets  $V_1, \ldots, V_k$  such that for every  $1 \le i \le k$ , the graph induced on  $V \setminus V_i$  has treewidth at most p. Moreover, such a partition can be found in polynomial time.

The proof of Theorem 6 uses structural properties of graphs with excluded minors, and is beyond the scope of the course. Instead, we shall prove a theorem (due to Baker) in the interesting special case that G is planar.

**Theorem 7** For every k, the vertex set of every planar graph G(V, E) can be partitioned into k sets  $V_1, \ldots, V_k$  such that for every  $1 \le i \le k$ , the graph induced on  $V \setminus V_i$  has treewidth at most 3(k-1). Moreover, such a partition can be found in polynomial time.

As an application of Theorem 7, we can prove:

**Theorem 8** For every k there is at algorithm that runs in time  $n^{O(1)}2^{O(k)}$  and approximates maximum weight independent set (MWIS) in planar graphs within a ratio of 1 - 1/k.

## Homework:

- 1. Lipton and Tarjan showed that every planar graph has a separator of size  $2\sqrt{2n}$  (not proved in class). The leading constant was subsequently improved. Use Theorem 7 to prove that every planar graph has a separator of size at most  $2\sqrt{3n} + 1$ .
- 2. Max cut is the problem of partitioning the vertex set of a graph into two sets in a way that maximizes the number of edges between the sets. For given H, design a PTAS for max cut in graphs with no H-minor. Namely, given a graph G that does not contain H as a minor and a parameter  $\epsilon > 0$ , your algorithm needs to produce a cut of size at least  $(1 \epsilon)$  times the optimal, and do so in time  $O(n^{O(1)})$ , where the O notation may hide constants that depend on H and on  $\epsilon$ .

**Remark.** In planar graphs, max cut can be solved exactly in polynomial time, via a completely different approach.